

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-EIGHTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 6, 2001

The Board of Trustees met at its regular monthly meeting on Thursday, December 6, 2001, at The Ohio State University Fisher College of Business, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 6, 2001 meeting, Board of Trustees

The Vice Chairman, Mr. Patterson, called the meeting of the Board of Trustees to order on December 6, 2001, at 10:40 a.m. He requested the Secretary to call the roll.

Present: James F. Patterson, Vice Chairman, Zuheir Sofia, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Kevin R. Filiatraut, and Joseph A. Shultz.

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PRESIDENT'S REPORT

President William E. Kirwan:

Mr. Patterson and members of the Board of Trustees, today is the last day of the fall term. We all remember well the sense of national tragedy and trauma with which we began this term. While deep concerns remain for the security of our nation and the welfare of those responsible for ensuring our safety, it is important to note that many wonderful pieces of good news and signs of progress at the University continue to unfold.

For example, two days ago we held a reception for Zuheir and Susan Sofia recognizing them for upgrading The M.S. Sofia Professorship in Arabic Studies to chair status. Zuheir, we appreciate very much this latest evidence of your support and the many things you do to enhance our University.

Another piece of good news is the arrival of a very talented new member of our University community. Susan Metros is our deputy chief information officer and executive director for Educational Technology and Distributed Education. She will also join the College of the Arts as a professor of Industrial, Interior, and Visual Communication Design.

Susan comes to us from the University of Tennessee and will be responsible for facilitating the growth of technology-enriched education in classrooms and over networks – a major priority in the Academic Plan. She will also lead the development of programs, guidelines, policies, and strategies related to distributed educational technologies. Working with the vice president for Agricultural Administration and University Outreach and various academic units, she will also help implement a campus-wide distributed education strategic plan.

I believe Susan is with us today. Susan, would you please stand and let us welcome you to the Ohio State community?

I'm very pleased to note that some of our more recent good news came from downtown. First, we learned that higher education has been exempted from additional agency budget cuts beyond the six percent reduction in the Governor's October Executive Order. A note of caution is in order here, however. The issue of DeRolph school funding is still unresolved, and Ohio's economy remains uncertain at best.

At the same time, I think this decision reflects a growing consensus among our state's leaders about the importance of higher education to our state and to its economy. In fact in a speech to Columbus' Metropolitan Club yesterday, Governor Taft said his biggest regret in the first three years of his administration is the state's inability to do more for higher education.

A second piece of good news from the Statehouse is that the General Assembly wisely decided to retain \$44 million in the Biomedical Research and Technology Trust Fund, rather than diverting that money to help balance the budget. Ohio State led the effort to get these funds restored.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Among those testifying to the Legislative Conference Committee at our request were: Dr. Fred Sanfilippo, senior vice president for Health Sciences and dean of the College of Medicine and Public Health; Chamber of Commerce President Sally Jackson; and Todd Ritterbush, president of the Columbus Technology Leadership Council. We also arranged for leaders from the Cincinnati and Cleveland communities to testify before the committee. Members of the Board were also very helpful in making our case with members of the General Assembly, as were our Alumni Advocates. I thank all of you for your assistance.

It's worth noting that this was a major save, and that we should thank the General Assembly, the Governor, the business community, and others for this demonstration of support for our efforts to build excellence in biomedical research. Elimination of these funds would have been devastating. With these funds, Ohio may finally be on the verge of taking a much-needed step to grow its knowledge economy.

Let me note that the various budget uncertainties with which we've been dealing have forced us to delay finalizing this year's update of our Academic Plan. That plan will be the subject of a retreat late next month, after which we will get back to you with a revised version of this transformational document.

Other good news today covers the arts, athletics, faculty, and student progress. I'll start with this year's Wexner Center Gala, which was a huge success – not only in surpassing the fundraising goal, but in attendance and interest as well. This year's total of \$3.7 million raised for the Center exceeded last year's \$3.5 million record, for which we thank the many individual, corporate, and Foundation donors. Perhaps even more astounding, almost 1,000 people attended this year's Gala, coming from Europe and Asia as well as various parts of the United States. Given the current climate, and the reluctance of many people to travel, this is truly extraordinary.

Earlier this fall we received a major piece of good news about student retention, one of the most important benchmarks of progress in our Academic Plan. The percentage of students who returned for their second year has reached an all-time high rate of 86 percent, marking the continuation of an upward trend that began in 1995, when the percentage of freshman returning for their sophomore year stood at about 77 percent -- in comparison to the 86 percent that we had this fall. This is additional affirmation that ongoing efforts to enhance the undergraduate student experience are working and will undoubtedly lead to a big jump in our graduation rates within a few years.

Two other impressive student achievements involved ROTC programs. A team of 10 Ohio State Army ROTC members placed first in a Ranger Challenge and second overall in a competition among thirty other university teams at Fort Knox, Kentucky. In addition, our Air Force ROTC detachment was the only one of forty-six nationwide to receive the highest rating by the Air Education and Training Command's Inspector General Team, which found every inspection area to be error-free at Ohio State.

On another front, our faculty members continue to be recognized for their excellence. For example, the American Association for the Advancement of Science recently awarded its rank of Fellow to three Ohio State faculty: Richard Boyd, professor of Physics and Astronomy; Leonard Brillson, professor of Physics and Electrical Engineering; and Audeen Fentiman, chair of the Nuclear Engineering Program and associate professor of Civil and Environmental Engineering and Geodetic Science.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

We now have a total of fifty-four AAAS Fellows among our faculty – a very impressive number by any standard.

One very busy faculty member has been Dr. Alam Payind, director of our Middle East Studies Center under International Affairs. Dr. Payind is a native of Afghanistan, a student of Islamic law, and an expert in Middle Eastern Affairs.

Since September 11, Dr. Payind has given seventy-three lectures in Columbus and around the country on issues involving his homeland, Islam, and international terrorism. Last Saturday, he was quoted extensively in the *New York Times* about a new government for Afghanistan.

And speaking of good news, I hardly need to remind the Board of the success of our football team in concluding its regular season in Ann Arbor. As Coach Tressel predicted last January, we are extremely proud of these young men and we wish them well at the Outback Bowl in Tampa on New Year's Day.

You may not be aware of another major athletic accomplishment. The Big Ten Conference has named Ohio State head women's soccer coach Laurie Walker as its 2001 Coach of the Year. For only the second time in Ohio State women's soccer history, one of its team members, Lisa Grubb, was named the Big Ten Freshman of the Year.

As you would expect, recent weeks have contained sadness as well as such advances. Among the saddest bits of news was that of the passing of Ruth Hale, who earned a graduate degree in early and middle childhood education and devoted her life to that cause. Ruth was an exceptional elementary school teacher and a giving and admired member of our community. She was the wife of Vice Provost and Professor Emeritus Frank Hale, and we will miss her very much.

Finally, tomorrow marks Autumn Commencement, and we are delighted that the featured speaker will be our own Kenneth Lee. Chair of the Department of Food Science and Technology, Ken is a nationally recognized expert on the nutritional effects of food processing.

Among the honorary degrees we will present tomorrow is an honorary doctorate to John Phillip Sousa's biographer and Westerville native Paul Bierley. Paul graduated from Ohio State with a degree in aeronautical engineering and, following his retirement from the engineering field, wrote the definitive work on Sousa. Tomorrow evening at Weigel Hall, the internationally acclaimed Keith Brion and his New Sousa Band will appear in concert at 8:00 p.m. It should be another great day at Ohio State and a fitting conclusion to this quarter.

In closing, 2001 has been a particularly challenging year and 2002 could be similarly testing. Nonetheless, we continue to move forward and to build momentum. If we can maintain our focus and our resolve to make difficult decisions, our future will be bright.

Mr. Vice Chairman and members of the Board, that completes my report, except to wish you all a very happy holiday season and, on behalf of the entire University community, to thank you for your commitment and your many contributions to The Ohio State University.

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PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN

President Kirwan:

Mr. Vice Chairman, we are now going to hear a presentation from our distinguished Vice President for Research, Dr. Brad Moore. Joining Dr. Moore is Dr. Janice Kiecolt-Glaser. As you know, Dr. Glaser was recently elected to the National Institute of Medicine, which is the medical arm of the National Academy of Sciences – a high honor, indeed.

Dr. C. Bradley Moore: [PowerPoint Presentation]

Thank you very much. I'm pleased to have this opportunity to talk with you about research today. In this time of declining state budgets, research and fundraising are growth engines that will drive our University forward and allow us to progress with the Academic Plan.

Faculty members are opening new areas of research and establishing Ohio State as the leader in key areas faster than ever before. I believe that the research opportunities that are now opening are much bigger, more exciting, and more intellectually challenging than ever before, and that the impact of our work on human society, on Ohio, and on the nation will grow rapidly. The breadth and strength of our research programs gives us a tremendous advantage in exploring these new opportunities.

The quantitative picture of our sponsored research funding shows that sponsored research programs are growing at double digit rates in recent times. The growth in research funding is at least equal in magnitude to our state budget decrease. Mind you the money is for something different, so we can't just transfer it.

This momentum continues. October -- the last month for which we have our data complete -- was the biggest month ever in Ohio State history, at \$39 million in awards; compared to the previous best-ever month, June 2000, at \$28.7 million. That was a good month and I hope we see a few more of those.

I think many of you wonder, "What is the Office of Research?" You would naturally assume that we conduct research. The truth is that we don't conduct research. The faculty does research, and we support the faculty in doing that research. Our multidisciplinary centers here bring faculty together from many different areas to work on challenging and exciting problems. Our Office of Research Support Services provides all kinds of technical support and facilities for our faculty across the campus to use in pursuing their research.

Our administrative services include: the Research Foundation, which help faculty with proposals and grant administration, and all the financial pieces; and the Office of Research Risks Protection, which is about compliance. This office makes sure that scientific integrity, conflict of interest, human and animal subjects research, and our fiscal responsibilities are all met to the highest possible standards. There is the Office of Technology Transfer under Dave Allen, and the Office of Technology Licensing, which is the pipeline that gets the intellectual property created in our research work out into the community where it will do some good. You'll notice the names of a few people and they are the ones who are doing all the work that I am supposed to be doing.

The purpose of the University is to advance the well-being of the people of Ohio, and the global community through the creation and dissemination of knowledge. Research is about the creation of knowledge and its dissemination. Some of this knowledge leads to the creation of new products such as MRI machines or cell phones that improve our lives, health, and economy. City planners, humanists,

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

environmental scientists, and many others show us ways that we may better live together as we share our planet. Others, like Chuck Csuri, delight our senses by creating new art forms or lift our souls with pictures from the beginning of our universe or poetry from the heart.

Research is usually done by faculty and students together, and so it's an integral part of everything we do at the University. The student not only learns how to create knowledge in the process of doing research, but also becomes the primary vehicle for its dissemination and application as the student graduates and goes out into a future career. Thus research is really at the heart of our teaching, service, and outreach missions, as well as just the creation of new knowledge.

In order to fulfill our University's purpose, the overarching goal of the Academic Plan is that The Ohio State University will be among the world's truly great universities. Within this, the mission of the Office of Research is to help bring Ohio State University to a position of international leadership in research and its applications.

Why international leadership? That's because the leaders are opening new fields and creating new concepts upon which applications are built. The followers don't play the same role in terms of the economic development and application of new knowledge.

The goals of the Office of Research support particularly the Academic Plan strategies for faculty, for academic programs, and for building Ohio's future. Faculty is the heart of the issue. Faculty members create the great ideas, perform the groundbreaking research, teach the outstanding courses, attract the great students to come here, and perform the outreach and service that brings a strong and positive impact on human society. A great faculty is the sine qua non of a great university.

To sustain and enhance an outstanding faculty is our first goal. We do that by helping colleges and departments make great hires and execute urgent retentions. There is a lot of jawboning, persuasion, and a few dollars involved in that process.

Our second goal is to provide outstanding research support services at a competitive cost benchmarked against the top ten research universities. We do this through the Research Foundation and through things like the Campus Chemical Instrumentation Center or the University Laboratory Animal Resources Group, and many others.

Building recognition of our programs, of our research leaders, and programs locally, nationally, and internationally is also a key part of this. I believe our faculty are significantly under-recognized, but by no means unrecognized. We'll hear very shortly from Janice Kiecolt-Glaser, who was our most recently elected member of the Institute of Medicine. L. S. Fan was elected to the National Academy of Engineering this year. Lonnie Thompson was named one of America's best scientists by *Time* magazine, and was a major part of a *CNN* feature on scientific and medical research this last summer. Joel Morrison won the Carl Mannerfelt Gold Medal, a major award in his field of cartography. There are many other awards and I could go on and on.

But we do have a long way to go. You can see from this chart that among our benchmark peers we rank at the bottom in terms of the number of members of

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

the National Academy of Sciences, National Academy of Engineering, Institute of Medicine, and the American Academy of Arts and Sciences. These numbers are improving and during Brit Kirwan's presidency at the University of Maryland, Maryland went from one to 17 faculty members in this category. I'm sure we will greatly exceed the increase of 17 during his presidency here, and I'm hoping for the multiplier of 17, but that's perhaps not entirely realistic.

Our first academic program goal is to build research in areas of major opportunity, especially multidisciplinary programs. Create at least one major, nationally recognized new multidisciplinary center within five years. Our Cancer Center is a great model for such a center, and you'll hear about another one from Jan Kiecolt-Glaser.

Last year, we initiated a faculty planning activity and proposal writing activity in eight areas in response to faculty proposals. Two of those areas – Biomedical Research and the Institute for the Study of Race and Ethnicity in the Americas – are among the three top priorities for development under the Academic Plan.

Our second goal is to double the federal research support from the fiscal year 1999 level -- the most recent one we have data for comparing us with other universities -- by fiscal year 2004. We want to raise at least \$100 million in new private support by 2005 and push for major new State of Ohio research funding. I don't feel comfortable with quantitative estimates of receipts shown there.

Let me just give you a brief picture of our funding. Just drawing a line down the middle – on the right-hand side is the federal funding and on the left-hand side is the non-federal funding. I should tell you that our research expenditures this year are running 20 percent ahead of last year; the federal is up 36 percent; NIH funding, which is about a quarter of the total, is up 46 percent over last year; State of Ohio funding is off 37 percent; and the non-federal funding is off by 17 percent. If we could keep the state funding in place, we would be doing extraordinarily well. Nevertheless, this is an incredible record.

In industrial funding -- at \$52 million annually -- we're ranked number five nationally, behind MIT, Penn State, and a couple of others. This is truly outstanding. For federally funded R&D expenditures, we're not doing so well -- we rank at the bottom of our peer group and 34th in the nation. I should point out that number is \$135 million for fiscal year 2001; two years later the same number is \$180 million. To get a preview of the future, the awards in 2001 -- which will be largely responsible for the expenditures in 2002 -- are at \$200 million. So we're moving quite rapidly in that respect.

Unfortunately, this chart is too small to read. It shows you the top 25 recipients of funds -- people who brought in big awards this year. However, I want to point out that these are not individual faculty members. These are groups of faculty members with large, coherent programs that have been extremely effective in persuading primarily the federal government to fund our research endeavors. These people are real leaders.

Finally, our academic program goals end up with implementing a research facilities plan. We've hired a consultant to look at our facilities planning for the research we want to do in the future over the next decade or so. We're also involved in a very careful research space survey for facilities and in overhead negotiations that are beginning, so that we can recruit from the federal government those funds which we need for our facilities.

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

Building Ohio's future, this is what it's all about. Our goal is to move new knowledge and technologies created by research into applications, so as to capture the full value of research for the citizens of Ohio, the nation, and the world. In order to do that, we need to provide clear paths for faculty to protect and commercialize the intellectual property that they create.

In coming decades, the contributions of university research to addressing major societal problems will grow ever more important. New technologies can help build Ohio's economy and contribute to The Ohio State University's budget. Our income from licensing new faculty technologies has been growing and is beginning to replace the income from our home run on feline leukemia virus, which has been running out.

If you study the materials in your Board book, you can go through these numbers and see the progress which is being made in this respect. I should point out that income and expenses are comparable, and at the same time we have created in this year seven start-up companies – a considerable improvement on our past record. The University has built an exceptional pipeline for moving intellectual property from the research laboratory out into the community.

Today, I am particularly pleased to be able to introduce Professor Janice Kiecolt-Glaser, our newest member of the Institute of Medicine. You have a short description of her exceptional career in your agenda books and I'm not going to waste her time repeating that. Please listen carefully to her remarks, as she and her colleagues have already executed the strategy that I believe will define Ohio State University as one of the truly great universities in the world. Jan --

Dr. Janice Kiecolt-Glaser:

Thank you. What I want to say first is that the election to IOM reflects the efforts and prominence not only of the work that I have done, but very much so my friend, colleague, and husband Dr. Ronald Glaser, who is responsible for the immunological side of our collaboration in terms of his University hats. He is associate vice president for research and professor of Molecular Virology, Immunology, and Medical Genetics. It also reflects the efforts and prominences of other colleagues in the Ohio State Behavioral Medicine Research Institute. We now have 13 faculty from four colleges who have a combined total in active grants of over \$57 million, and we're one of five NIH-designated Mind-Body Centers.

Let me give you a very brief thumbnail sketch of how we got where we are now from where we came from. When Ron and I started collaborating in 1982, what we knew about stress and immune function in humans was really pretty minor. We knew that astronauts had poorer immune function after splashdown than they did before lift-off, and that bereaved spouses had poorer immune function than non-bereaved people in the community.

But that didn't tell us a lot about what might be happening in terms of health, because those were very large and novel events. What happened with every day kinds of events? That's what Ron and I first started to study. What we did was begin to follow medical students across the academic year, during periods when they were taking exams and not. We could watch immune function cycle in students in low and high stress periods, suggesting that the immune system could be moved by even relatively small stressors. That is important even if you're not taking examinations because it suggests that if you have similar

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Kiecolt-Glaser: (contd)

emotional responses to what our students have during exams, immune function may move as well under those conditions.

We then began a series of longitudinal efforts looking at chronic stress in caregivers -- spouses who are care giving for a spouse with Alzheimer's disease or another progressive dementia. Our focus was on the spouse who was providing care, not the spouse with dementia, and looking at what happened to their immune function and health, compared to very well matched non-caregivers in the community. What we found was that with chronic stress there was not adaptation, that caregivers continue to have poorer immune function than non-caregivers in the community.

We could show then these differences in immune function, but we needed to be able to show the health relevance of those changes. In terms of infectious disease, that's a little bit difficult because not everybody meets the same viruses and bacteria. So Ron had a really tremendous idea and that was to challenge everybody with the same thing and see what would happen.

So we began a series of vaccine studies, looking at how people responded to vaccines immunologically. We found that medical students who are more stressed and more anxious took substantially longer to develop an antibody response to hepatitis B vaccine. Among our caregivers, we found that they showed substantially poorer responses to influenza and pneumococcal vaccines than non-caregivers in the community. That is really important because together pneumonia and influenza are the fourth leading cause of death in people over 75-years of age.

In further studies, we began to look at the effects of stress on wound healing. The early stages of wound healing are immunologically mediated in particular. We found that when we created a small, standardized wound in caregivers, it then took them twenty-four percent longer to heal than non-caregivers.

And when we wounded students during high stress periods, they would take forty percent longer on average to heal the same small, standardized wound. Stress has very big effects on wound healing. We're currently looking at the effects of a pre-surgical intervention study to see if we can enhance surgical recovery.

What we've talked about are infectious disease and wound healing, but more broadly one of the big and novel things in terms of the immune system is its relationship to a whole host of age-related diseases. Conditions associated with aging include: cardiovascular disease, osteoporosis, arthritis, Type II diabetes, certain cancers, frailty, and functional decline. What those all have in common is they're all associated with deregulation of proinflammatory cytokines, particularly interleukin-6, which prompts the production of C-reactive protein.

What we're now showing in our work is that stress enhances production of IL-6. Our caregivers have higher levels of IL-6 and it goes up more sharply than non-caregivers across a period of years. What we're seeing is evidence of how psychological stress can enhance risk for a variety of different causes in morbidity and mortality.

Finally, let me close on a positive note. I've given you all the gloom and doom about stress. There are a variety of things you can do to reduce stress, but the

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Kiecolt-Glaser: (contd)

other theme in our work has been the importance of close positive relationships. What we've shown repeatedly in a variety of studies is that individuals who have better and more satisfactory close personal relationships have better immune function and health. Thank you.

Dr. Moore:

Let me assure you that the wounding of students was approved by the Institutional Review Board -- it does not represent a serious research risk. This is a topic that everybody else thought was too difficult to take a scientific approach to, and so this is a group of people that is not easily intimidated. It is a group that's very multidisciplinary, has tackled a new area, created it, made it, and brought it to the ultimate level of respectability with the Institute of Medicine election. It's a real thrill to be associated with this work. Both of us would be pleased to answer any questions.

Mr. McFerson:

Dr. Glaser, that's fascinating. It makes a lot of sense, too, to a non-medical person. With additional research dollars, which, hopefully, you'll secure, where would you like to see this research go next?

Dr. Kiecolt-Glaser:

We're starting to move in the area of cancer and also allergies are our newest and most promising areas. They are areas that haven't been well tackled before and they're the places we want to go. However, in terms of clinical applications, I think what the work we're doing is beginning to suggest is the importance of mental health and mental health treatment in a variety of ways.

The other thing that I think we're beginning to see is that there have been a number of public health campaigns for a variety of things from vegetables on, but in our work we know that close relationships are so important. What we don't see are public health campaigns about the importance of family and close relationships. Those are things that in many ways on a broader scale are going to be important to emphasize and study more in the future.

Ms. Hendricks:

Do either one of you see -- based on the current situation in the federal government because of the spending that's happened since September 11 -- any shifts or trends that could suggest that the NIH or NSF would not be as supportive for this research in the future? We seem to be very dependent on that research for our projections for the future. Do you have any sense of where the administration or Congress is with regards to research?

Dr. Moore:

Certainly the administration and Congress are both moving funds into areas that are responding to the terrorist threat. This really opens an area of research that has not been neglected and, in fact, we have some outstanding people. For example, Darrell Galloway is developing a new type of vaccine for anthrax and some of our faculty colleagues from the Mershon Center have been advising the security part of our government for some time on terrorism and terrorist threats with substantial accuracy.

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

Frankly, we're the best university in the country to help our nation respond to this threat. This could be a significant opportunity in terms of the growth of our research program. That certainly remains to be seen.

President Kirwan:

I think a part of Karen's question is what is your best guess as to the total investment by the federal government in research? Is there any indication that the war effort in any way threatens the investment in research?

Dr. Moore:

I think that's a potential problem. One might see a leveling in the NIH and NSF budgets in the near term, but, frankly, I doubt it. I think that so many of the responses to terrorism and in anticipation of terrorist activities is science and technology problems, particularly health care problems and bioterrorism. This is more likely to lead to an increase in research areas that we can respond to. It also leads to greatly increasing the importance of humanities and social sciences, together with the sciences, in working on this problem. Because, fundamentally, it is a political problem. I would envision that our program would have political leadership.

Ms. Hendricks:

Considering the growth that you're projecting in research, how well-equipped do you feel we are for handling the support required for that kind of growth at the University?

Dr. Moore:

It's obviously key to support the faculty at a world class level if you want world class research to be conducted. We will have to get even more efficient with the services we deliver and expand those services as the magnitude of the program grows.

Mr. Patterson:

Dr. Moore and Dr. Glaser, thank you very much for being with us today.

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CONSENT AGENDA

President William E. Kirwan:

We have twelve resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend the following resolutions to the Board:

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS

Resolution No. 2002-55

Synopsis: The amendments to the University Hospitals Board Bylaws are recommended for approval.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

WHEREAS pursuant to bylaw 3335-103-01 of the University Hospitals Board Bylaws, the University Hospitals Board Bylaws may be amended by The Ohio State University Board of Trustees; and

WHEREAS the amendments to the University Hospitals Board Bylaws have been endorsed and ratified by the University Hospitals Board on November 15, 2001, as follows:

Amended Hospitals Board Bylaws

3335-93-01 University hospitals board.

(A) The body responsible to the Ohio state university board of trustees for oversight of patient care services, financial performance, and the university hospitals' support of the Ohio state university health sciences academic programs, shall be known as the university hospitals board (herein called hospitals board).

(1)
(A) The hospitals board will be composed of two members of the Ohio state university board of trustees (trustee members), twelve citizens from the general public (citizen members), and the chairperson of the integrated faculty practice group or other senior faculty physician leader, all appointed by the Ohio state university board of trustees in consultation with the president of the university. ~~Except as otherwise specified in these bylaws, no employee of the university shall be eligible for board membership.~~

(2)
(B) The president of the Ohio state university ~~shall be an ex-officio member of the hospitals board.~~ The, the executive director, medical director and chief of the medical staff of university hospitals, ~~and~~ senior vice president for health sciences and dean of the college of medicine and public health, and vice president for health services shall be ex-officio members with vote of the hospitals board.

(3)
(C) Insofar as feasible, the selection criteria will ensure that the hospitals board membership will include persons with business expertise, persons with legal expertise, persons with knowledge of health care issues delivery, persons knowledgeable about the academic mission of university hospitals, and persons who can assist the hospitals in its relations with the public and governmental entities. Selection processes will incorporate the ~~affirmative action~~ diversity policies of the university.

(4)
(D) The term of office for the twelve citizen members will be three years. At the discretion of the Ohio state university board of trustees, any citizen member may serve three consecutive ~~full~~ terms.

(5)
(E) In addition to the hospitals board members, the following, at the hospitals board's request, will participate in deliberations, will receive agenda and minutes, and from time to time will be invited to attend hospitals board meetings and other activities, and to submit agenda items:

~~Persons designated by the university's board of trustees as emeritus
Hospitals board members
Deans of health sciences colleges~~

(6)
(F) The president of the Ohio state university will serve as the university's primary administrative contact with the hospitals board and shall interpret proposals and recommend actions of the hospitals board to the university's board of trustees.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (7)
- (G) The executive director of university hospitals will serve as the secretary to the hospitals board and provide such staff services for the hospitals board as may be requested.
- (B) ~~In carrying out its responsibilities as defined in rule 3335-93-02 of the Administrative Code, the board shall seek continuing guidance from health professionals, management specialists, and others with professional expertise. The hospitals board may choose to have a periodic review of university hospitals activities by a board of visitors. The visitors, consisting of persons of national eminence in health care fields, would be selected in consultation with the president of the university.~~
- (C) ~~In recognition of unique contributions to university hospitals, former members of the hospitals board may be designated by the university's board of trustees as emeritus hospitals board members. Emeritus hospitals board members will be non-voting members, and will serve for a three-year term of office. At the end of the three-year emeritus term their board service will conclude and there will be no further service or attendance requirements. (B/T 5/2/80, B/T 6/10/82, B/T 4/1/83, B/T 3/2/84, B/T 2/1/85, B/T 7/8/88, B/T 6/4/93, B/T 10/1/93, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)~~

3335-93-02 Powers and duties.

Members of the hospitals board shall be responsible to the university board of trustees for the oversight of patient care services in and financial performance of university hospitals and for ensuring that the hospitals' activities support the health sciences programs of the university. Although it is understood that the university board of trustees cannot delegate its ultimate authority over and responsibility for the hospitals--including determination of policy for the fiscal health of the university hospitals, its personnel policies, or the definition of the hospitals' mission--the hospitals board will be delegated the authority and responsibility set forth herein, consistent with Ohio law. The university hospitals board will be responsible for, subject to the authority and periodic review of the university board of trustees, the following:

- (A) ~~Assuring the quality of care including the delivery of patient services, the development of quality assurance policies and practices by the hospitals staff, and adequate~~ including formation of quality assessment and improvement mechanisms within the hospitals and monitoring the achievement of quality standards and goals.
- (B) Unchanged.
- (C) ~~Oversight of relationships with outside agencies, both private and public~~ Monitoring the implementation of the university medical center integrity program as adopted by the Ohio state university board of trustees.
- (D) ~~Oversight of hospitals' compliance with federal and state laws~~ Establishing educational programs for its members and periodically assessing its own performance.
- (E) Oversight of ~~hospitals'~~ the accreditation and licensure process.
- (F) Approval of medical and dental appointments, clinical privileges, and disciplinary actions upon the recommendation of the appropriate official, ~~subject where required to final action of the Ohio state university board of trustees~~ officials and/or medical staff administrative committee.
- (G) Approval of medical staff bylaws amendments and recommendation thereof to the Ohio state university board of trustees.
- (H) Review and approval of ~~current funds~~ operating and capital budgets prior to their submission to the Ohio state university board of trustees by the university president.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (I) ~~Advice and counsel to the president and/or his designee on matters of institutional income and expenditures, fees for institutional services, and utilization of hospitals services~~ Monitoring financial performance including the achievement of financial goals and targets.
- (J) Creating committees it deems necessary, which may be directed by the hospitals board to act on its behalf, to carry out its responsibilities, as consistent with its powers and duties described above.
- (K) ~~Developing a~~ Approving and monitoring strategic plans consistent with the strategic plan for the hospitals university medical center.
- (L) ~~Approve~~ Approval of the purpose and articles of incorporation of any organization wishing to establish itself as an auxiliary service organization to the university hospitals. (B/T 5/2/80, B/T 6/10/82, B/T 10/1/93, B/T 12/6/2001)

3335-93-03 Accountability.

To ensure that the Ohio state university board of trustees meets its governance obligations under Ohio law, the hospitals board will be accountable, through the president, the senior vice president for health sciences, and the vice president for health services to the Ohio state university board of trustees.

- (A) The appointment of the executive director of university hospitals will be approved by the Ohio state university board of trustees upon recommendation of the president, the senior vice president for health sciences, and the vice president for health services of the university following consultation with the hospitals board.
- (B) ~~Recommendations and reports of the hospitals board that require Ohio state university board of trustees' review or action will be communicated through the president of the university to the Ohio state university board of trustees.~~
- (C) The Ohio state university board of trustees will continue to hold the president of the university responsible, the senior vice president for health sciences, and the vice president for health services for the conduct of the academic programs and for ensuring that the hospitals are operated in a manner consistent with university policy and state and federal law. (B/T 5/2/80, B/T 6/10/82, B/T 2/1/85, B/T 12/6/2001)

3335-93-04 Meetings and notice.

- (A) Regular meetings. Regular meetings of the hospitals board may be held ~~at the hospital~~ each month, or on a schedule established by the board, at times which shall be set and publicly announced by the chairperson of the board, and/or at such other time or place as may be announced by the chairperson.
- (B) Special meetings. Special meetings may be called at the direction of the chairperson, ~~or~~ and shall be called by the chairperson at the request of three members of the board, provided that notice to all board members shall be given not less than five days prior to the meeting. The notice shall state the time, place, and purpose of the meeting.
- (C) Except as otherwise specified in these bylaws, all meetings of the hospitals board and its committees shall be conducted in accordance with the latest revision of "Robert's Rules of Order."
- (D) Meetings of the hospitals board shall be open to the public, except for executive sessions called for reasons permissible under Ohio law. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-93-05 Quorum.

At least a majority of the current voting membership of the hospitals board shall be necessary for a quorum. (B/T 5/2/80, B/T 10/1/93, B/T 12/6/2001)

3335-93-06 Vacancies.

Whenever a vacancy occurs on the hospitals board, notice shall be given immediately to the president of the university so that the Ohio state university board of trustees may appoint a new member as soon as possible to fill the unexpired term. (B/T 5/2/80, B/T 12/6/2001)

3335-93-08 Indemnification of hospitals board members.

Members of the hospitals board will be provided legal defense and indemnification against any claims or liabilities which might arise from the performance of their duties on behalf of the hospitals to the full extent permitted by Ohio law. (B/T 5/2/80, B/T 12/6/2001)

3335-93-09 Compensation of hospitals board and board committee members.

No hospitals board member shall receive compensation for services rendered in the capacity as a board member. However, nothing herein shall be construed to preclude any board member or committee member from receiving reimbursement for actual expenses incurred for serving the hospitals as a board or committee member. (B/T 5/2/80, B/T 12/6/2001)

3335-93-10 Responsibilities of executive director of university hospitals.

The executive director shall have such authority as may be conferred by the senior vice president for health sciences and dean of the college of medicine and public health, the vice president for health services, and the hospitals board. The executive director shall be responsible for the operation of university hospitals and shall serve as the chief executive and operating officer. The executive director will coordinate and prioritize matters of capital medical equipment, clinical space, and clinical programs with the medical director. (B/T 4/6/90, B/T 2/2/96, B/T 10/1/99, B/T 12/6/2001)

3335-93-11 Confidentiality and conflicts of interest.

In addition to any restrictions or obligations set forth in Chapter 102 of the Revised Code, and recognizing that board members and committee members have a duty of loyalty and fidelity to the university and to the university medical center and that they must govern its affairs honestly, exercising their best care, skill and judgment for the benefit of the university medical center so as to avoid even the appearance of impropriety, the members of the board and its committees shall disclose to the university hospitals board any situation wherein such person has a conflict of interest that could possibly cause that person to act in other than the best interest of the university medical center and university hospitals. In any such situation the member shall abstain from acquiring any information developed by the university medical center and university hospitals and from participating in any discussions or voting related to such situation. In addition, all members of the board and its committees shall keep confidential all sensitive information of every kind including the strategic goals of groups, entities or subdivisions within the university medical center to the extent permitted by law. Members of the board and its committees also shall abide by all confidentiality and conflict of interest policies and programs adopted by the Ohio state university board of trustees from time to time. (B/T 12/6/2001)

3335-95-01 Officers.

The officers of the board shall be members of the board and shall consist of a chairperson, vice chairperson, and such other officers as the board may deem advisable. The chairperson and ~~other officers~~ vice chairperson, chosen from among the citizen members, shall be ~~elected~~

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

appointed annually by the Ohio state university board of trustees upon recommendation of the president. No officer may serve more than two successive terms. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

3335-95-02 Chairperson.

The chairperson of the board shall appoint all committee members; shall preside at all meetings of the board; shall be responsible for approving the agenda for board meetings; and shall make an annual report to the university board of trustees and such other reports as the Ohio state university board of trustees may require; ~~shall serve as an ex-officio member, without vote, on all standing and special committees unless otherwise specified in the bylaws.~~ The chairperson shall have such other and further duties and authority as may be prescribed elsewhere in these bylaws, or from time to time by the hospitals board. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

3335-97-01 Committee designations.

The board shall establish an executive committee, a strategic planning committee, an administrative/operations committee, a professional affairs/education/research committee, a finance and audit committee, and special purpose committees. The chairperson of the board shall appoint the board's representatives to the committees; the president of the university may designate any officer of the university to attend meetings of the committees as ex-officio members without vote. The senior vice president for health sciences and dean of the college of medicine and public health and the medical director, may attend meetings as ex-officio members ~~without vote. As non-voting secretary of the board, the~~ The hospitals executive director shall attend all meetings and act as secretary. (B/T 5/2/80, B/T 4/6/90, B/T 2/2/96, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

3335-97-02 Executive committee.

(A) Responsibilities. The executive committee shall:

- (1) Transact business of the hospitals board between regular meetings of the board.
- (2) Coordinate the board's education and self-evaluation activities, and interaction with the Ohio state university board of trustees. The summary of the annual self-evaluation will be shared with the full hospitals board and communicated to the university trustees in accordance with ~~paragraph (B) of rule 3335-93-03 of the Administrative Code.~~
- (3) and (4) Unchanged.
- (5) ~~Receiving~~ Receive and ~~acting~~ act on reports from the medical staff administrative committee and/or the professional affairs/education/research committee regarding credentialing; ~~subject when required to final action of the Ohio state university board of trustees.~~

(B) Composition. The executive committee of the hospitals board shall consist of: the chairperson of the hospitals board, who will serve as chairperson of the committee; the vice chairperson of the hospitals board; the immediate past chairperson of the hospitals board; the president of the university; ~~and the chairpersons of all standing committees of the hospitals board;~~ and the two trustee members of the hospitals board. The senior vice president for health sciences and dean of the college of medicine and public health, or other officer designated by the president of the university, and the medical director, the hospitals executive director, the chief of the medical staff, and the chief of staff elect, shall serve as ex-officio members ~~without vote.~~

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (C) Meetings. The executive committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 2/1/85, B/T 4/6/90, B/T 6/4/93, B/T 10/1/93, B/T 2/2/96, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

3335-97-03 Strategic planning committee.

- (A) Responsibilities. The strategic planning committee shall be responsible for the following specific duties:

(1) and (2) Unchanged.

(3) Updating the combined strategic plan of ~~hospital~~ university hospitals and college of medicine and public health with appropriate consideration of other health-related colleges and including monitoring external factors affecting all of the preceding.

(4) Unchanged.

(5) Such other responsibilities as assigned by the chairperson of the hospitals board.

- (B) Composition. The committee shall consist of four board members, appointed annually by the chairperson of the hospitals board, one of whom shall be appointed as chairperson of the committee; and the two members of the medical staff nominated by the medical staff.

- (C) Meetings. The strategic planning committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 9/5/80, B/T 2/1/85, B/T 4/6/90, B/T 6/6/97, B/T 12/6/2001)

3335-97-04 Administrative/operations committee.

- (A) Responsibilities. The administrative/operations committee shall be responsible for the following specific duties:

(1) through (7) Unchanged.

(8) Such other responsibilities as assigned by the chairperson of the hospitals board.

- (B) Composition. The committee shall consist of four board members, appointed annually by the chairperson of the hospitals board, one of whom shall be appointed as chairperson of the committee; and two members of the medical staff nominated by the medical staff.

- (C) Meetings. The administrative/operations committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 9/5/80, B/T 2/1/85, B/T 4/6/90, B/T 6/4/93, B/T 12/6/2001)

3335-97-05 Professional affairs/education/research committee.

- (A) Responsibilities. The professional affairs/education/research committee shall be responsible for the following specific duties:

(1) through (8) Unchanged.

(9) Such other responsibilities as assigned by the chairperson of the hospitals board.

- (B) Composition. The committee shall consist of four board members, appointed annually by the chairperson of the hospitals board, one of whom shall be appointed as chairperson of the committee; and the medical director, the chief of the medical staff and the chief of staff-elect.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (C) Meetings. The professional affairs/education/research committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 9/5/80, B/T 11/7/80, B/T 9/4/81, B/T 2/1/85, B/T 4/6/90, B/T 6/4/93, B/T 10/1/93, B/T 12/6/2001)

3335-97-06 Finance and Audit Committee.

- (A) Responsibilities. The finance and audit committee shall be responsible for the following specific duties:

- (1) Reviewing and recommending of the annual operating and capital budgets to the university hospitals board.
- (2) Receiving and reviewing the annual fiscal audit of the hospitals and maintaining relationships with outside auditors.
- (3) Making recommendations for the investment and management of resources.
- (4) Approving policies regarding fiscal planning.

- (B) Composition. The committee shall include meet at the call of the chairperson and shall advise the hospitals board of its activities. (the vice president for health services, at least three other university hospitals board members, one of whom shall be appointed as the chairperson of the committee, a senior member of the medical staff, and such others as determined by the chairperson.

- (C) Meetings. The finance and audit committee shall B/T 12/6/2001)

3335-99-01 Relationships of hospitals to health sciences, academic, and research programs.

The health sciences colleges of the university carry out a significant portion of their educational and research activity in university hospitals. Although the hospitals board has not been delegated specific responsibilities for academic programs, it shall lend its best efforts to assure that the programs of the health sciences colleges are effectively supported in collaboration with the hospitals patient care programs. The senior vice president for health sciences and dean of the college of medicine and public health and vice president for health services shall be charged with maintaining an effective liaison between the health sciences colleges and the hospitals board to assure excellence in both academic and patient care programs. (B/T 5/2/80, B/T 2/1/85, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

3335-101-01 General.

The hospitals board holds the medical staff organization accountable to the board for establishing and maintaining standards of medical care. The hospitals board will review and approve the medical staff bylaws before they are submitted to the Ohio state university board of trustees. (B/T 5/2/80, B/T 12/6/2001)

3335-101-02 Medical staff.

For purposes of Chapter 3335-101 of the Administrative Code, the words "medical staff" shall include all physicians, psychologists, podiatrists, and dentists who are authorized to attend patients in the hospital or in any other medical care activity administered by the hospitals, and may include such other professionals as the medical staff bylaws designate. (B/T 5/2/80, B/T 12/6/2001)

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-101-03 Medical staff organization.

The hospitals board shall approve and authorize the organization of the medical staff to discharge those duties and responsibilities assigned to it by the hospitals board and specifically to accomplish the following purposes, among others:

- (A) To monitor the quality of medical care in the hospital and make recommendations to the hospitals board to ensure that all patients--admitted to or treated at any of the facilities, departments, or services of the hospitals--receive high quality medical care.
- (B) To recommend to the professional affairs/education/research committee of the hospitals board the appointment or reappointment of an applicant to the medical staff of the hospitals, the clinical privileges such applicant shall enjoy in the hospitals, and appropriate action that may be necessary in connection with any member of the medical staff.

(C) and (D) Unchanged.

3335-101-04 Medical staff bylaws.

The medical staff organization shall recommend to the board medical staff bylaws, rules, and regulations that set forth the medical staff organization and the governance process for maintaining such bylaws, rules, and regulations to accomplish the purposes set forth in rule 3335-101-03 of the Administrative Code. When such bylaws, rules, and regulations are adopted by the board and the Ohio state university board of trustees, they shall become effective and become part of the bylaws, rules, and regulations of the hospitals. The medical staff organization shall also be responsible for reviewing these bylaws, rules, and regulations periodically and recommending appropriate revisions to the hospitals board. (B/T 5/2/80, B/T 12/6/2001)

3335-101-05 Appointment to the medical staff and assignment of clinical privileges.

Upon recommendation of the medical staff and in accordance with the medical staff bylaws, the hospitals board may appoint ~~faculty members who are graduates of recognized medical and dental schools, physicians, dentists, psychologists, and podiatrists~~ meeting the qualifications prescribed in the medical staff bylaws, to membership on the medical staff of the hospitals and shall grant clinical privileges to such persons, ~~subject to ratification of the Ohio state university board of trustees.~~ Appointment to the medical staff carries with it full responsibility for the treatment of ~~individual patients of the university hospitals~~ patients subject to such limitations as may be imposed by the hospitals board or the bylaws, rules, and regulations of the medical staff. Appointments to the medical staff shall be for two years, renewable in accordance with the reappointment procedure set forth in the medical staff bylaws. Reappointments to the medical staff will be made every other year by the board, and shall be for two years. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

3335-101-06 Medical staff administrative committee.

- (A) Purpose. The medical staff administrative committee shall establish and maintain means of accountability to the hospitals board, in accordance with the medical staff bylaws. It shall concern itself primarily with the quality of medical care within the hospitals. It shall receive and act upon all medical staff committee reports and make recommendations regarding medical staff status and privileges to the hospitals board. Other specific duties of the medical staff administrative committee are identified in the medical staff bylaws.

(B) and (C) Unchanged.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-101-07 Hospitals clinical departments.

- (A) Appointment of the chief of each clinical department is subject to approval by the hospitals board on the recommendation of the dean of the applicable professional school and the senior vice president for health sciences and dean of the college of medicine and public health. All such appointments shall be periodically reviewed by the hospitals board. Chiefs of clinical departments' vacancies may be filled on an interim basis at any time by action of the dean of the appropriate professional college, after consulting with the hospitals board. (In general, the chiefs of clinical departments will be chairpersons of corresponding academic departments in the medical college.)
- (B) The hospitals board may delegate, through approval of the medical staff bylaws or by appropriate board resolution, to the chiefs of the clinical departments responsibility for maintaining the quality of medical care in their services, and for recommending an applicant's appointment or reappointment to the medical staff of the hospitals and privileges for such an applicant.
- (C) The senior vice president for health sciences and dean of the college of medicine and public health shall appoint a medical director who ~~shall serve as the chief medical officer who reports to the senior vice president and dean~~ executive director of university hospitals, to the chief medical officer of the university medical center, and to the hospitals board. In matters relating to medical care in the hospitals, members of the clinical departments of the hospitals are accountable to the clinical chiefs, who are accountable to the medical director, ~~who is accountable to the senior vice president for health sciences and dean of the college of medicine and public health and to the board. The senior vice president and dean in this capacity is accountable directly to the board. If one individual holds both the dean and senior vice president position, the medical director will serve as the chief medical officer in the hospital.~~
- (D) The medical director shall be a physician and shall maintain an appointment as an attending ~~or courtesy~~ staff member of the medical staff. The medical director shall have authority as conferred by the senior vice president ~~and dean~~ for health sciences and the hospitals board; including the responsibility for clinical research and education programs and services at university hospitals, supervision of patient and clinical activity; and responsibility for the clinical organization of the hospitals. The medical director shall direct and supervise the medical staff quality assurance, utilization review, and credentialing activity. The medical director shall establish priorities, jointly with the executive director of the hospitals, for capital medical equipment, clinical space, and the establishment of new clinical programs, or the revision of existing clinical programs. (B/T 5/2/80, B/T 9/5/80, B/T 2/1/85, B/T 4/8/88, 4/6/90, B/T 6/4/93, B/T 2/2/96, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

Chapter 3335-104

SPECIALIZED BOARDS

3335-104-01 Designations.

From time to time, the Ohio state university board of trustees may establish one or more specialized boards dedicated to the unique mission of a specific facility, service, or program of university hospitals. All members of a specialized board shall be appointed by the Ohio state university board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university. The term of office for each member of a specialized board, other than an ex-officio member, will be three years. The executive director and medical director of the facility or service respectively appointed by the vice president for health services and the senior vice president for health sciences shall be ex-officio members of the specialized board. At the discretion of the Ohio state university board of trustees, any member may serve three consecutive terms. (12/6/2001)

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-104-02 OSU & Harding hospital board.

- (A) There is hereby created the OSU & Harding hospital board. It shall:
- (1) Review, recommend and monitor strategic initiatives for behavioral health services.
 - (2) Monitor financial performance of OSU & Harding including the achievement of financial goals and targets consistent with those set by the university hospitals board.
 - (3) Approve and monitor quality assessment and improvement mechanisms specifically for behavioral health services.
 - (4) Perform such other responsibilities as agreed upon by the Ohio state university hospitals board and the Ohio state university board of trustees.
- (B) The vice president for health services shall solicit nominations from Harding medical center for four members of the OSU & Harding hospital board.
- (C) The OSU & Harding hospital board shall meet at the call of its chairperson who shall advise the university hospitals board of its activities. (12/6/2001)

3335-104-03 University hospitals east board.

- (A) There is hereby created the university hospitals east board. It shall:
- (1) Review, recommend and monitor strategic initiatives for university hospitals east.
 - (2) Monitor the financial performance of university hospitals east including the achievement of financial goals and targets consistent with those set by the university hospitals board.
 - (3) Approve and monitor quality assessment and improvement mechanisms for university hospitals east.
 - (4) Perform such other responsibilities as agreed upon by the university hospitals board and the Ohio state university board of trustees.
- (B) The university hospitals east board shall meet at the call of its chairperson who shall advise the university hospitals board of its activities. (12/6/2001)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the University Hospitals Board Bylaws be adopted as recommended by the University Hospitals Board.

TRANSFER OF OFFICE AND PROGRAMS

Resolution No. 2002-56

Synopsis: Approval of the transfer of the Office of Continuing Education to the Office of Outreach and Engagement, with oversight by the Vice President for Agricultural Administration and University Outreach, and the approval of the transfer of the Bridge Program and Evening Degree and Certification Program to the Office of Undergraduate Studies, with oversight by the Vice Provost and Dean of Undergraduate Studies, is proposed.

TRANSFER OF OFFICE AND PROGRAMS (contd)

WHEREAS the Office of Continuing Education was an integral part of University College; and

WHEREAS University College merged with the Colleges of the Arts and Sciences Administration into a new unit named the Office of Undergraduate Student Academic Services, as approved by the Board of Trustees on May 4, 2001; and

WHEREAS the Vice Provost and Dean of Undergraduate Studies and the Vice President for Agricultural Administration and University Outreach agree that the Office of Continuing Education serves as an outreach program for Ohio's citizens who are not typically undergraduate students at The Ohio State University; and

WHEREAS the Vice Provost and Dean of Undergraduate Studies and the Vice President for Agricultural Administration and University Outreach agree that two programs within the Office of Continuing Education that largely serve undergraduate students -- the Bridge Program, for Ohio State employees who wish to begin college course work, and the Evening Degree and Certification Program -- would be better managed by transferring their administrative home to the Office of Undergraduate Studies; and

WHEREAS to provide an equitable exchange of funds with services rendered, some of the monies noted as "Instructional Funds" and "Credit Programs" in the Office of Continuing Education's General Unrestricted Funds Budget should be transferred immediately to the Office of Undergraduate Studies since these funds are designated for course funding; and

WHEREAS the remaining funds should continue as part of the budget of the Continuing Education program to provide support for innovative outreach instructional programs, including distance education, which are the responsibility of the Office of Outreach and Engagement:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the transfer of the Office of Continuing Education to the Office of Outreach and Engagement, the transfer of the Bridge Program and the Evening Degree and Certification Program to the Office of Undergraduate Studies, and the immediate transfer of funds as outlined above, effective immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2002-57

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on November 8, 2001:

Amended Rules

3335-5-484 Council on student affairs.

(A) Membership.

The council on student affairs shall consist of twenty members.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (1) Unchanged.
- (2) ~~Nine~~ Ten students.
 - (a) ~~Two~~ Three graduate students selected by the council of graduate students. The term of service is one year.
 - (b) ~~One~~ Two professional ~~student~~ students selected by the inter-professional council. The term of service is one year.
 - (c) and (d) Unchanged.
 - (e) ~~One graduate or professional student appointed on an annually rotating basis by the council of graduate students and the inter-professional council. The term of service is one year.~~

(3) through (6) unchanged.

(B) and (C) unchanged.

3335-9-14 Time of registration and payment of fees; penalties.

(A) and (B) unchanged.

- (C) All fees, including penalties, must be paid on or before the ~~fourteenth~~ fifteenth day after classes begin each quarter, semester, or session. The university reserves the right to refuse registration if fees are not paid by the date on which they are due. Individual exceptions to this provision may be made only upon the approval of the executive vice president and provost or designee. (B/T 4/4/97, B/T 12/4/98, B/T 12/6/2001)

3335-23-21 Administrative disenrollment and other restrictions.

(A) and (B) unchanged.

- (C) A student who has been disenrolled; prohibited from university premises, university-related activities or registered student organization activities; or permitted to remain only under specified conditions may petition the vice president for student affairs for revision of that status. The petition must include supporting documentation or evidence that:

- (1) The conditions found to have existed under paragraph (A)(1) or (A)(2) of this rule no longer exist and will not recur, and
- (2) The student meets all normal and appropriate standards for admission and enrollment in any academic unit in which the student seeks to re-enroll.

Upon receipt of such a petition, the vice president for student affairs or designee shall evaluate the evidence and may consult with the student, any appropriate university personnel, and any other persons whom the vice president for student affairs or designee deems appropriate. The vice president for student affairs or designee may deny the petition, grant the petition in whole or in part under specified conditions, or grant the petition in whole or in part without condition. In the event of a negative determination by the vice president for student affairs, the student may request to have the decision reviewed by the executive vice president and provost.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

DEGREES AND CERTIFICATES - AUTUMN QUARTER COMMENCEMENT

Resolution No. 2002-58

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on December 7, 2001, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2002-59

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 2, 2001 meeting of the Board, including the following Appointments, Reappointment of Director, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved November 14, 2001, by The Ohio State University Hospitals Board, and the Medical Staff Appointments approved November 20, 2001, by The James Cancer Hospital Board, be ratified.

Appointments

Name:	ALICIA L. BERTONE
Title:	Professor (The Trueman Chair in Equine Clinical Medicine and Surgery)
Department:	Veterinary Clinical Sciences
Term:	December 1, 2001 through November 30, 2006
Name:	MICHAEL V. KNOPP
Title:	Professor (The Novartis Pharmaceuticals Corporation Chair for Clinical Research)
Department/Division:	Radiology/Neuroscience
Term:	December 1, 2001, through November 30, 2006
Present Position:	Vice Chair, Diagnostic Radiology Department, Warren Grant Magnuson Clinical Center, National Institutes of Health, Bethesda, MD

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: CAROLINE C. WHITACRE
Title: Associate Vice President for Health Sciences Research and Vice Dean
for Research
Office/College: Health Sciences/Medicine and Public Health
Effective: December 1, 2001
Present Position: Interim Associate Vice President for Health Sciences Research and Vice
Dean for Research

Reappointment of Director

July 1, 2002 through June 30, 2006

School of Journalism and Communication

Carroll J. Glynn

Professional Improvement Leaves

JAN EDWARDS, Associate Professor, Department of Speech and Hearing Science, effective Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

MARGARITIS FOURAKIS, Associate Professor, Department of Speech and Hearing Science, effective Winter Quarter, Spring Quarter, and Summer Quarter 2003.

Emeritus Titles

MARJORIE E. MURFIN, University Libraries, with the title Professor Emeritus, effective January 1, 2002.

FOREST V. MUIR, Department of Animal Sciences, with the title Professor Emeritus, effective January 1, 2002.

KARL E. NESTOR, SR., Department of Animal Sciences, with the title Professor Emeritus, effective January 1, 2002.

Medical Staff Appointments (The Ohio State University Medical Center)

October 2001

Roula Al-Dahhak, M.D., Neurology, PGY1 Limited, 07/01/2001-06/30/2003
Syed S. Ali, D.O., M.B.B.S., Surgery, PGY1 Limited, 07/01/2001-06/30/2003
Lisa M. Avery, M.D., Neurology, PGY1 Limited, 07/01/2001-06/30/2003
Curtis L. Binder, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2003
Philippe Chahine, D.M.D., Dentistry, PGY1 Limited, 07/01/2001-06/30/2003
Anna K. Corbin, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Lance P. Criblez, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Lazaro L. Delgado, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Ravi S. Dhawale, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Michelle A. Douglass, M.D., Anesthesiology, Community Affiliate, 10/25/2001-06/30/2003
Esther U. Elphick, M.D., Psychiatry, PGY1 Limited, 07/01/2001-06/30/2003
Wenshi Gao, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003
Kenneth C. Graffeo, M.D., Surgery, PGY3 Limited (Rotating), 10/25/2001-12/01/2001
Atef N. Hanna, M.D., Pathology, PGY1 Limited, 07/01/2001-06/30/2003
Sheri L. Hart, M.D., Neurology, PGY2 Limited, 07/01/2001-06/30/2003
Jordan E. Hopkins, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Julianne C. Huefner, M.D., Internal Medicine, Attending, 08/14/2001-06/30/2003
Deborah L. Jacobson, M.D., Family Medicine, Fellowship 1st Year, 10/25/2001-06/30/2003
John M. Jonesco, D.O., Family Medicine, PGY2 Limited, 07/01/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

October 2001 (contd)

Matthew R. Karlen, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2003
Kari L. Kendra, M.D., Ph.D., Internal Medicine, Attending, 10/25/2001-06/30/2003
Edward Kim, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2003
Ralph D. Lach, M.D., Internal Medicine, Courtesy, 10/25/2001-06/30/2003
Juan F. Luque, M.D., D.D.S., Dentistry, PGY1 Limited, 10/25/2001-06/30/2003
Robert J. Masone, M.D., Anesthesiology, Attending, 10/25/2001-06/30/2002
Leon McDougale, M.D., Family Medicine, Attending, 10/25/2001-06/30/2002
Christina M. Medrano, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Hans B. Miller, M.D., Anesthesiology, PGY2 Limited, 10/25/2001-06/30/2002
Kevin S. Miller, M.D., Internal Medicine, Attending, 10/01/2001-06/30/2002
Roberta L. Mitch, C.N.P., Obstetrics and Gynecology, Licensed Healthcare Professional, 10/25/2001-06/30/2002
Betty M. Mitchell, M.D., Preventive Medicine, PGY2 Limited, 07/01/2001-06/30/2002
Marvin J. Ott, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
James C. Pavelka, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001-06/30/2002
Anna A. Petrova, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Bhuvaneswari Ramaswamy, M.D., Internal Medicine, PGY3 Limited (Rotating), 10/01/2001-10/31/2001
Amy K. Ramey, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Abhik Ray Chaudhury, M.B.B.S., Pathology, Attending, 10/25/2001-06/30/2002
Archana V. Reddy, M.D., Ophthalmology, PGY2 Limited, 07/01/2001-06/30/2002
Timothy L. Smullen, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2002
Rundsarah M. Tahboub, M.D., Internal Medicine, PGY2 Limited, 07/01/2001-06/30/2002
Diane H. Tran, M.D., Anesthesiology, PGY2 Limited, 10/25/2001-06/30/2002
Kaliope E. Varakis, M.D., Radiology, PGY6 Limited, 10/25/2001-06/30/2002
Jacqueline S. Ward, M.D., Internal Medicine, Fellowship 1st Year, 10/25/2001-06/30/2002
Marvin Williams, Jr., D.O., Obstetrics and Gynecology, Fellowship 1st Year, 07/01/2001-06/30/2002
Yiqing Xu, M.D., Internal Medicine, Fellowship 1st Year, 09/20/2001-06/30/2002
Larry Z. Zheng, M.D., Radiology, Fellowship 1st Year, 07/01/2001-06/30/2002

Request for Additional/Special Privileges (The Ohio State University Medical Center)

October 2001

Gregory E. Guy, M.D., Radiology, Attending, Conscious Sedation, 10/25/2001-06/30/2002
Robert J. Masone, M.D., Anesthesiology, Attending, Surgical Yag, Holmium Laser, 10/25/2001-06/30/2002
Amer Rajab, M.D., Ph.D., Transplant Surgery, Attending, Nephrectomy, 10/25/01-06/30/2002

Medical Staff Appointments (The Ohio State University Medical Center)

November 2001

Victor J. Barney, M.D., Anesthesiology, Fellowship 1st Year, 11/15/2001-06/30/2003
Inbar Ben-Shachar, M.D., Obstetrics and Gynecology, PGY5 Limited, 11/15/2001-06/30/2003
Rebecca J. Bruner, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Kenneth O. Cayce, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Eileen Lee Cohen, Ph.D., Family Medicine, Attending/PhD, 11/15/2001-06/30/2003
Mirela Crisan, M.D., Family Practice, PGY1 Limited, 07/01/2001-06/30/2003
Bakri H. El-Sheikh Mohamed, M.B.B.S., Neurology, PGY2 Limited, 07/01/2001-06/30/2003
Gordon Y. Kim, D.O., Internal Medicine, PGY4 Limited, 11/15/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

November 2001 (contd)

Cheri L. Kovalenko, C.N.P., Family Medicine, Licensed Healthcare Professional, 11/15/2001-06/30/2003
Richard F. Maier, Jr., D.O., Anesthesiology, Attending, 11/15/2001-06/30/2002
Sachida N. Manocha, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002
Dawn D. Mattern, M.D., Family Medicine, Attending, 11/15/2001-06/30/2002
Lili Miles, M.D., Pathology, Fellowship 1st Year, 07/01/2001-06/30/2002
Megann K. Moses, D.M.D., Dentistry, PGY1 Limited, 11/15/2001-06/30/2002
Sunil K. Muppala, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002
Stephanie D. Neider, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002
Patrick A. Pasion, D.O., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002
Umesh K. Sharma, M.B.B.S., Neurology, PGY2 Limited, 07/01/2001-06/30/2002
Lina Wang, M.D., Neurology, PGY2 Limited, 07/01/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Hospitals East)

October 2001

Curtis L. Binder, M.D., Radiology, PGY2 Limited, 10/25/2001-06/30/2003
Adina M. Cioc, M.D., Pathology, PGY4 Limited, 10/25/2001-06/30/2003
Lance P. Criblez, M.D., Family Medicine, PGY1 Limited, 10/25/2001-06/30/2003
Elizabeth D. Euscher, M.D., Pathology, PGY5 Limited, 10/25/2001-06/30/2003
Renata B. Fabia, M.D., Ph.D., Surgery, PGY4 Limited, 10/25/2001-06/30/2003
Melissa K. Fenner, M.D., Emergency Medicine, Active, 10/25/2001-06/30/2003
Wenshi Gao, M.D., Anesthesiology, PGY2 Limited, 10/25/2001-06/30/2003
Vamshi K. Garlapaty, M.D., Internal Medicine, Active, 10/25/2001-06/30/2003
Brian H. Gelb, M.D., Emergency Medicine, Active, 10/25/2001-06/30/2003
Gregory E. Guy, M.D., Radiology, Active, 10/25/2001-06/30/2003
John M. Jonesco, D.O., Family Medicine, PGY2 Limited, 10/25/2001-06/30/2003
Vivek Kadyan, M.D., Internal Medicine, Active, 10/25/2001-06/30/2003
Eiad B. Kahwash, M.D., Pathology, PGY3 Limited, 10/25/2001-06/30/2003
Edward Kim, M.D., Radiology, PGY2 Limited, 10/25/2001-06/30/2003
Leon McDougale, M.D., Family Medicine, Active, 11/01/2001-06/30/2002
Christina M. Medrano, M.D., Family Medicine, PGY1 Limited, 10/25/2001-06/30/2002
Jason W. Nash, D.O., Pathology, PGY2 Limited, 10/25/2001-06/30/2002
Abhik Ray Chaudhury, M.B.B.S., Pathology, Active, 10/25/2001-06/30/2002
Nada A. Rikabi, M.D., Pathology, PGY2 Limited, 10/25/2001-06/30/2002
Anjali A. Satoskar, M.D., Pathology, PGY4 Limited, 10/25/2001-06/30/2002
Misty A. Shimp, R.N., C.N.P., Internal Medicine, Allied Health Professional, 10/25/2001-06/30/2002
Timothy L. Smullen, M.D., Radiology, PGY2 Limited, 10/25/2001-06/30/2002
Thomas E. Taylor, P.A., Orthopedic Surgery, Allied Health Professional, 10/25/2001-06/30/2002
Yan Wang, M.D., Pathology, PGY2 Limited, 10/25/2001-06/30/2002
JoAnna D. Williams, M.D., Pathology, PGY 2 Limited, 10/25/2001-06/30/2002
Larry Z. Zheng, M.D., Radiology, Fellowship 1st Year, 10/25/2001-06/30/2002

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

September, October, and November 2001

Initial Appointments – Faculty

Aamer Ar'rajab (Amer Rajab), M.D., Ph.D., Surgery, Transplant, Assistant Professor, Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

September, October, and November 2001 (contd)

Initial Appointments – Faculty (contd)

Christopher P. Caputo, D.O., Internal Medicine, Cardiology, Clinical Assistant Professor, Associate Attending

Scott Holliday, M.D., Internal Medicine, General Medicine, Assistant Professor, Associate Attending

Kari L. Kendra, M.D., Ph.D., Internal Medicine, Hematology/Oncology, Assistant Professor, Attending

Nilsa Del Carmen Ramirez-Milan, M.D., Pathology, Associate Professor, Associate Attending

Abhik Ray Chaudhury, M.D., Pathology, Assistant Professor - Clinical, Associate Attending

Initial Appointments – Allied Health Professionals

Sue Bell, B.S.N., M.S.

Lisa Rader, B.S.N., M.S.

Provisional to Full Appointment – Faculty

John Byrd, M.D., Attending, Internal Medicine, Hematology/Oncology

Miriam Emile, M.D., Associate Attending, Internal Medicine, Hematology/Oncology

Howard Fischbach, M.D., Community Associate, Anesthesiology

Robert J. Gewirtz, M.D., Community Associate, Neurosurgery

Michael C. Gong, M.D., Ph.D., Associate Attending, Surgery, Urology

Michael R. Grever, M.D., Attending, Internal Medicine, Hematology/Oncology

Ferdinand Santos, M.D., Community Attending, Anesthesiology

Haifeng Wu, M.D., Associate Attending, Pathology

Reappointment – Community

Neil Cole, M.D., Community Associate, Neurosurgery

Additional privileges – GammaKnife

Robert J. Gewirtz, M.D., Community Associate, Neurosurgery

John M. McGregor, M.D., Associate Attending, Neurosurgery

RESOLUTIONS IN MEMORIAM

Resolution No. 2002-60

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Dale T. Friday

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 7, 2001, of Dale T. Friday, Professor Emeritus in the Department of Horticulture and Crop Science (formerly Agronomy).

RESOLUTIONS IN MEMORIAM (contd)

Dale T. Friday (contd)

Professor Friday held a Bachelor of Science degree and a Master of Science degree from The Ohio State University. He began his career with The Ohio State University in the Ohio State University Extension as a county agricultural agent in Putnam County in 1945. In 1959, he became a state extension agronomist, serving in that capacity until 1962, when he was appointed the first manager of the Ohio State Farm Science Review. In 1973, he accepted the additional responsibility as manager of farm operations in the College of Food, Agricultural, and Environmental Sciences. He served in both capacities until his retirement in 1981.

Dale Friday was a noted agronomist who pioneered research on forestry fertilization by airplane and originated the first aerial application of dry fertilizer. He served as project supervisor for the application of the first two carloads of liquid nitrogen fertilizer to be applied in the United States. Professor Friday was nationally recognized for his conduct of the Farm Science Review and its elevation to national recognition under his direction. He remained active in assisting the Farm Science Review until the time of his death.

Professor Friday was recognized and honored by several organizations during his long career. Among his numerous awards were the Distinguished Service Award from The Ohio State University, induction into the Ohio Agricultural Hall of Fame, and induction into the Farm Science Review Hall of Fame. He was recognized in 1983 by having the main street in the exhibit area of the Farm Science Review named in his honor. In 1994, Professor Friday's former student employees endowed a scholarship in his honor to benefit The Ohio State University students working at the Farm Science Review.

Dale Friday was an active member of not only the University community but also his local community. He was a charter member of the North American Farm Show Council, in which he served as president, vice president, secretary, treasurer, and executive coordinator; and a member of the Worthington United Methodist Church, in which he served in many leadership roles. He belonged to the Worthington Historical Society, in which he served as president; the Aladdin Shrine Temple; the American Society of Agronomy; and Gamma Sigma Delta.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Dale T. Friday its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Keith E. Richardson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 28, 2001, of Keith Erwin Richardson, Professor Emeritus in the Department of Molecular and Cellular Biochemistry.

Born in Tucson, Arizona, Professor Richardson was raised in Provo, Utah. He earned Bachelor of Science and Master of Science degrees in chemistry from Brigham Young University, and a Ph.D. in biochemistry from Purdue University. He was a missionary during his college years for The Church of Jesus Christ of Latter-Day Saints in the Northern States Mission from 1948-1950, serving mainly in Alliance and Canton, Ohio.

Starting in 1960, Professor Richardson taught physiological chemistry at The Ohio State University to medical, dental, and graduate students. He advanced from assistant professor to full professor, retiring in 1992 with the title of professor emeritus. He was vice chair of his department for many years, and chaired many college committees, including those overseeing promotion and tenure and radiation safety.

Professor Richardson was an enzymologist and an authority on hyperoxaluria (the condition which causes urinary tract stones). He introduced the self-study program for medical biochemistry, replacing the lecture-discussion program; after which the class went from average

RESOLUTION IN MEMORIAM (contd)

Keith E. Richardson (contd)

grades to honors on the National Board Exam Part 1 in Biochemistry. He also trained a number of Ph.D. students.

He served The Church of Jesus Christ of Latter-Day Saints faithfully all his life. His duties for the Church included functioning as bishop of the Columbus Second Ward from 1980-1987.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Emeritus Keith E. Richardson its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Frank R. Strong

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 12, 2001, of Frank R. Strong, Dean and Professor Emeritus of The Michael E. Moritz College of Law.

Frank Strong attended Yale University, where he was elected to Phi Beta Kappa as a junior and graduated summa cum laude in the spring of 1929. After teaching economics at the University of Delaware for two years, Dean Strong returned to Yale to pursue a degree in law. He graduated in 1934 after having served as editor-in-chief of the *Yale Law Journal* and being elected to the Order of the Coif legal honorary.

Dean Strong began his lifetime career in legal education at the University of Iowa. Later he moved to The Ohio State University where, except for a period as a civilian lawyer for the Navy, he served for more than twenty-five years – the final thirteen years as dean. In 1965, he accepted appointment as the Boshamer Distinguished Professor of Law at the University of North Carolina, serving until his retirement in 1976.

Frank Strong was a prolific scholar, authoring several books and more than twenty-five articles, most in the area of constitutional law, his specialty. His reputation as an academic led to his invitation to serve as a visiting professor at other leading law schools, including Duke, Cornell, the University of Michigan, Northwestern, the University of Kansas, Brigham Young University, the University of Texas, and Willamette University.

Professor Strong served his profession at the highest levels. He served as president of the Association of American Law Schools and as national officer of the Order of the Coif for ten years. Beloved by students and colleagues, Dean Strong was honored with the creation of both The Frank and Gertrude Strong Moot Court Award for the College of Law and The Frank R. Strong Chair in Law at The Ohio State University. The University of North Carolina named its "Frank R. Strong Faculty Library" in his honor.

Frank Strong leaves an abiding legacy not only with his family, but with the hundreds of students he nurtured, his colleagues in legal education, the scholarships he endowed through The Strong Fund at The Ohio State University, and the Children's Literature Collection in the School of Education at the University of Kansas which he endowed as a memorial to Gertrude Way Strong, his wife of more than sixty-nine years.

On behalf of the University community, the Board of Trustees expresses to the family of Frank R. Strong its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2002-61

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for October 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-62

Synopsis: The report on the receipt of gifts and the summary for October 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of thirteen (13) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through October
2000 Compared to 2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through October		
	<u>2000</u>	<u>2001</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$6,057,114	\$6,050,265	0
Alumni (From Bequests)	<u>910,525</u>	<u>1,876,712</u>	106
Alumni Total	\$6,967,639	\$7,926,977	14
Non-Alumni (Current Giving)	\$3,074,837	\$3,621,221	18
Non-Alumni (From Bequests)	<u>2,221,455</u>	<u>1,107,441</u>	(50)
Non-Alumni Total	\$5,296,292	\$4,728,662	(11)
Individual Total	\$12,263,931	\$12,655,639	3
Corporations/Corp/Foundations	\$9,919,288	\$12,817,546	29 ^A
Private Foundations	\$3,148,711	\$4,438,054	41 ^B
Associations and Other Organizations	<u>\$1,310,038</u>	<u>\$1,297,440</u>	(1)
Total	\$26,641,968	\$31,208,679	17

NOTES

- A Corporate giving is up 29% largely due to the fact that gifts of \$10,000 or more were up 37% (185 gifts for \$7.8 million last year; 226 gifts for \$10.7 million this year).
- B Foundation giving at the \$10,000 or more level is up for the first four months of the fiscal year (\$2.9 million from 49 gifts last year; \$4.2 million from 57 gifts this year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-October
2000 Compared to 2001

GIFT RECEIPTS BY PURPOSE

		Dollars July through October	
	<u>2000</u>	<u>2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$2,931,710	\$4,369,546	49
Faculty Support	\$3,013,697	\$1,665,875	(45)
Program Support	\$14,346,149	\$18,421,810	28
Student Financial Aid	\$3,512,211	\$4,167,337	19
Annual Funds-Colleges/Departments	\$2,662,440	\$2,437,369	(8)
Annual Funds-University	<u>\$175,761</u>	<u>\$146,742</u>	(17)
Total	\$26,641,968	\$31,208,679	17

GIFT ADDITIONS TO ENDOWMENT

		Dollars <u>July through October</u>	
	<u>2000</u>	<u>2001</u>	<u>% Change</u>
	\$6,693,489	\$8,688,048	30

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Ruth and S.N. McIntosh Memorial Scholarship Fund (Support scholarship/s to undergraduate students in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Shippley N. McIntosh and Ruth Merriman McIntosh)		\$145,000.00	\$145,000.00
The Dr. Ralph Clark Dunn Endowed Scholarship Fund (Support student interested in practicing veterinary medicine; provided by gifts from Evelyn Cahill honoring her brother-in-law Dr. Ralph C. Dunn)		\$75,000.00	\$75,000.00
The H.O.P.E.S. Endowment Fund (Support programs and activities in Health Outcomes, Policy and Evaluation Studies in the Health Sciences; provided by gifts from corporate, foundation, and individual donors)		\$40,000.00	\$40,000.00
The Alvin and Dan Reuwee Memorial Fund (Support program-related activities for student in the Alpha Zeta Partners Leadership Program in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Pauline Reuwee, Barbara Reuwee, family and friends, NOBA, and the Dairy Farmers of America in memory of Alvin D. Reuwee, Sr. and A. Daniel Reuwee, Jr.)		\$19,190.00	\$19,190.00
The Tappen Endowment Fund (For ongoing general support at the discretion of the Wexner Center for the Arts; provided by gifts from proceeds of Mark T. Tappen's Columbus Life policy)	\$15,000.00		\$15,000.00

Change in Name of Named Endowed Fund

From: The Tahlman Krumm Engineering Memorial Fund
 To: The Tahlman and Ione Beaton Krumm Engineering Memorial Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds

From: The Martha King Scholarship Award
To: The Martha King Scholarship Award Fund

From: The Moira McKenzie Award for Graduate Student Study
To: The Moira McKenzie Scholarship Fund in Children's Literacy Learning

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Health Services Management and Policy Professorship Fund (Provide salary and program support for a distinguished teacher and scholar in the Health Services Management and Policy Program; provided by a gift from alumni and friends of the HSMP Program)		\$113,466.22	\$113,466.22
Morse Scholarship Fund for Mount Scholars/Leaders in the College of Engineering (Provide scholarship(s) to Mount Scholars/Leaders in engineering; provided by gifts from Alan Gordon Morse)		\$50,000.00	\$50,000.00
Michael F. Johnson Innovation Endowment Fund (To be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students, and staff; provided by gifts from Michael F. Johnson)		\$45,205.15	\$45,205.15
The Dr. Lee E. Watkins Vice President's Excellence Endowment Fund (Support programs in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Dr. Lee E. Watkins with matching gifts from Eli Lilly)		\$30,351.96	\$30,351.96
The Milton and Floyd G. Bender Scholarship Fund in Pharmacy (Provide scholarship(s) to students in the College of Pharmacy; provided by a gift from Betsy and Floyd G. Bender)		\$26,204.94	\$26,204.94
The Susan and Ken Feintheil University Scholars Fund (Provide scholarships through the Merit Scholarship Initiative for University Scholars; provided by a gift from Susan and Ken Feintheil)		\$25,071.88	\$25,071.88

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Samuel B. Erskine 4-H Scholarship Fund (Fund scholarships for 4-H members entering the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Maxine Marquand Erskine and James W. Marquand)		\$25,000.00	\$25,000.00
The John and Linda Mercer Scholarship Fund (Support undergraduate student(s) of the College of Education and/or School of Music; provided by gifts from John Mercer and Linda Mercer)		\$25,000.00	\$25,000.00
Total	\$15,000.00	\$619,490.15	\$634,490.15

THE OHIO STATE UNIVERSITY ENDOWMENT FUND

Establishment of Named Endowed Funds

The Ruth and S. N. McIntosh Memorial Scholarship Fund

The Ruth and S. N. McIntosh Memorial Scholarship Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from Shippley N. McIntosh (B.S.Agr. 1928) and Ruth Merriman McIntosh.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarship(s) to undergraduate students enrolled in the College of Food, Agricultural, and Environmental Sciences who are from Ohio or West Virginia. First preference for scholarship recipients are those students enrolled in the Department of Human and Community Resource Development with an emphasis on extension education; second preference shall be for students enrolled in the Department of Agricultural, Environmental, and Development Economics; and third preference shall be for students enrolled in the Department of Animal Sciences who are specializing in dairy science.

Scholarship awards shall be based on student need, merit, and demonstrated leadership as determined by the College of Food, Agricultural, and Environmental Sciences Scholarship Committee in consultation with the vice president for Agricultural Administration and University Outreach or their designee, the director of the Ohio State University Extension or their designee, and the University Committee for Student Financial Aid. The vice president for Agricultural Administration and University Outreach or their designee shall direct the annual scholarship income.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board in consultation with the director of OSU Extension, chair of the

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Ruth and S. N. McIntosh Memorial Scholarship Fund (contd)

Department of Human and Community Resource Development, and/or Animal Sciences or their designee(s) or the successor department chairs in order to carry out the desire of the donors.

\$145,000.00

The Dr. Ralph Clark Dunn Endowed Scholarship Fund

The Dr. Ralph Clark Dunn Endowed Scholarship Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from Mrs. Evelyn Cahill of Bryan, Texas, to honor her brother-in-law, Dr. Ralph C. Dunn (D.V.M. 1911).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one (1) student per year based on merit who is interested in practicing veterinary medicine. First preference will be given to a student from Seneca County. If a student from Seneca County is not eligible, then the most qualified student from any county in Ohio may be selected. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$75,000.00

The H.O.P.E.S. Endowment Fund

The H.O.P.E.S. Endowment Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from corporate, foundation and individual donors who support the activities and programs of Health Outcomes, Policy and Evaluation Studies.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs and activities related to research, education, and training, and patient care in Health Outcomes, Policy, and Evaluation Studies in the Health Sciences. Fund allocation shall be made as recommended by the director of Health Outcomes, Policy, and Evaluation Studies in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The income may support, but is not limited to: scientific supplies, equipment, travel, conferences, salaries for research personnel and activities required for quality research and programs.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The H.O.P.E.S. Endowment Fund (contd)

shall be designated by the Board of Trustees in consultation with the director of Health Outcomes, Policy, and Evaluation Studies and with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$40,000.00

The Alvin and Dan Reuwee Memorial Fund

The Alvin and Dan Reuwee Memorial Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from Pauline Reuwee, Barbara Reuwee (B.S.Soc.Wel. 1969), family and friends, NOBA, and the Dairy Farmers of America in memory of Alvin D. Reuwee, Sr. (B.S.Agr. 1935) and A. Daniel Reuwee, Jr. (B.S.Agr. 1965, M.A. 1969 Journalism).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support program-related activities for a student participating in the Alpha Zeta Partners Leadership Program in the College of Food, Agricultural, and Environmental Sciences. The recipient shall be of sophomore or junior ranking. Preference shall go to a student who has demonstrated interest and/or has completed coursework in cooperative education and/or dairy marketing and/or agricultural journalism.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, or their successor or an appropriate administrative officer or their successor in order to carry out the desire of the donors.

\$19,190.00 (Grandfathered)

The Tappen Endowment Fund

The Tappen Endowment Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from proceeds of Mark T. Tappen's (M.A. 1982) Columbus Life policy.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for ongoing general support at the discretion of the Wexner Center for the Arts.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Wexner Center for the Arts in order to carry out the desire of the donor.

\$15,000.00 (Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund

The Tahlman and Ione Beaton Krumm Engineering Memorial Fund

The Tahlman Krumm Engineering Memorial Fund was established February 2, 1973, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Ione Beaton Krumm (Edu-W 1936) of Columbus, Ohio, in memory of her husband, Tahlman Krumm (B.C.E. 1934), who passed away August 16, 1969. Mrs. Krumm died on October 6, 2001. At the request of her family and the Department of Civil and Environmental Engineering and Geodetic Science, the name of the fund was revised to include the addition of her name on December 6, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used as a discretionary fund to further the progress of the Department of Civil and Environmental Engineering and Geodetic Science. Disbursements are to be made upon recommendation of the chairperson of the Department of Civil and Environmental Engineering and Geodetic Science and the dean of the College of Engineering.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the Department of Civil and Environmental Engineering and Geodetic Science or the College of Engineering cease to exist through reorganization of the University, then the income may be used by the Board of Trustees, with preference being given to the recommendations of the appropriate administrative official of the University who is then responsible for engineering instruction.

Change in Name and Description of Named Endowed Funds

The Martha King Scholarship Award Fund

The Martha King Scholarship Award was established December 14, 1984, by the Board of Trustees of The Ohio State University with gifts from friends and colleagues of Martha L. King (Ph.D. 1957) in recognition for her work in the area of children's language and literacy. The name and description are being revised December 6, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one research award for an individual entering the doctoral program in the language arts, with preference given to one working in the expressive arts. Selection of the recipient shall be made by faculty in the area of language arts, in consultation with the College of Education Scholarship Committee. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Education in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Moira McKenzie Scholarship Fund in Children's Literacy Learning

The Moira McKenzie Award for Graduate Student Study in Children's Literacy Learning was established December 2, 1994, by the Board of Trustees of The Ohio State University with gifts from friends, colleagues, and family of Moira McKenzie (Ph.D. 1974). The name and description are being revised December 6, 2001.

Dr. Moira McKenzie, recipient of the College of Education Award of Distinction in 1992, personified international distinction with her contributions of the education of young people in both England and the United States. While pursuing a doctorate at The Ohio State University in 1974, she helped to develop the innovation pre-service education strand known as EPIC (Educational Programs in Integrated Classrooms). Returning to England, she was director of the Centre for Language in Primary Education of the Inner London Education Authority. In recognition of her contributions to young children's education in England, Queen Elizabeth II made her a Member of the British Empire. Dr. Moira McKenzie died October 14, 1993. The Moira McKenzie Scholarship in Children's Literacy Learning recognizes her commitment to early literacy and The Ohio State University, and stands as a memorial to her achievement.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a student who is preparing to be an elementary teacher. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Health Services Management and Policy Professorship Fund

The Health Services Management and Policy Professorship Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from alumni and friends of the HSMP Program.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the principal until the minimum amount for funding an endowed professorship (\$750,000) has been reached. At that time, the annual income shall be used to provide salary and program support for the work of a distinguished teacher and scholar in the Health Services Management and Policy Program. Distribution will be made at the recommendation of the director of the Health Services Management and Policy Program in consultation with the director of the School of Public Health, and the senior vice president of Health Sciences and the dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Health Services Management and Policy Professorship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Health Services Management and Policy Program, the director of the School of Public Health, and the senior vice president of Health Sciences and the dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$113,466.22

Morse Scholarship Fund for Mount Scholars/Leaders in the College of Engineering

The Morse Scholarship Fund for Mount Scholars/Leaders in the College of Engineering was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Alan Gordon Morse (B.A. 1980, Communication), Westerville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships to those students who are Mount Scholar/Leaders majoring in engineering, with first preference being students majoring in electrical engineering. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$50,000.00

Michael F. Johnson Innovation Endowment Fund

The Michael F. Johnson Innovation Endowment Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael F. Johnson (B.S.Bus.Adm. 1974).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students, and staff in accordance with the strategic plans of the college. It is the preference of the donor that if a student from Mogadore High School (Mogadore, Ohio) is attending the University that a portion of the annual distribution from this endowment is offered to them as a scholarship during the first year of their enrollment. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Michael F. Johnson Innovation Endowment Fund (contd)

shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$45,205.15

The Dr. Lee E. Watkins Vice President's Excellence Endowment Fund

The Dr. Lee E. Watkins Vice President's Excellence Endowment Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Lee E. Watkins (B.S.Agr. 1967, M.S. 1969, Ph.D. 1974) of New Palestine, Indiana. Matching gifts were made by Eli Lilly.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs identified by the vice president for Agricultural Administration and University Outreach as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include, but not to be limited to, support of: positive, creative work environment for students, faculty, and staff; "outreach" to our external audiences; encouragement and promotion of student organizations; scholarships; professional development; college promotion and advancement; and other emerging college priorities.

The use of the annual income shall be directed by the vice president for Agricultural Administration and University Outreach or their designee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, or their successor, in order to carry out the desire of the donor.

\$30,351.96

The Milton and Floyd G. Bender Scholarship Fund in Pharmacy

The Milton and Floyd G. Bender Scholarship Fund in Pharmacy was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Betsy and Floyd G. (B.S.Pharm. 1959) Bender of Middletown, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships in varying amounts to deserving students in the College of Pharmacy who have difficulty meeting tuition and fee expenses and exhibit potential for success at The Ohio State University and in the profession of pharmacy. Preference will be given to those students of underrepresented groups. The scholarship(s) will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Milton and Floyd G. Bender Scholarship Fund in Pharmacy (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Pharmacy in order to carry out the desire of the donors.

\$26,204.94

The Susan and Ken Feintheil University Scholars Fund

The Susan and Ken Feintheil University Scholars Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Susan and Ken (B.A. 1955) Feintheil of Loveland, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships through the Merit Scholarship Initiative for University Scholars. First preference shall be for students majoring in finance and/or banking in The Max M. Fisher College of Business. If there is not an eligible student in finance and/or banking, then a recipient shall be selected from among University Scholars enrolled in the Colleges of the Arts and Sciences. This fund will be administered by the University Honors and Scholars Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,071.88

The Samuel B. Erskine 4-H Scholarship Fund

The Samuel B. Erskine 4-H Scholarship Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Maxine Marquand Erskine and James W. Marquand (B.S.Agr. 1948, M.S. 1951), Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships for 4-H members entering the College of Food, Agricultural, and Environmental Sciences including the Agricultural Technical Institute, with preference to 4-H members from Ross, Athens, and other Appalachian counties in Ohio. Applicants must be a senior in high school during the year of application. The recipient of the scholarship will be determined by the College of Food, Agricultural, and Environmental Sciences on an annual basis. The scholarship shall be equally divided and awarded for three consecutive quarters providing the recipient maintains a minimum G.P.A. of 2.0 or above. The applicant must have demonstrated active 4-H and community involvement in leadership, citizenship, and community activities. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Samuel B. Erskine 4-H Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Ohio State University Extension in order to carry out the desire of the donor.

\$25,000.00

The John and Linda Mercer Scholarship Fund

The John and Linda Mercer Scholarship Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John Mercer (B.S.Agr.E. 1952, B.S.Ed. 1962) and Linda Mercer (B.S.Ed. 1957; M.A. 1965, Music; Ph.D. 1974, Music) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support an undergraduate student(s) of the College of Education and/or the School of Music who has an interest in teaching in the public school system, with first preference given to a student(s) pursuing a teaching career(s) in physical science or music. The annual income shall alternate between the College of Education and the School of Music each year in order to provide a single scholarship until the annual income exceeds \$2,000.00, at which time, the annual income shall be divided evenly between the College of Education and the School of Music. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education and the director of the School of Music in order to carry out the desire of the donors.

\$25,000.00

**AUTHORIZATION FOR DESIGNATED OFFICIALS
TO BUY, SELL, ASSIGN AND TRANSFER SECURITIES,
TO DEPOSIT OR WITHDRAW FUNDS FROM BANK ACCOUNTS,
AND TO DESIGNATE DEPOSITORIES**

Resolution No. 2002-63

Synopsis: Reauthorization for designated officials to buy, sell, assign, and transfer securities, to deposit or withdraw funds from bank and investment accounts held in the name of The Ohio State University, to designate depositories, and to execute related agreements is proposed.

WHEREAS designated officials of the University buy, sell, assign, and transfer stocks, bonds, and other financial instruments owned by The Ohio State University; and

WHEREAS various financial institutions are designated as depositories of The Ohio State University; and

**AUTHORIZATION FOR DESIGNATED OFFICIALS
TO BUY, SELL, ASSIGN AND TRANSFER SECURITIES,
TO DEPOSIT OR WITHDRAW FUNDS FROM BANK ACCOUNTS,
AND TO DESIGNATE DEPOSITORIES (contd)**

WHEREAS accounts at various financial institutions are opened and maintained in the name of The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the Treasurer and/or Senior Vice President for Business and Finance and Chief Financial Officer be authorized to buy, sell, assign, and transfer any and all stocks, bonds, evidence of interest and/or indebtedness, insurance investments, rights and options to acquire or to sell the same, and all other securities corporate or otherwise, standing in the name of or belonging to The Ohio State University in any capacity; and

BE IT FURTHER RESOLVED, That these officials be authorized to designate various financial institutions as depositories for The Ohio State University and to open and maintain accounts at various financial institutions in the name of The Ohio State University; to sign Agreements for bank, investment and financial services; and

BE IT FURTHER RESOLVED, That these officials be authorized, on behalf of The Ohio State University and in its name, to sign checks, drafts, notes, bills of exchange, letters of credit, acceptances or other orders for the payment of money from said accounts; to endorse in writing or by stamp checks, notes, bills, certificates of deposit or other instruments owned or held by this University for deposit in said accounts or for collection or discount by said banks; to accept drafts, acceptances, and other instruments payable to said banks; to waive, demand, protest, file notice of protest, or dishonor any check, note, bill, draft or other instrument made, drawn or endorsed by the University.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2002-64

DRACKETT AND TAYLOR TOWERS FIRE ALARM SYSTEM UPGRADE
MARION CAMPUS STUDENT SERVICES BUILDING
STATE ROUTE 315 ACCESS TO MEDICAL CENTER
STARLING-LOVING HALL - BIOSTATISTICS
HOPKINS HALL CERAMICS FACILITY RENOVATION – PHASE I
ARPS HALL LAB RENOVATION
CAMPBELL HALL – CHILLER REPLACEMENT
CAMPUS BUILDINGS – OSHA FUME HOOD MONITORS, PHASE I
CAMPUS GROUNDS STREET REBUILD
CAMPUS LIGHTING PHASE VII
CENTRAL CLASSROOM – ROOF REPLACEMENT
CONVERSE HALL – ROOF REPLACEMENT
DERBY HALL – ROOF REPLACEMENT
FAWCETT CENTER – UNIVERSITY DEVELOPMENT PHASE I
HUGHES HALL AUDITORIUM ACCESSIBILITY
JONES TOWER – FIRE ALARM SYSTEM REPLACEMENT
KINNEAR ROAD CENTER BUILDING “A” ROOF REPLACEMENT
McCRACKEN STEAM TURBINE VIBRATION MONITORING
MOUNT HALL – HVAC MODIFICATIONS
OXLEY HALL – ROOF REPLACEMENT
POMERENE HALL RENOVATION
STARLING-LOVING INSTALL FIRE PUMP

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

THOMPSON LIBRARY CARPETING
THOMPSON LIBRARY – ROOF REPLACEMENT
UTILITIES – HIGH VOLTAGE ELECTRIC CIRCUIT
VETERINARY HOSPITAL – ROOF REPLACEMENT PHASE I
WATERMAN LABORATORY HEADQUARTERS

Synopsis: Authorization to employ architect/engineering firms for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus Student Services Building, State Route 315 Access to Medical Center, and Starling-Loving Hall – Biostatistics projects, request construction bids for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus Student Services Building and Starling-Loving Hall – Biostatistics projects, and acceptance of the report of award of contracts for the Hopkins Hall Ceramics Facility Renovation – Phase I, Arps Hall Lab Renovation, Campbell Hall – Chiller Replacement, Campus Buildings – OSHA Fume Hood Monitors Phase I, Campus Grounds Street Rebuild, Campus Lighting Phase VII, Central Classroom – Roof Replacement, Converse Hall – Roof Replacement, Derby Hall – Roof Replacement, Fawcett Center – University Development Phase I, Hughes Hall Auditorium Accessibility, Jones Tower – Fire Alarm System Replacement, Kinnear Road Center Building “A” Roof Replacement, McCracken Steam Turbine Vibration Monitoring, Mount Hall – HVAC Modifications, Oxley Hall – Roof Replacement, Pomerene Hall Renovation, Starling-Loving Install Fire Pump, Thompson Library Carpeting, Thompson Library – Roof Replacement, Utilities High Voltage Electric Circuit, Veterinary Hospital – Roof Replacement Phase I, and Waterman Laboratory Headquarters projects are requested.

WHEREAS Student Affairs desires to replace the existing fire alarm system in Drackett and Taylor Towers; and

WHEREAS the total estimated project cost is \$1,034,431 and the total estimated construction cost is \$875,387, with funding provided by University bond proceeds with debt service paid by the Student Affairs Revenue Fund; and

WHEREAS the Marion Campus desires to construct a two-story structure to house the expanded student services, master of social work program, and nursing program; and

WHEREAS the total estimated project cost is \$3,730,169 and the total estimated construction cost is \$2,860,559, with funding provided by House Bill 640 (\$1,364,050), University bond proceeds with debt service paid by the Marion Campus (\$1,100,000), and Marion Campus (\$1,266,119); and

WHEREAS University Hospitals desires to finalize the design begun in 1982 for the construction of a northbound State Route 315 exit ramp to Cannon Drive and a southbound entrance ramp from Cannon Drive to State Route 315; and

WHEREAS the preliminary design and Cannon Drive widening was completed in early 1995 with the balance of the project placed on hold until the Ohio Department of Transportation received funding for the construction of the ramps; and

WHEREAS the estimated design cost is \$800,000, with funding provided by University Hospitals and the estimated construction cost is \$10,000,000, with funding provided jointly by the Ohio Department of Transportation and the OSU Medical Center and with project bidding and construction administration under the direction of the Ohio Department of Transportation; and

WHEREAS the Office of Research desires to renovate space in Starling-Loving Hall to expand Biostatistics space; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the total estimated project cost is \$315,000 and the total estimated construction cost is \$252,000, with funding provided by the Office of Research; and

WHEREAS resolutions adopted by the Board of Trustees on December 2, 1994, December 6, 1996, February 5, 1999, July 2, 1999, November 5, 1999, August 30, 2000, October 6, 2000, November 3, 2000, February 2, 2001, and June 1, 2001 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

(*Recommended alternates included in these amounts)

Hopkins Hall Ceramics Facility Renovation – Phase I

This project upgrades the kiln area in the basement of the building. The total project cost is \$1,012,726; funding is provided by House Bill 850 (\$945,200), College of the Arts (\$41,526), and Central University funds (\$26,000). The completion date is December 2001. The contracts awarded are as follows:

Design:	Bogden Architects, Columbus, Ohio
General Contract:	Corporate Construction Services, Columbus, Ohio
Amount:	\$398,515 * +
Estimate:	\$366,600 * +
Plumbing Contract:	Corporate Construction Services, Columbus, Ohio
Amount:	\$41,580
Estimate:	\$51,350
HVAC Contract:	Martina Metals, Columbus, Ohio
Amount:	\$113,228
Estimate:	\$115,500
Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$64,500
Estimate:	\$68,000
Total All Contracts	\$617,823
Contingency Allowance:	\$123,672
Total Project Cost:	\$1,012,726

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Arps Hall Lab Renovation

This project renovates areas in the basement of Arps Hall to provide learning laboratories for the College of Education students and faculty. The total project cost is \$420,860; funding is provided by House Bill 748 (\$12,000), House Bill 790 (\$371,860), Classroom Pool (\$15,000) and College of Education (\$22,000). The completion date is December 2001. The contracts awarded are as follows:

Design:	Triad Architects, Inc., Columbus, Ohio
General Contract:	Francis Wayne Ltd., Springfield, Ohio
Amount:	\$112,335 * +
Estimate:	\$103,500 * +
Combined Plumbing/ HVAC Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$153,700 *
Estimate:	\$173,000 *

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Arps Hall Lab Renovation (contd)

Electric Contract:	Prime State Electric Inc., Columbus, Ohio
Amount:	\$77,575
Estimate:	\$92,000
Total All Contracts:	\$343,610 *
Contingency Allowance:	\$38,155
Total Project Cost:	\$420,860

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Campbell Hall – Chiller Replacement

This project replaces two existing 150-ton centrifugal chillers including correct sizing and configuration, and modification of the cooling tower, circulating pumps, and piping serving Campbell Hall. The total project cost is \$310,778; funding is provided by House Bill 748. The completion date is November 2001. The contracts awarded are as follows:

Design:	Prater Engineering, Columbus, Ohio
HVAC Contract:	General Temperature, Canal Winchester, Ohio
Amount:	\$253,750 *
Estimate:	\$306,813 *
Electric Contract:	Fetter Electric, Granville, Ohio
Amount:	\$12,950 *
Estimate:	\$19,500 *
Total All Contracts:	\$266,700
Contingency Allowance:	\$35,003
Total Project Cost:	\$310,778

Campus Buildings – OSHA Fume Hood Monitors, Phase I

This project installs OSHA required fume hood monitors on existing fume hoods that were not required to be so equipped when installed. The total project cost is \$500,000; funding is provided by House Bill 850. The completion date is April 2002. The contract awarded is as follows:

Design:	Prater Engineering, Columbus, Ohio
HVAC Contract:	Pete Miller Inc., Marion, Ohio
Amount:	\$415,939 *
Estimate:	\$564,825 *
Total All Contracts:	\$415,939
Contingency Allowance:	\$38,822
Total Project Cost:	\$500,000

Campus Grounds Street Rebuild

This project upgrades the base and repairs damages caused by excessive bus traffic resulting from rerouting of bus service onto street sections not constructed to support heavy traffic. The total project cost is \$515,595; funding is provided by House Bill 640 (\$500,000) and Transportation and Parking (\$15,595). The completion date is September 2001. The contract awarded is as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Campus Grounds Street Rebuild (contd)

Design:	The Ohio State University
General Contract:	G. Marchi & Sons, Columbus, Ohio
Amount:	\$420,306 *
Estimate:	\$440,000 *
Total All Contracts:	\$420,306
Contingency Allowance:	\$59,563
Total Project Cost:	\$515,595

Campus Lighting Phase VII

This project continues to upgrade lighting to current standards in the north academic core to respond to continuing safety concerns. The total project cost is \$500,144; funding is provided by House Bill 640 (\$500,000) and Department of Physical Facilities (\$144). The completion date is March 2002. The contract awarded is as follows:

Design:	The Ohio State University
Electric Contract:	Jess Howard Electric, Blacklick, Ohio
Amount:	\$454,515 * +
Estimate:	\$433,889 * +
Total All Contracts:	\$454,515
Contingency Allowance:	\$22,726
Total Project Cost:	\$500,144

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Central Classroom Building – Roof Replacement

This project replaces the deteriorated, leaking roof system over the entire building and provides masonry repointing of the joints on the parapets. The total project cost is \$189,650; funding is provided by Department of Physical Facilities (\$119,196) and Department of Facilities Planning and Development (\$70,454). The completion date is November 2001. The contract awarded is as follows:

Design:	Schorr & Associates, Columbus, Ohio
General Contract:	Kalkrueth Roofing & Sheet Metal, Wheeling, West Virginia
Amount:	\$161,500 *
Estimate:	\$168,000 *
Total All Contracts:	\$161,500
Contingency Allowance:	\$16,150
Total Project Cost:	\$189,650

Converse Hall – Roof Replacement

This project replaces the built-up roofing and slate shingles with a modified roof system. The total project cost is \$183,498; funding is provided by House Bill 748 (\$175,000) and Department of Physical Facilities (\$8,498). The completion date is November 2001. The contract awarded is as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Converse Hall – Roof Replacement (contd)

Design:	The Ohio State University
General Contract:	Chemcote Roofing, Amlin, Ohio
Amount:	\$162,520 +
Estimate:	\$148,750 +
Total All Contracts:	\$162,520
Contingency Allowance:	\$8,127
Total Project Cost:	\$183,498

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Derby Hall – Roof Replacement

This project replaces deteriorated copper roofing on the building. The total project cost is \$439,357; funding is provided by House Bill 850. The completion date is January 2002. The contract awarded is as follows:

Design:	Jack D. Walters and Associates, Columbus, Ohio
General Contract:	Franklin Roofing, Painesville, Ohio
Amount:	\$358,200 *
Estimate:	\$378,400 *
Total All Contracts:	\$358,200
Contingency Allowance:	\$35,820
Total Project Cost:	\$439,357

Fawcett Center – University Development Phase I

This project renovates space in the Fawcett Center to provide calling center, conference room, and staff lounge for University Development. The total project cost is \$540,558; funding is provided by University Development. The completion date is December 2001. The contracts awarded are as follows:

Design:	DSI Architects, Columbus, Ohio
General Contract:	J & M Bennett Company, Columbus, Ohio
Amount:	\$135,400
Estimate:	\$214,466
Combined Plumbing/ HVAC Contract:	Fox Mechanical, Columbus, Ohio
Amount:	\$128,000 +
Estimate:	\$123,311 +
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$66,376
Estimate:	\$72,566
Total All Contracts:	\$329,776
Contingency Allowance:	\$37,462
Total Project Cost:	\$540,558

+ The Combined Plumbing/HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Hughes Hall Auditorium Accessibility

This project installs a chairlift in the south stairs from the auditorium to the basement level, improves accessibility of restrooms, and modifies front rows of fixed seating to provide wheelchair and companion seating location. The total project cost is \$200,000; funding is provided by House Bill 748 (\$14,789) and House Bill 790 (\$185,211). The completion date is December 2001. The contracts awarded are as follows:

Design:	Renouveau Design, Inc., Columbus, Ohio
General Contract:	GHM, Inc., Columbus, Ohio
Amount:	\$123,298 *
Estimate:	\$126,090 *
Plumbing Contract:	LTM Plumbing and HVAC Co., Inc., Columbus, Ohio
Amount:	\$28,000 +
Estimate:	\$27,496 +
Electric Contract:	States Electric, Columbus, Ohio
Amount:	\$16,700 +
Estimate:	\$15,282 +
Total All Contracts:	\$167,998
Contingency Allowance:	\$11,382
Total Project Cost:	\$200,000

+ The Plumbing and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Jones Tower – Fire Alarm System Replacement

This project replaces the existing fire alarm system with new intelligent photoelectric smoke detectors and audible bases that are fully addressable with Fire-Print based technology. The total project cost is \$453,065; funding is provided by University bond proceeds with debt service paid by Student Affairs. The completion date is December 2001. The contract awarded is as follows:

Design:	Korda Nemeth Engineering, Columbus, Ohio
Electric Contract:	Accurate Electric Construction, Inc., Columbus, Ohio
Amount:	\$368,900
Estimate:	\$440,000
Total All Contracts:	\$368,900
Contingency Allowance:	\$31,890
Total Project Cost:	\$453,065

Kinnear Road Center Building "A" Roof Replacement

This project replaces the deteriorated membrane on the lower north side and the existing built-up roof over the upper roof to the south. The total project cost is \$164,608; funding is provided by House Bill 640. The completion date is November 2001. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	Harold J. Becker, Dayton, Ohio
Amount:	\$138,910
Estimate:	\$170,000
Total All Contracts:	\$138,910
Contingency Allowance:	\$13,891
Total Project Cost:	\$164,608

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

McCracken Steam Turbine Vibration Monitoring

This project installs a new vibration monitoring system including improved sensors as required by OSHA. The total project cost is \$257,809; funding is provided by House Bill 640. The completion date is February 2002. The contract awarded is as follows:

Design:	Schmidt Associates, Inc., Columbus, Ohio
General Contract:	Treharne Engineered Sales, East Aurora, New York
Amount:	\$207,900 *
Estimate:	\$272,525 *
Total All Contracts:	\$207,900
Contingency Allowance:	\$20,790
Total Project Cost:	\$257,809

Mount Hall – HVAC Modifications

This project modifies pumps, piping, and controls to the chilled water system that serves Mount, Bevis, and Rightmire Halls. The total project cost is \$518,196; funding is provided by House Bill 850 (\$509,018), Office of Research (\$6,650), and Department of Physical Facilities (\$2,528). The completion date is November 2001. The contracts awarded are as follows:

Design:	Golliver and Associates, Newark, Ohio
HVAC Contract:	C. D. Whitfield, Cleveland, Ohio
Amount:	\$384,452 *
Estimate:	\$473,200 *
Electric Contract:	Union Electric, Delaware, Ohio
Amount:	\$23,682 *
Estimate:	\$28,240 *
Asbestos Abatement Contract:	Columbus Abatement, Columbus, Ohio
Amount:	\$8,900
Estimate:	\$9,800
Total All Contracts:	\$417,034
Contingency Allowance:	\$45,982
Total Project Cost:	\$518,196

Oxley Hall – Roof Replacement

This project removes and replaces the existing roof, underlayment, copper flashings, valleys, and tile on the original portions of the building. The total project cost is \$331,932; funding is provided by House Bill 748 (\$131,500) and House Bill 790 (\$200,432). The completion date is August 2002. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	Kalkrueth Roofing & Sheet Metal, Wheeling, West Virginia
Amount:	\$290,760 *
Estimate:	\$330,278 *
Total All Contracts:	\$290,760
Contingency Allowance:	\$29,076
Total Project Cost:	\$331,932

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Pomerene Hall Renovation

This project renovates space in Pomerene Hall to provide more program space for the Office of Disability Services and the School of Physical Activity and Educational Services. The total project cost is \$567,906; funding is provided by House Bill 850 (\$547,906) and Student Affairs (\$20,000). The completion date is November 2001. The contracts awarded are as follows:

Design:	Hardlines Design Company, Columbus, Ohio
General Contract:	Two K General Company, Lewis Center, Ohio
Amount:	\$187,007 *
Estimate:	\$232,840 *
Combined Plumbing/ HVAC Contract:	Fox Mechanical, Columbus, Ohio
Amount:	\$183,080 * +
Estimate:	\$156,729 * +
Electric Contract:	Knight Electric Inc., Columbus, Ohio
Amount:	\$61,073
Estimate:	\$68,015
Total All Contracts:	\$431,160
Contingency Allowance:	\$43,019
Total Project Cost:	\$567,906

+ The Combined Plumbing/HVAC Contract is excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Starling-Loving Install Fire Pump

This project replaces the out of date fire protection system in this building. The total project cost is \$250,000; funding is provided by House Bill 640. The completion date is December 2001. The contracts awarded are as follows:

Design:	Prater Engineering Associates, Columbus, Ohio
General Contract:	The Righter Co., Columbus, Ohio
Amount:	\$164,671 *
Estimate:	\$174,650 *
Electric Contract:	Fetter Electric, Columbus, Ohio
Amount:	\$36,950 *
Estimate:	\$48,100 *
Total All Contracts:	\$201,621
Contingency Allowance:	\$20,975
Total Project Cost:	\$250,000

Thompson Library Carpeting

This project replaces the carpeting in several high traffic, patron areas of the building. The total project cost is \$730,346; funding is provided by House Bill 640 (\$200,000), House Bill 850 (\$530,000) and University Libraries (\$346). The completion date is July 2001. The contract awarded is as follows:

Design:	Machisa Design Services, Columbus, Ohio
Combined General/Asbestos Abatement Contract:	Field & Associates, Springfield, Ohio
Amount:	\$591,300 *
Estimate:	\$593,000 *

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Thompson Library Carpeting (contd)

Total All Contracts:	\$591,300
Contingency Allowance:	\$53,776
Total Project Cost:	\$730,346

Thompson Library – Roof Replacement

This project replaces the copper batten roof, built-up roofing, tapered insulation, and copper counter flashing and gutter. The total project cost is \$624,273; funding is provided by House Bill 850 (\$600,000) and Department of Physical Facilities (\$24,273). The completion date is December 2001. The contract awarded is as follows:

Design:	Robert H. Fuller and Associates, Columbus, Ohio
General Contract:	Field and Associates, Springfield, Ohio
Amount:	\$537,369 +
Estimate:	\$496,600 +
Total All Contracts:	\$537,369
Contingency Allowance:	\$26,868
Total Project Cost:	\$624,273

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Utilities – High Voltage Electric Circuit

This project replaces the cables to increase the current carrying capacity of circuit 202/303 from the Buckeye substation to the Midwest campus area. The total project cost is \$198,298; funding is provided by House Bill 850. The completion date is February 2002. The contract awarded is as follows:

Design:	The Ohio State University
Electric Contract:	Vaughn Industries, Carey, Ohio
Amount:	\$156,500
Estimate:	\$340,000
Total All Contracts:	\$156,500
Contingency Allowance:	\$15,650
Total Project Cost:	\$198,298

Veterinary Hospital – Roof Replacement Phase I

This project is the first of two phases to replace the entire roof of the building. This phase replaces the upper levels over the mechanical spaces, auditorium, entrance canopies, and separate roof on the southwest portion of the building. The total project cost is \$500,000; funding is provided by House Bill 850. The completion date is November 2001. The contract awarded is as follows:

Design:	Stacy and Asebrook Ltd., Columbus, Ohio
General Contract:	US Roofing, Columbus, Ohio
Amount:	\$415,000 +
Estimate:	\$412,000 +
Total All Contracts:	\$415,000
Contingency Allowance:	\$39,635
Total Project Cost:	\$500,000

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Veterinary Hospital – Roof Replacement Phase I (contd)

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Waterman Laboratory Headquarters

This project provides a new building to serve as headquarters for the College of Food, Agricultural, and Environmental Sciences Waterman Laboratory. The new building includes space for two offices, break room, 40-person meeting room, sample drying room, sample preparation shop and repair area, and restrooms. The total project cost is \$640,000; funding is provided by Ohio Agricultural Research and Development Center (\$580,588), Horticulture and Crop Sciences (\$8,000), Food, Agriculture, and Environmental Sciences (\$34,275), and Extension (\$17,137). The completion date is November 2001. The contracts awarded are as follows:

Design:	The Ohio State University
General Contract:	C & N Construction Services Inc., Columbus, Ohio
Amount:	\$353,800
Estimate:	\$385,287
Plumbing Contract:	Aggressive Mechanical, Inc., Reynoldsburg, Ohio
Amount:	\$80,900
Estimate:	\$83,016
HVAC Contract:	Martina Metals, Columbus, Ohio
Amount:	\$58,600
Estimate:	\$70,554
Electric Contract:	Union Electric and Communications, Delaware, Ohio
Amount:	\$99,844 * +
Estimate:	\$98,732 * +
Total All Contracts:	\$593,144
Contingency Allowance:	\$37,959
Total Project Cost:	\$640,000

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus – Student Services Building, State Route 315 Access to Medical Center, and Starling-Loving Hall - Biostatistics projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus – Student Services Building and Starling-Loving Hall - Biostatistics projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Hopkins Hall Ceramics Facility Renovation – Phase I, Arps Hall Lab Renovation, Campbell Hall – Chiller Replacement, Campus Buildings – OSHA Fume Hood Monitors Phase I, Campus Grounds Street Rebuild, Campus Lighting Phase VII, Central Classroom – Roof Replacement, Converse Hall – Roof Replacement, Derby Hall – Roof Replacement, Fawcett Center – University Development Phase I, Hughes Hall Auditorium Accessibility, Jones Tower – Fire Alarm System Replacement, Kinnear Road Center Building “A” Roof Replacement, McCracken Steam Turbine Vibration Monitoring, Mount Hall – HVAC Modifications, Oxley Hall – Roof Replacement, Pomerene Hall Renovation, Starling-Loving Install Fire Pump, Thompson Library Carpeting, Thompson Library – Roof Replacement, Utilities High Voltage Electric Circuit, Veterinary Hospital – Roof Replacement Phase I, and Waterman Laboratory Headquarters projects are hereby accepted.

(See Appendix XIX for background information and maps, page 617.)

FISCAL YEAR 2001-02 FIRST QUARTER BUDGET REPORT

Resolution No. 2002-65

Synopsis: The first quarter budget report for fiscal year 2001-2002 is being submitted for adoption.

WHEREAS the State of Ohio has cut FY 2002 funding to State assisted institutions of higher education, resulting in a \$19.4 million (6.0%) reduction in the Columbus Campus State Share of Instruction and a \$28.3 million overall reduction in all line items for all Campuses: and

WHEREAS the University's enrollments for Summer and Autumn quarters are now known, allowing for a more accurate picture of the University's student fee revenue; and

WHEREAS appropriate planning and consultation within the University has been accomplished and the President now recommends approval of the first quarter budget report:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources budgets be adjusted to reflect these changes as of the first quarter as described in the attached materials; and

BE IT FURTHER RESOLVED, That the \$19.4 million shortfall in the Columbus Campus State Share of Instruction be offset primarily by one-time reallocations from the colleges and offices, the rainy day fund, and other central sources in FY 2002; and

BE IT FURTHER RESOLVED, That this shortfall will be addressed on a permanent basis in the FY 2003 budget process and that a balanced budget will be submitted for FY 2003.

(See Appendix XX for background information, page 671.)

**CAMPUS PARTNERS -
RELEASE OF ADDITIONAL FUNDING**

Resolution No. 2002-66

Synopsis: Release of additional funding of \$600,000 for Campus Partners' initiatives from the previously identified \$25 million set-aside is recommended.

**CAMPUS PARTNERS -
RELEASE OF ADDITIONAL FUNDING (contd)**

WHEREAS in February and November 1995 the Board of Trustees recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort and identified \$25 million in Endowment funds that could be made available for real estate and related investments in support of the Campus Partners revitalization efforts; and

WHEREAS in May 1997, July 2000, and August 2000 the Board of Trustees authorized, subject to a memorandum of understanding of May 1, 1997, the release of up to \$18 million of the \$25 million previously identified for investment in the University Gateway Center, and the release of up to \$4.8 million for the purchase of additional real estate (and associated corporate stock), bringing the total amount released for the University Gateway Center and other real estate investments to \$22.8 million; and

WHEREAS Campus Partners has been presented with an opportunity to acquire a number of additional properties located close to the Gateway project in an area that is a priority for redevelopment:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes further investment in Campus Partners through the release of up to \$600,000 of the previously identified \$25 million in Endowment funds for Campus Partners' purchase of additional real estate outside the previously established acquisition area but important for the long-range plans for the entire Campus Partners area, bringing to \$23.4 million the total amount released from the \$25 million available for the University Gateway Center and other real estate investments; and

BE IT FURTHER RESOLVED, That this authorization and release of funds shall be subject to whatever further provisions amending the memorandum of understanding of May 1, 1997, as the Oversight Committee shall determine appropriate.

Upon motion of Ms. Hendricks, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, and Judge Duncan, and Meses. Longaberger, Hendricks, and Davidson.

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Mr. Patterson:

That concludes the business part of our agenda, but I neglected to say at the beginning of our meeting that Chairman Brennan is not with us today. As you know, he underwent multiple by-pass heart surgery. He's feeling good and we talked to him last night. I know he will be back soon.

Also, I'd like to draw your attention to what President Kirwan mentioned earlier -- and one of the highlights of any campus year -- commencement day is tomorrow.

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Thereupon the Board adjourned to meet Friday, February 2, 2002 at The Ohio State University Fisher College of Business, Columbus, Ohio.

Attest:

William J. Napier
Secretary

James F. Patterson
Vice Chairman

Drackett & Taylor Tower Fire Alarm System Upgrade**315-2001-933****PROJECT CAPTAIN:**

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

REQUESTING AGENCY:

Student Affairs

PROJECT LOCATION:

V0001-Various Locations-Columbus

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

This project will replace the existing fire alarm system to reduce false alarms with new Intelligent Photoelectric Smoke Detectors and audible bases that are fully addressable with Fire-Print based technology. The fire alarm system will also be upgraded to be ADA compliant and provide an upgraded intercom system and fire fighter phones.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/6/2001		
Bidding Approved B/T	12/6/2001		
Arch/Engr Contract	1/18/2002		
Schematic Design Approval	3/22/2002		
Design Development Approval	3/22/2002		
Construction Document Approval	4/26/2002		
Bid Opening	5/23/2002		
Award of Contracts	7/23/2002		
Construction Start	7/23/2002		
Report of Award to B/T	8/1/2002		
Completion	3/28/2003		

FUNDING INFORMATION:

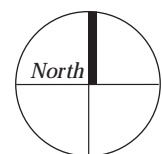
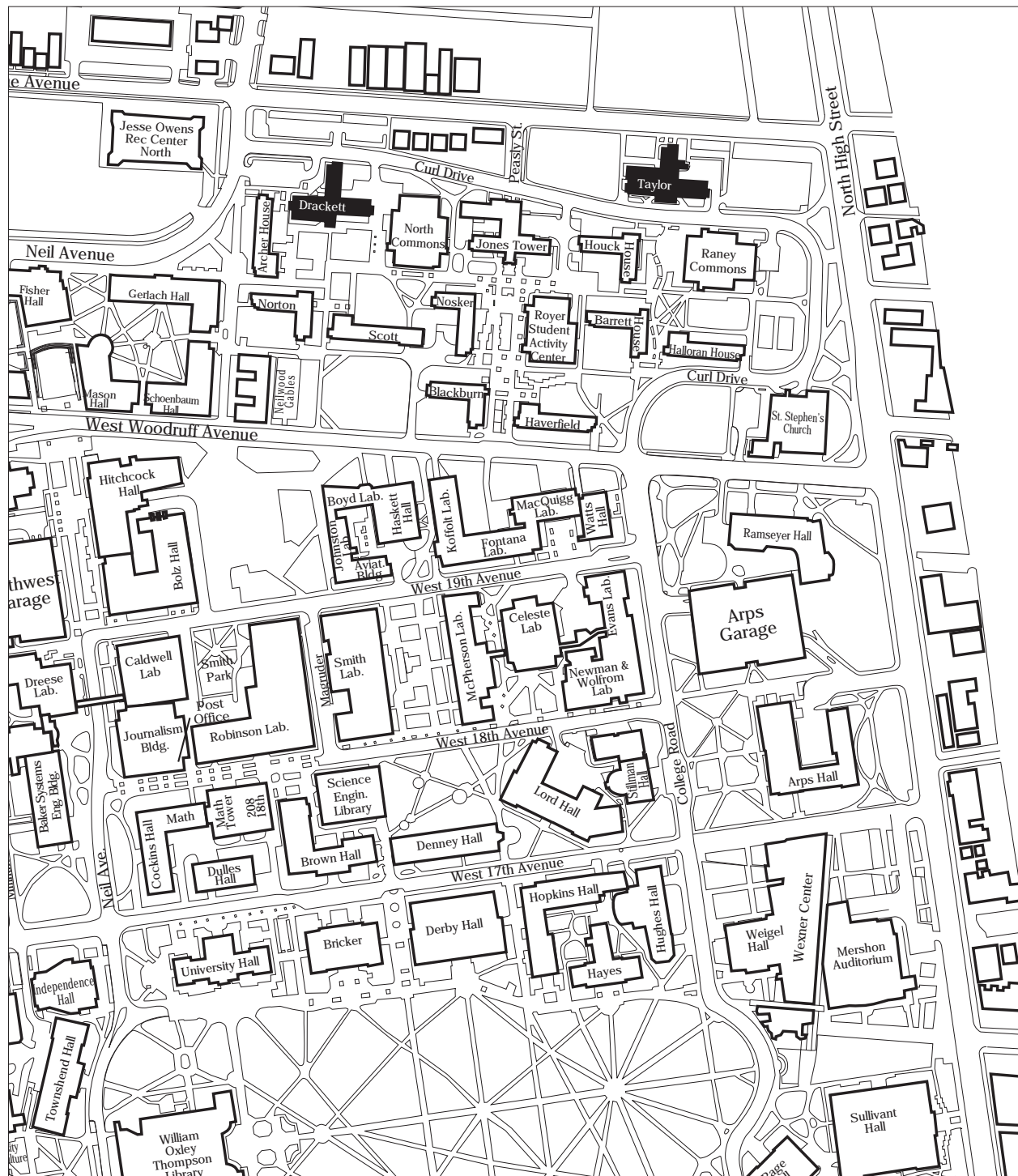
Future Univ. Bond Issues	\$	1,034,431	\$	-
Total	\$	1,034,431	\$	-

USES:

Construction	\$	875,387	\$	-
Contingency		87,539		-
Design		61,000		-
Univ. Administration		10,505		-
Total	\$	1,034,431	\$	-

COMMENTS:

Drackett and Taylor Tower Fire Alarm System Upgrade



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Office of Business and Finance
Office of Facilities Planning and Development

November 20, 2001

PROJECT CAPTAIN:

Martin R. Bricker, Facilities Planning & Development - Architecture, 468-0024

REQUESTING AGENCY:

Marion Campus

PROJECT LOCATION:

V0010-Site - See Comments.

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Construct a two story, concrete and masonry, administrative structure of approximately 17,500 square feet that will house the expanded student services of the Marion Campus. These will include admissions, advising, financial aid, registrar services, fee payment, career services, disability services, Master of Social Work Program and Nursing Program.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/6/2001		
Bidding Approved B/T	12/6/2001		
Arch/Engr Contract	1/30/2002		
Schematic Design Approval	4/1/2002		
Design Development Approval	10/1/2002		
Construction Document Approval	5/1/2003		
Bid Opening	6/1/2003		
Award of Contracts	7/15/2003		
Construction Start	10/1/2003		
Report of Award to B/T	11/1/2003		
Completion	12/1/2004		

FUNDING INFORMATION:

Future Univ. Bonds	\$ 1,100,000	\$ -	
HB640 Line Item Appropriation	1,364,050		
General Funds-Marion	1,266,119		
Total	\$ 3,730,169	\$ -	

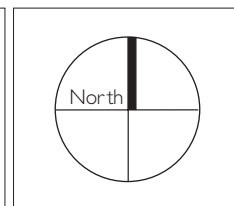
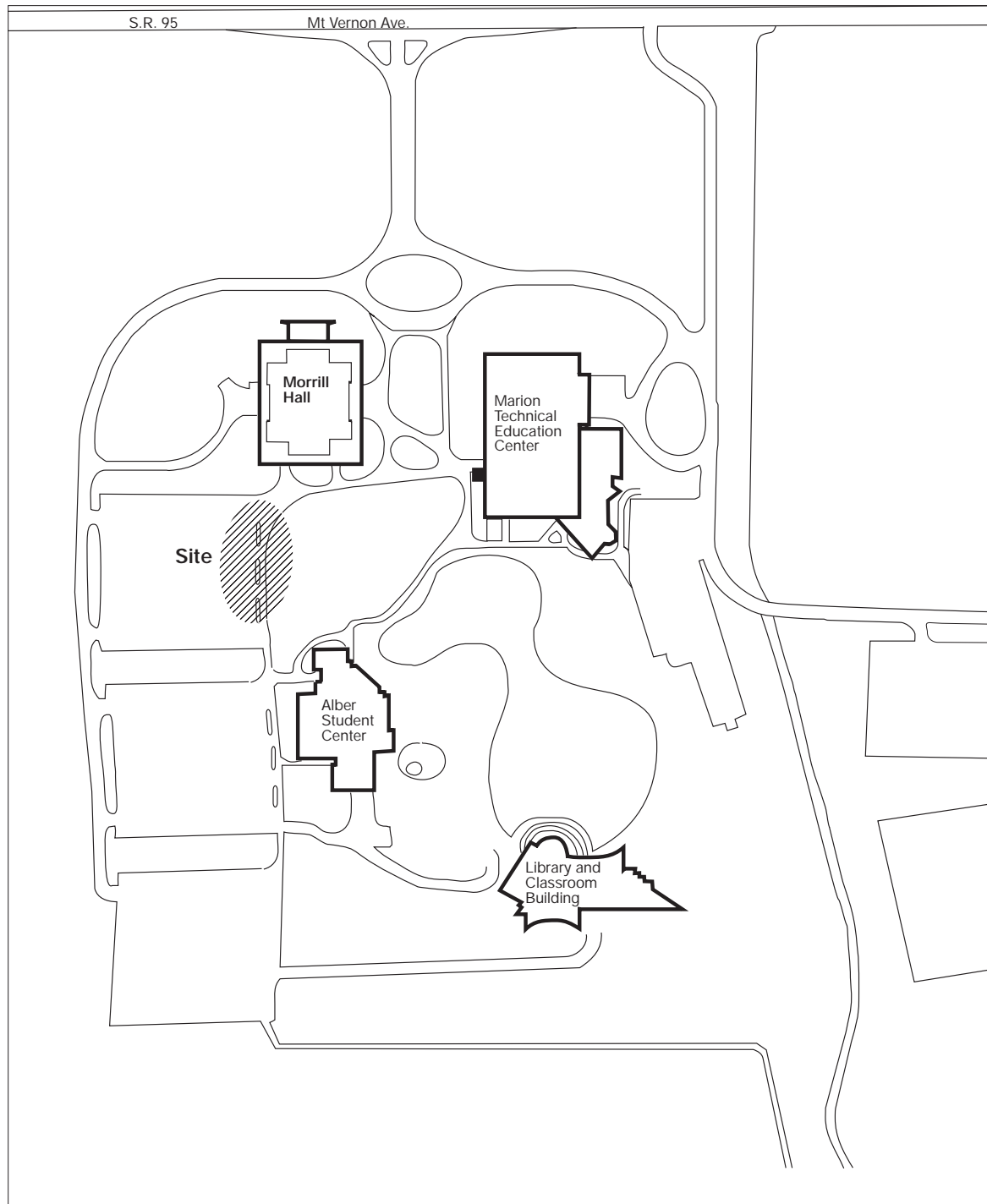
USES:

Construction	\$ 2,860,559	\$ -	
Contingency	286,056		
Design	257,450		
Equipment	286,056		
Univ. Administration	40,048		
Total	\$ 3,730,169	\$ -	

COMMENTS:

Selected site is on the parking lot between Alber Student Center and Morrill Hall.

Marion Campus Student Services Building



Office of Business and Finance
Office of the University Architect and Physical Planning

November 14, 2001

PROJECT CAPTAIN:

Tricia Petras, Physical Facilities UEO, 292-1349

REQUESTING AGENCY:

University Hospital

PROJECT LOCATION:

S0000-Unidentified Street-Col.

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

This project consists of providing a northbound SR 315 exit ramp to Cannon Drive at the intersection of 9th Avenue and a southbound entrance ramp from Cannon Drive at the intersection of 12th Avenue to SR 315. In addition, appropriate lighting, traffic control, traffic signals, signing, drainage and retaining walls are to be provided in any plans and specifications. A portion of the existing bike path shall also be relocated.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/6/2001		
Schematic Design Approval	8/29/2001		8/29/2001
Design Development Approval	12/30/2001		
Construction Document Approval	2/21/2002		
Bid Opening	4/25/2002		
Construction Start	10/14/2002		
Completion	12/31/2003		

FUNDING INFORMATION:

Auxiliaries-University Hospitals	\$	800,000	\$	-
Total	\$	800,000	\$	-

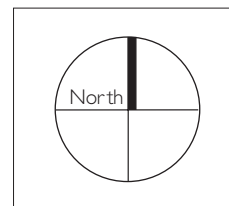
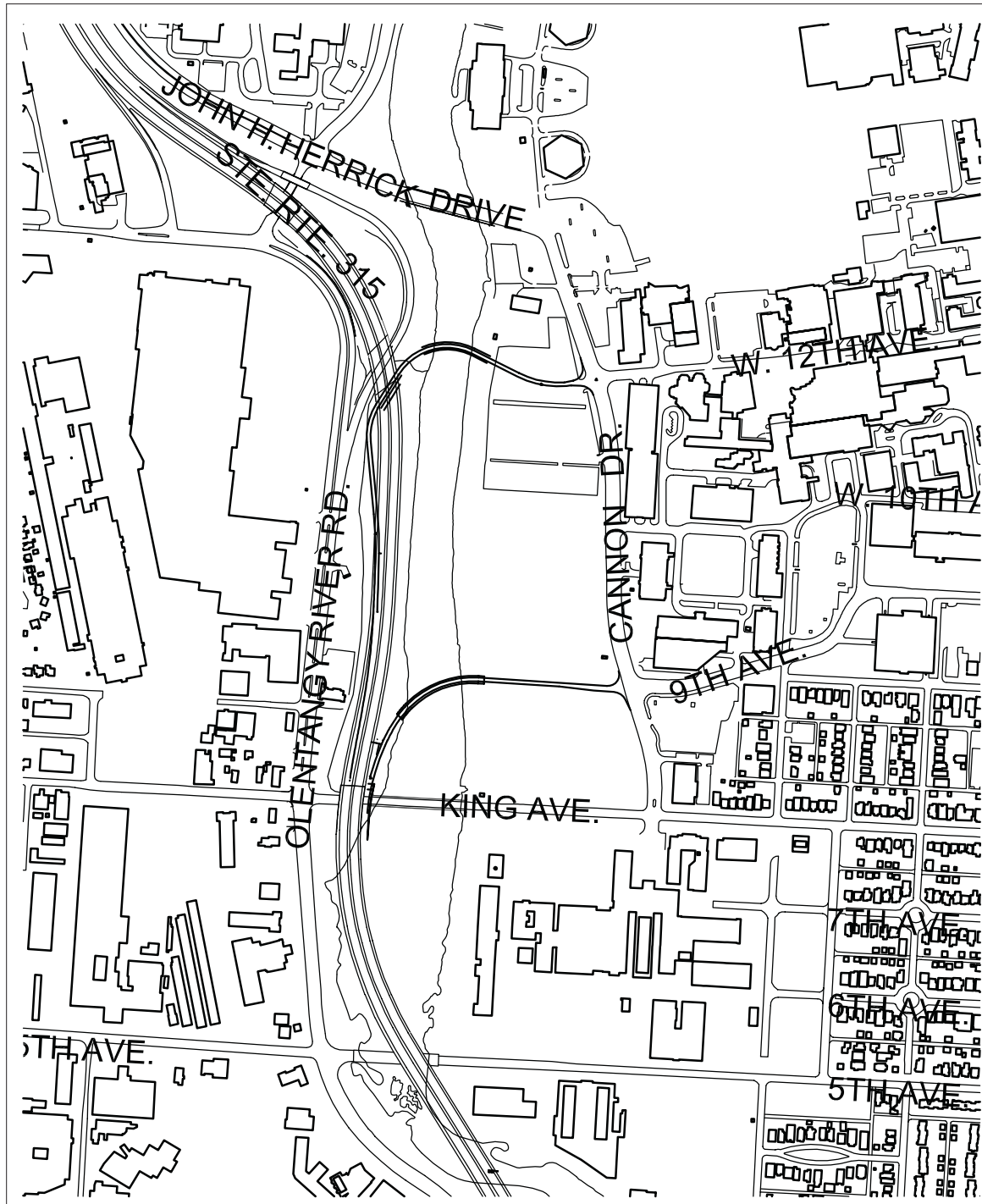
USES:

Design	\$	800,000	\$	-
Total	\$	800,000	\$	-

COMMENTS:

The budget and construction phases of this project will be administered by the Ohio Department of Transportation. Estimated construction cost is \$10 million.

State Route 315 Access to Medical Center



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

Starling-Loving Hall - Biostatistics**315-2001-928****PROJECT CAPTAIN:**

Sara Herridge, Facilities Planning & Development - Architecture, 292-0381

REQUESTING AGENCY:

Office of Research

PROJECT LOCATION:

0176-STARLING LOVING HALL

AGE:

1917

GR. SQ. FT.:

145,327

PROJECT DESCRIPTION:

This project renovates approximately 1,500 square feet of space in Starling-Loving Hall to be used as new additional office space for Biostatistics. The renovation includes additional wall partitions, new ceilings, repair of existing walls and ceilings, weather seal existing windows, update electrical and data outlets, and upgrade finishes conducive of office areas.

MILESTONES:**Projected****Revised****Actual**

Arch/Eng Approved B/T

12/6/2001

Bidding Approved B/T

12/6/2001

Construction Start

3/15/2002

Report of Award to B/T

12/1/2002

Completion

6/30/2002

FUNDING INFORMATION:

Auxiliary - College of Medicine

\$

\$

-

\$

-

General Funds-Research

315,000

Total

\$

315,000

\$

\$

-

USES:

Construction

\$

252,000

\$

\$

-

Contingency

32,508

Design

25,200

Univ. Administration

5,292

Total

\$

315,000

\$

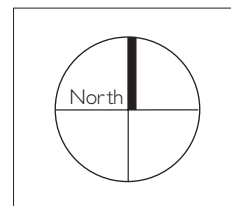
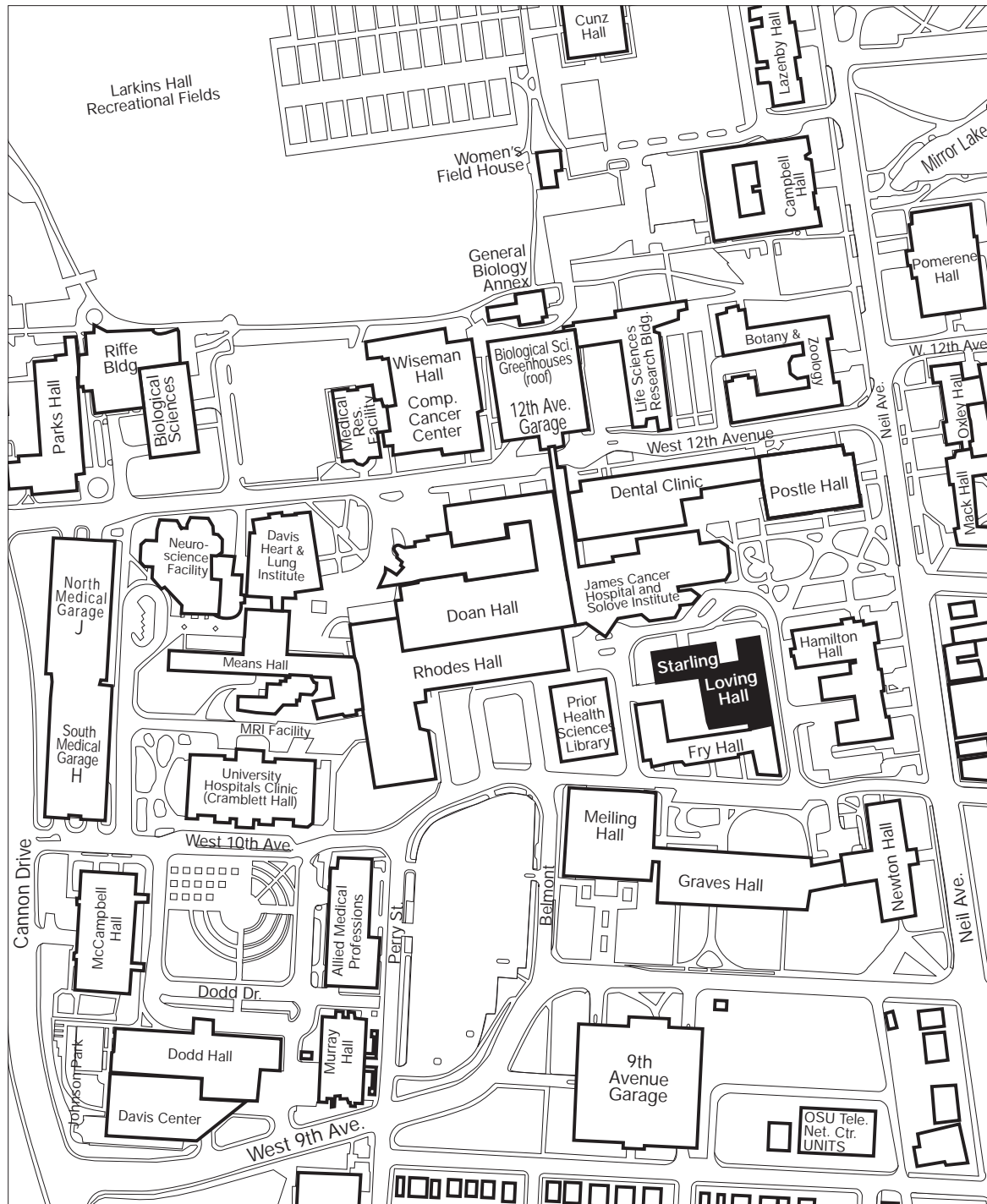
\$

-

COMMENTS:

Formerly known as 50700-R015107

Starling - Loving Hall - Biostatistics



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

PROJECT CAPTAIN:

Steve Middleton, Facilities Planning & Development - Architecture, 688-3576

REQUESTING AGENCY:

College of the Arts

PROJECT LOCATION:

0149-HOPKINS HALL, JAMES R.

AGE:

1959

GR. SQ. FT:

106856

PROJECT DESCRIPTION:

Upgrade the kiln area in the basement.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	6/1/1999	9/15/2000	8/18/2000
Schematic Design Approval	9/1/1999	12/21/2000	12/20/2000
Design Development Approval	12/1/1999	12/21/2000	12/20/2000
Construction Document Approval	4/1/2000	1/31/2001	3/21/2001
Bid Opening	5/15/2000	4/24/2001	4/24/2001
Award of Contracts	7/30/2000	6/15/2001	6/22/2001
Construction Start	8/1/2000	6/15/2001	7/2/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	2/1/2001	12/29/2001	

FUNDING INFORMATION:

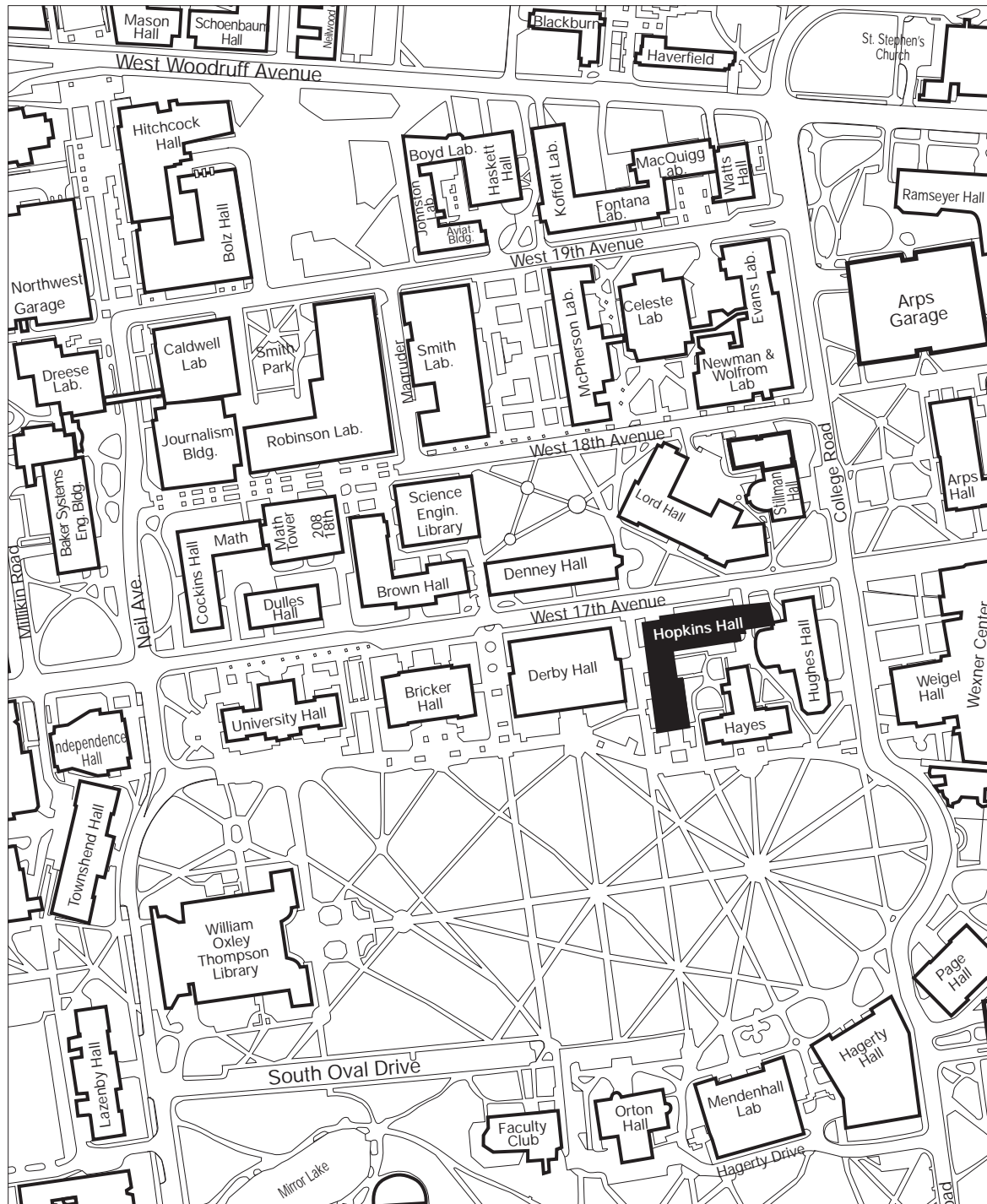
Centrally Funded Capital Projects	\$ 0	\$ 26,000	\$
General Funds-Arts	0	41,526	
HB850 Columbus Basic Renovation	920,000	945,200	
Total	\$ 920,000	\$ 1,012,726	\$

USES:

Asbestos	\$ 0	\$ 4,690	\$
Construction	723,300	0	
Contingency	90,900	123,672	
Design	94,950	95,800	
Equipment	0	161,403	
General	0	617,823	
Univ. Administr	10,850	9,338	
Total	\$ 920,000	\$ 1,012,726	\$

COMMENTS:

Hopkins Hall Ceramics Facility Renovation Phase I



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Jack Bargaheiser, Facilities Planning & Development - Architecture, 247-7607

REQUESTING AGENCY:

College of Education

PROJECT LOCATION:

0011-ARPS HALL, GEORGE F.

AGE:

1925

GR. SQ. FT:

136070

PROJECT DESCRIPTION:

This project will renovate some areas in the basement of Arps Hall into learning laboratories for the College of Education, students and faculty.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	5/3/1996	3/1/1997	12/2/1994
Bidding Approved B/T	5/3/1996	3/1/1997	12/2/1994
Arch/Engr Contract			9/24/1999
Schematic Design Approval	1/15/1997	12/15/1999	1/10/2000
Design Development Approval	5/1/1997	2/1/2000	4/12/2000
Construction Document Approval	9/30/1997	4/3/2000	8/7/2000
Bid Opening	12/1/1997	6/13/2001	6/13/2001
Award of Contracts	2/15/1998	9/15/2001	10/15/2001
Construction Start	3/10/1998	10/17/2001	10/15/2001
Report of Award to B/T	12/1/1997	12/6/2001	
Completion		12/21/2001	

FUNDING INFORMATION:

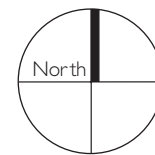
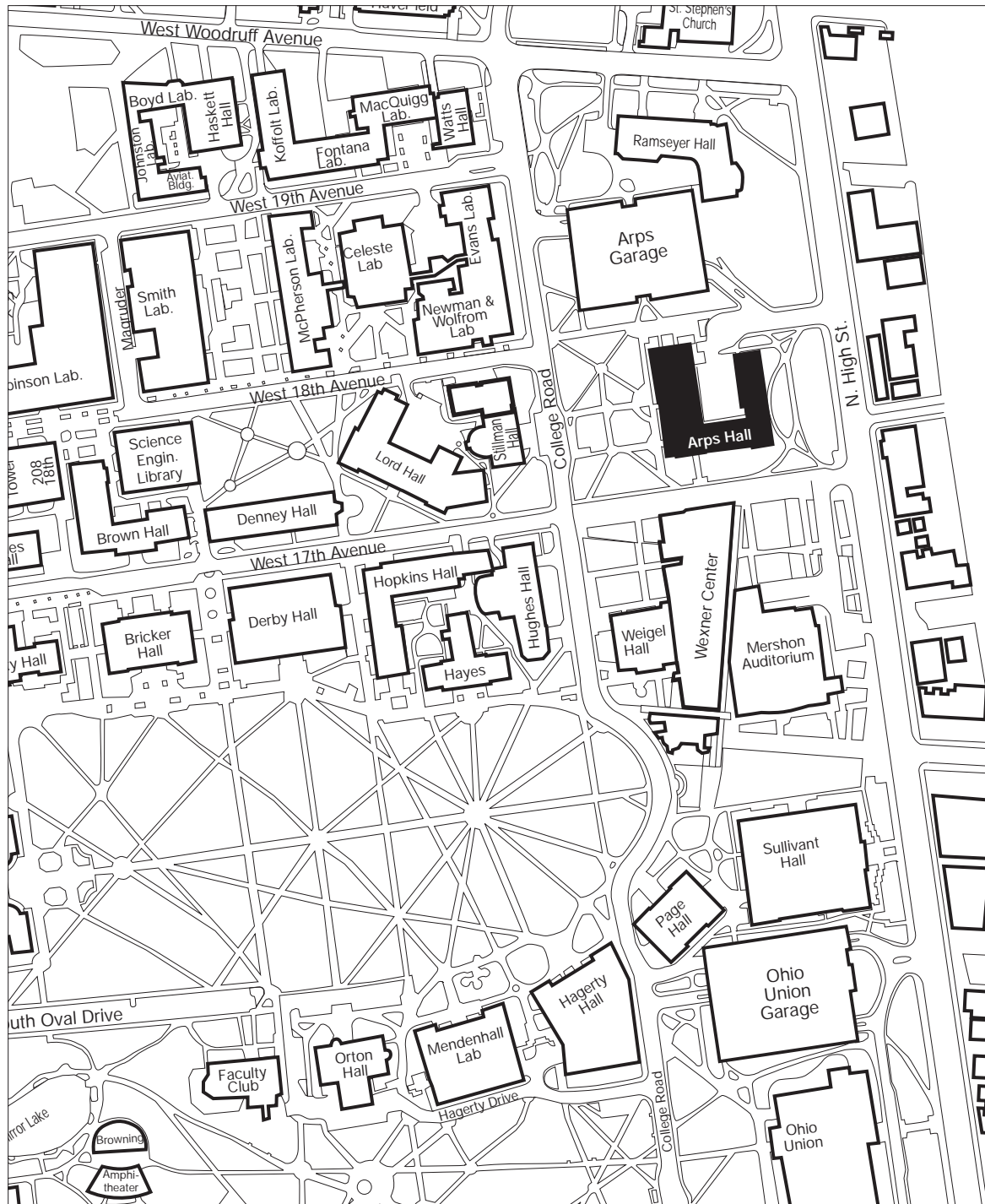
Gen. Funds - OIT Admin./Classroom Pool	\$ 0	\$ 15,000	\$
General Funds-Education	0	22,000	
HB748 Columbus ADA Modifications	0	12,000	
HB790 Columbus Suppl. Renov	350,000	371,860	
Total	\$ 350,000	\$ 420,860	\$

USES:

Asbestos	\$ 0	\$ 0	\$
Construction	290,000	0	
Contingency	35,350	38,155	
Design	20,300	33,950	
Electric	0	77,575	
General	0	112,335	
Plumbing/HVAC	0	153,700	
Univ. Administr	4,350	5,145	
Total	\$ 350,000	\$ 420,860	\$

COMMENTS:

Arps Hall Lab Renovation



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Glenn R. Gerhart, Physical Facilities, 688-5606

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0018-CAMPBELL HALL, ELIZABETH

AGE:

1916

GR. SQ. FT:

109973

PROJECT DESCRIPTION:

Replace two existing 150-ton centrifugal chillers including correct sizing and configuration, and modification or replacement as appropriate the cooling tower, circulating pumps, and piping.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/8/1996		12/6/1996
Bidding Approved B/T	12/8/1996		12/6/1996
Schematic Design Approval	2/8/1997	12/1/1998	12/1/1998
Design Development Approval	5/8/1997	12/1/1998	12/1/1998
Construction Document Approval	8/8/1997	3/1/1999	2/8/1999
Bid Opening	10/8/1997	12/21/2000	12/21/2000
Award of Contracts	12/8/1997	2/20/2001	2/19/2001
Construction Start	6/15/1998	3/15/2001	2/26/2001
Report of Award to B/T	1/8/1998	12/6/2001	
Completion	12/15/1998	11/30/2001	

FUNDING INFORMATION:

HB748 Columbus Basic Renovation	\$ 350,000	\$ 310,778	\$
Repair & Renovation Fiscal Yr 00/01	0		
Total	\$ 350,000	\$ 310,778	\$

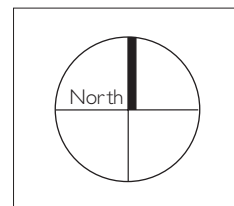
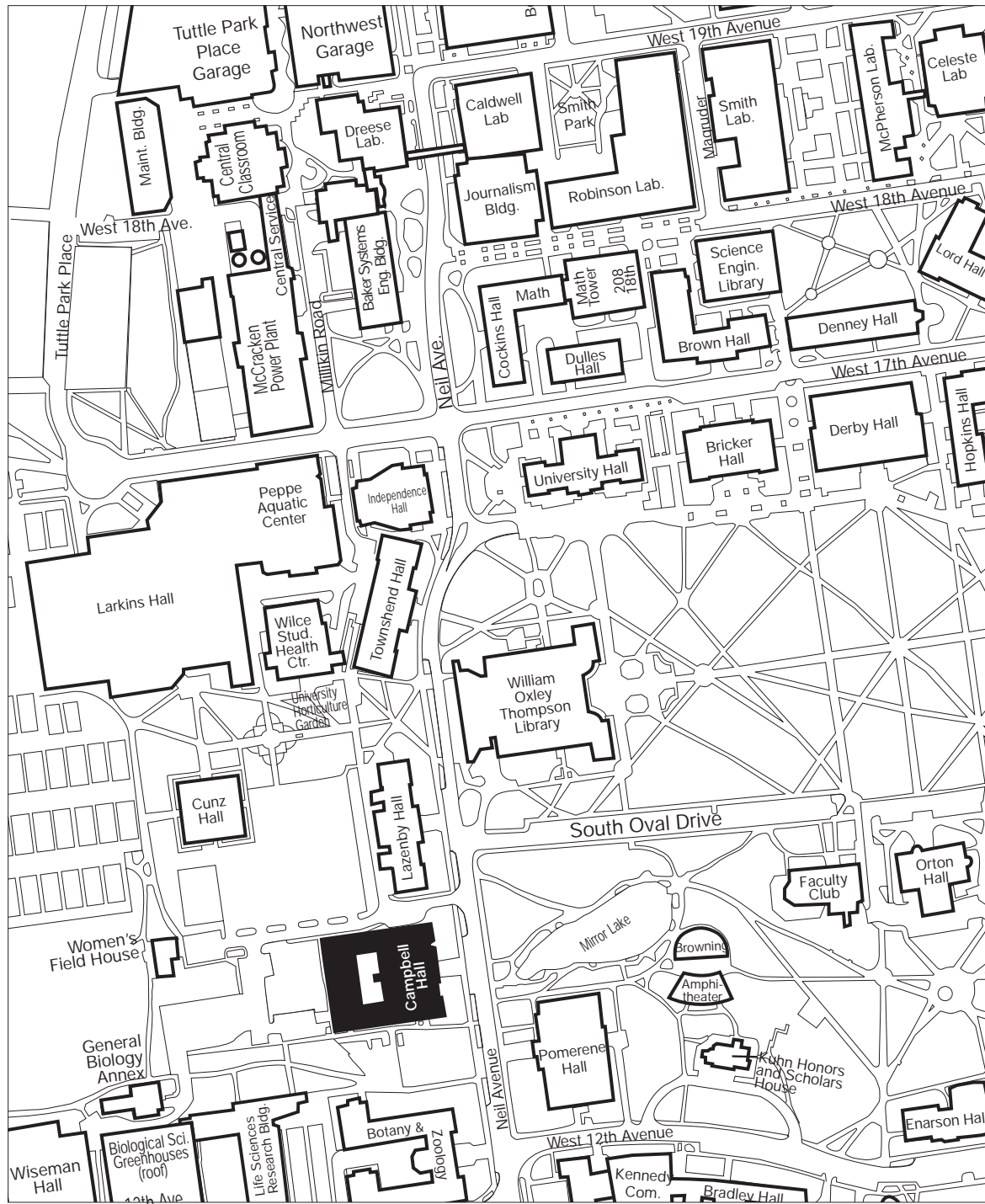
USES:

Asbestos	\$ 0	\$ 5,000	\$
Construction	295,000	0	
Contingency	22,550	35,003	
Design	28,025	0	
Electric	0	12,950	
HVAC	0	253,750	
Univ. Administr	4,425	4,076	
Total	\$ 350,000	\$ 310,778	\$

COMMENTS:

Local administration approved by the Board of Regents on 8/5/96.

Campbell Hall - Chiller Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Campus Buildings - OSHA Fume Hood Monitors, Ph I**315-1999-911****PROJECT CAPTAIN:**

Glenn R. Gerhart, Physical Facilities, 688-5606

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

V0001-Various Locations-Columbus

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Install OSHA required fume hood monitors on existing fume hoods that were not required to be so equipped when installed. Buildings are Agricultural Engineering, Evans Laboratory, Goss Laboratory, Kottman Hall, Rightmire Hall, Biological Sciences, Celeste Laboratory of Chemistry, Veterinary Hospital, MacQuigg Laboratory, Parks Hall, Johnston Laboratory, Sisson Hall and Botany & Zoology.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	8/30/1999	3/25/2000	3/22/2000
Schematic Design Approval	12/1/1999	8/1/2000	8/1/2000
Design Development Approval	2/1/2000	8/1/2000	8/1/2000
Construction Document Approval	5/1/2000	3/19/2001	3/19/2001
Bid Opening	8/30/2000	6/7/2001	6/7/2001
Award of Contracts	10/1/2000	7/15/2001	10/17/2001
Construction Start	10/10/2000	7/31/2001	12/5/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	4/15/2001	4/10/2002	

FUNDING INFORMATION:

HB850 Columbus Basic Renovation	\$	500,000	\$	-	\$	-
Total	\$	500,000	\$	-	\$	-

USES:

Construction	\$	412,000	\$	-	
Contingency		40,620		38,822	
Design		41,200		39,000	
HVAC		0		415,939	
Univ Administration		6,180		6,239	
Total	\$	500,000	\$	500,000	\$ -

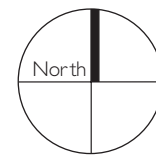
COMMENTS:

Campus Buildings - OSHA Fume Hood Monitors Phase I



Project Sites:

Evans Lab, Goss Lab, Kottman Hall, Rightmire Hall, Biological Sciences Building, Celeste Lab, Veterinary Hospital, MacQuigg Lab, Parks Hall, Johnston Lab, Sisson Hall, Botany and Zoology Building



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

Campus Grounds Street Rebuild**315-2000-924****PROJECT CAPTAIN:**

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

S0000-Unidentified Street-Col.

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Upgrade the base and repair damages caused by excessive bus traffic. Bus routes have changed in the vicinity of west campus parking lots. The result is that several street sections, which had not been constructed to support heavy traffic, have failed.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/2/2001		2/2/2001
Bidding Approved B/T	2/2/2001		2/2/2001
Schematic Design Approval	12/1/2000	12/11/2000	12/13/2000
Design Development Approval	12/1/2000	12/11/2000	12/13/2000
Construction Document Approval	2/1/2001	3/23/2001	3/23/2001
Bid Opening	4/1/2001	5/4/2001	5/4/2001
Award of Contracts	6/1/2001		6/20/2001
Construction Start	7/1/2001		6/25/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	9/1/2001	9/30/2001	9/30/2001

FUNDING INFORMATION:

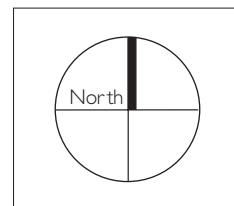
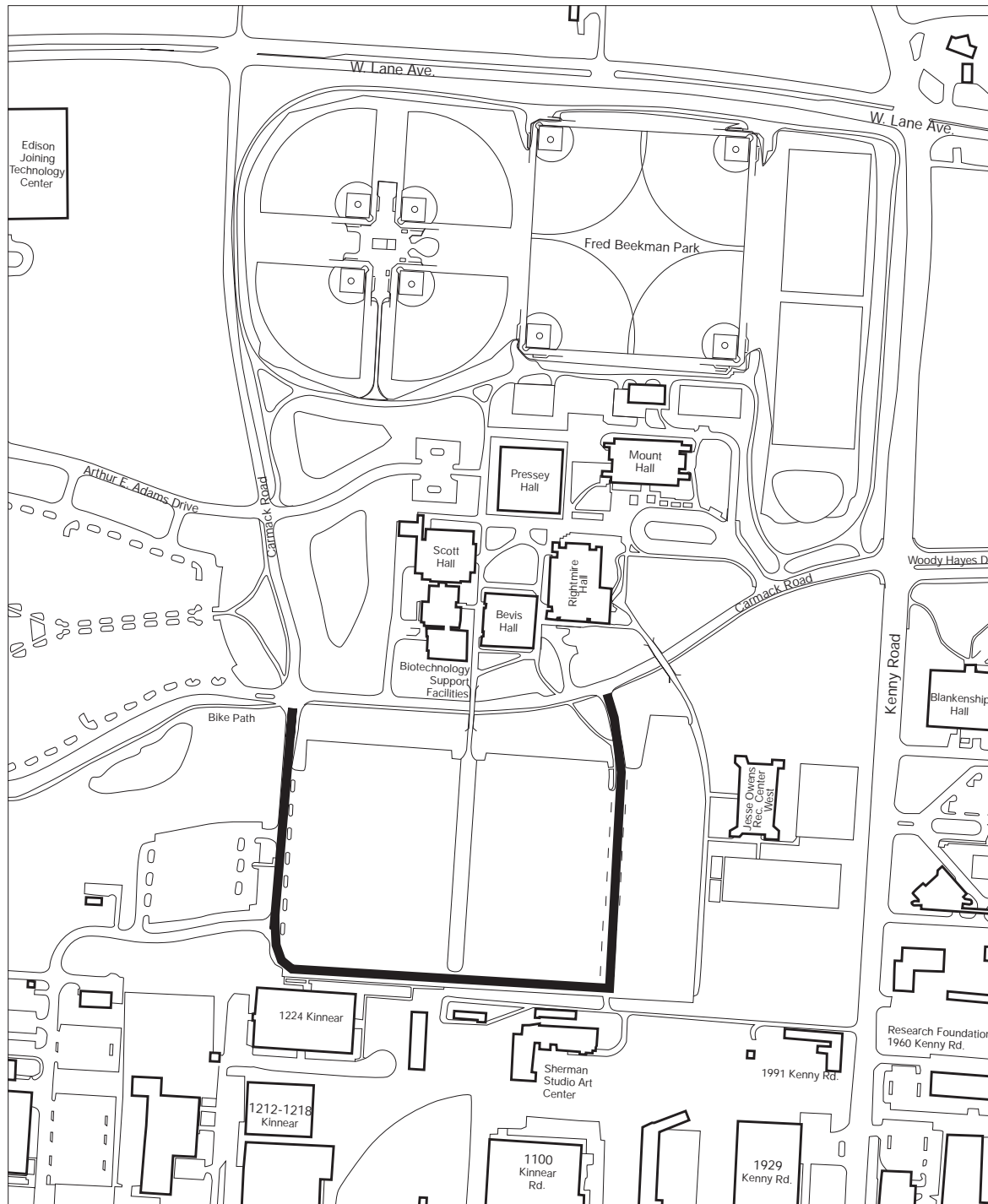
Auxiliaries-Trans. & Parking	\$	-	\$	15,595
HB640 Basic Renovations		500,000		500,000
Total	\$	500,000	\$	515,595

USES:

Construction	\$	425,000	\$	-
Contingency		38,875		59,563
Design		29,750		29,421
General		-		420,306
Univ. Administration		6,375		6,305
Total	\$	500,000	\$	515,595

COMMENTS:

Campus Grounds Street Rebuild



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

PROJECT CAPTAIN:

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

U0000-Unidentified Utility-Col.

AGE: GR. SQ. FT:

PROJECT DESCRIPTION:

Continues to upgrade lighting to current standards in the north academic core to respond to continuing safety concerns.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/2/2001		2/2/2001
Bidding Approved B/T	2/2/2001		2/2/2001
Schematic Design Approval	9/15/2000		9/18/2000
Design Development Approval	9/15/2000		9/18/2000
Construction Document Approval	11/1/2000		11/2/2000
Bid Opening	3/1/2001	3/13/2001	3/20/2001
Award of Contracts	5/1/2001		5/12/2001
Construction Start	6/1/2001		6/18/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	3/1/2002	3/1/2002	

FUNDING INFORMATION:

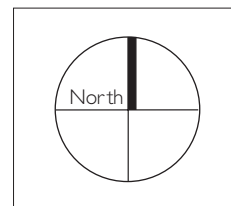
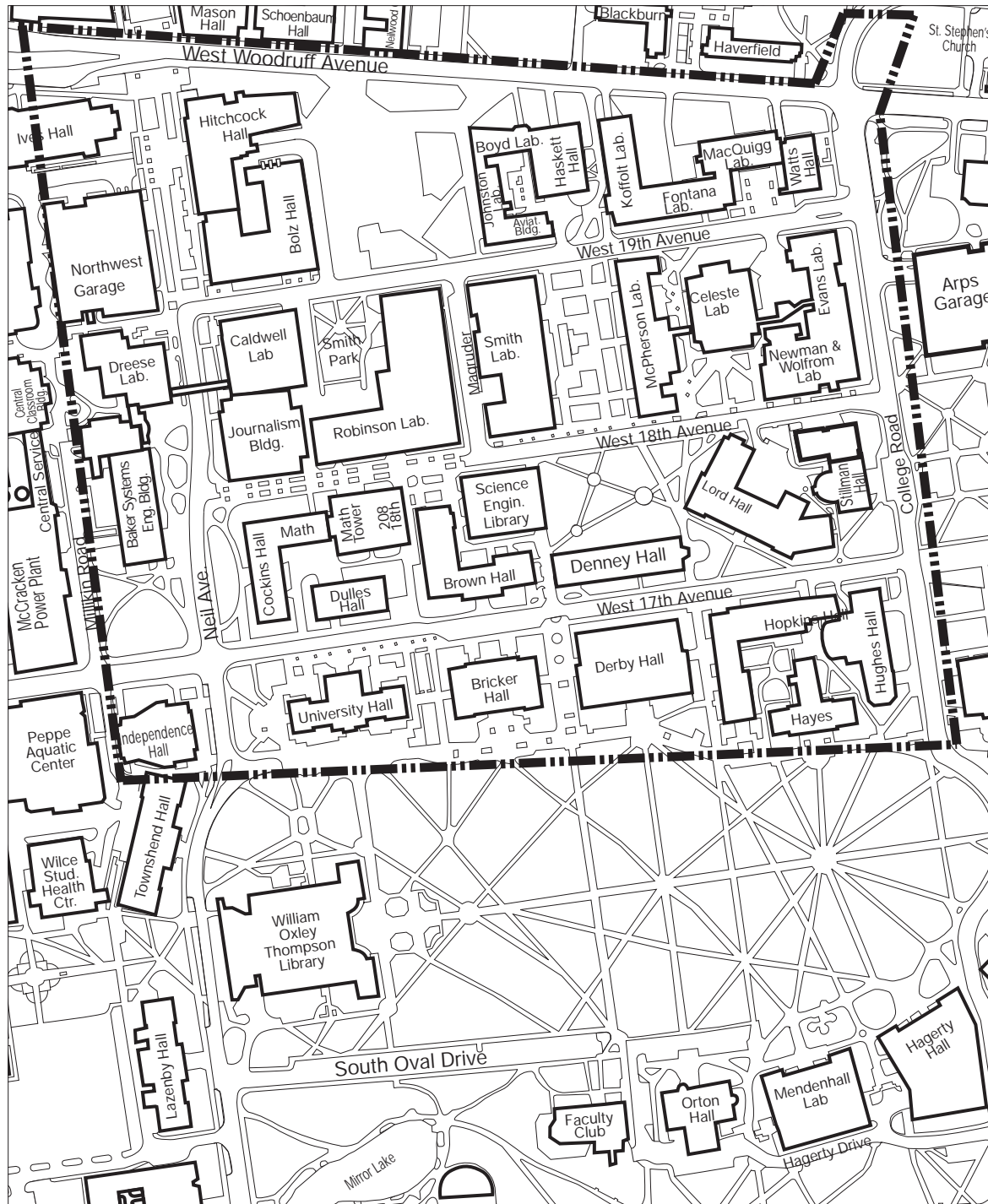
HB640 Basic Renovations	\$ 500,000	\$ 500,000	\$
Repair & Renovation Fiscal Yr 00/01	0	144	
Total	\$ 500,000	\$ 500,144	\$

USES:

Construction	\$ 425,000	\$ 0	\$
Contingency	38,875	22,726	
Design	29,750	16,085	
Electric	0	454,515	
Univ. Administr	6,375	6,818	
Total	\$ 500,000	\$ 500,144	\$

COMMENTS:

Campus Lighting Phase VII



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

Central Classroom - Roof Replacement**315-2001-900****PROJECT CAPTAIN:**

Steve Middleton, Facilities Planning & Development - Architecture, 688-3576

REQUESTING AGENCY:

Facilities Planning & Development/Physical Facilities

PROJECT LOCATION:

0072-CENTRAL CLASSROOM BUILDING

AGE:

1949

GR. SQ. FT.:

90,355

PROJECT DESCRIPTION:

Total removal of the roofing membranes, flashings, and insulation and a complete replacement with a Modified Bitumen roof system, flashings, and insulation. In addition masonry repointing of the joints will be performed on the parapets.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	6/1/2001		6/1/2001
Bidding Approved B/T	6/1/2001		6/1/2001
Construction Document Approval	6/1/2001		5/15/2001
Bid Opening	6/6/2001	7/17/2001	7/17/2001
Award of Contracts	6/30/2001		7/20/2001
Construction Start	7/1/2001	8/13/2001	8/6/2001
Report of Award to B/T	12/7/2001	12/6/2001	
Completion	9/1/2001	11/30/2001	

FUNDING INFORMATION:

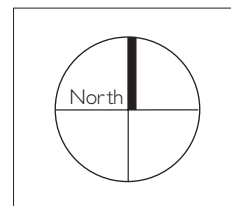
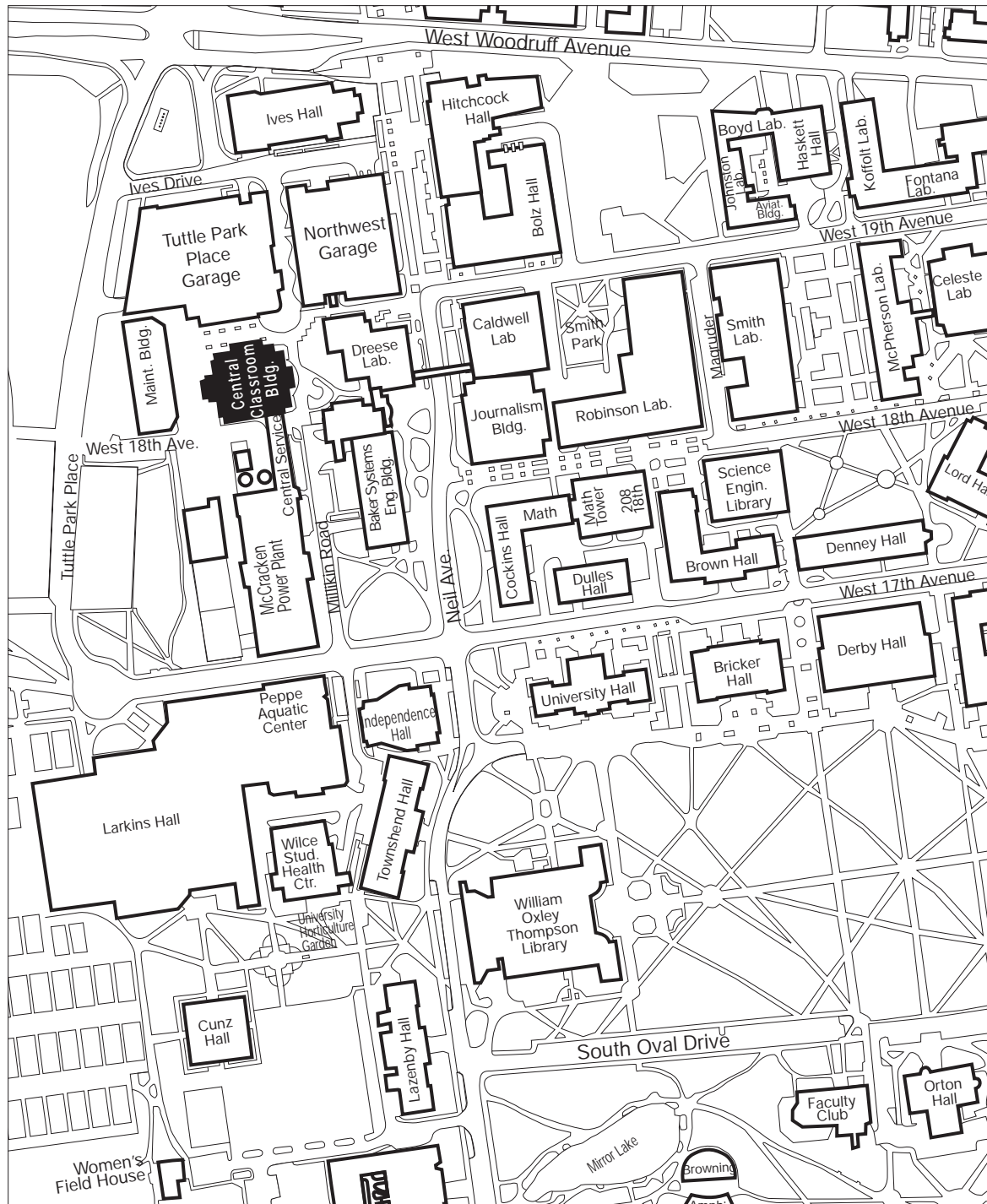
Repair & Renovation Fiscal Yr 00-01	\$	38,953	\$	119,196
Facilities Planning & Development		70,454		70,454
Total	\$	109,407	\$	189,650

USES:

Construction	\$	81,103		-
Contingency		16,304		16,150
Design		12,000		12,000
General		-		161,500
Univ. Administration		-		-
Total	\$	109,407	\$	189,650

COMMENTS:

Central Classroom Building - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

May 16, 2001

Converse Hall - Roof Replacement**315-1996-926****PROJECT CAPTAIN:**

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0056-CONVERSE HALL, GEORGE L

AGE:

1941

GR. SQ. FT.:

58,912

PROJECT DESCRIPTION:

This project involves the removal of built-up roofing and slate shingles and replacement with a new modified roof system and new slate.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/8/1996		12/6/1996
Bidding Approved B/T	12/8/1996		12/6/1996
Schematic Design Approval	2/8/1997	1/15/2001	1/15/2001
Design Development Approval	2/8/1997	1/15/2001	1/15/2001
Construction Document Approval	5/8/1997	3/31/2001	3/5/2001
Bid Opening	7/8/1997	6/15/2001	6/18/2001
Award of Contracts	9/8/1997	8/6/2001	8/30/2001
Construction Start	5/1/1998	7/20/2001	9/17/2001
Report of Award to B/T	10/8/1997	12/6/2001	
Completion	8/1/1998	11/30/2001	

FUNDING INFORMATION:

HB748 Columbus Basic Renovation	\$	175,000	\$	175,000	\$	-
Repair & Renovation Fiscal Yr 01-02		0		8,498		
Total	\$	175,000	\$	183,498	\$	-

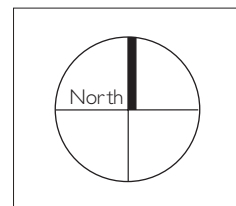
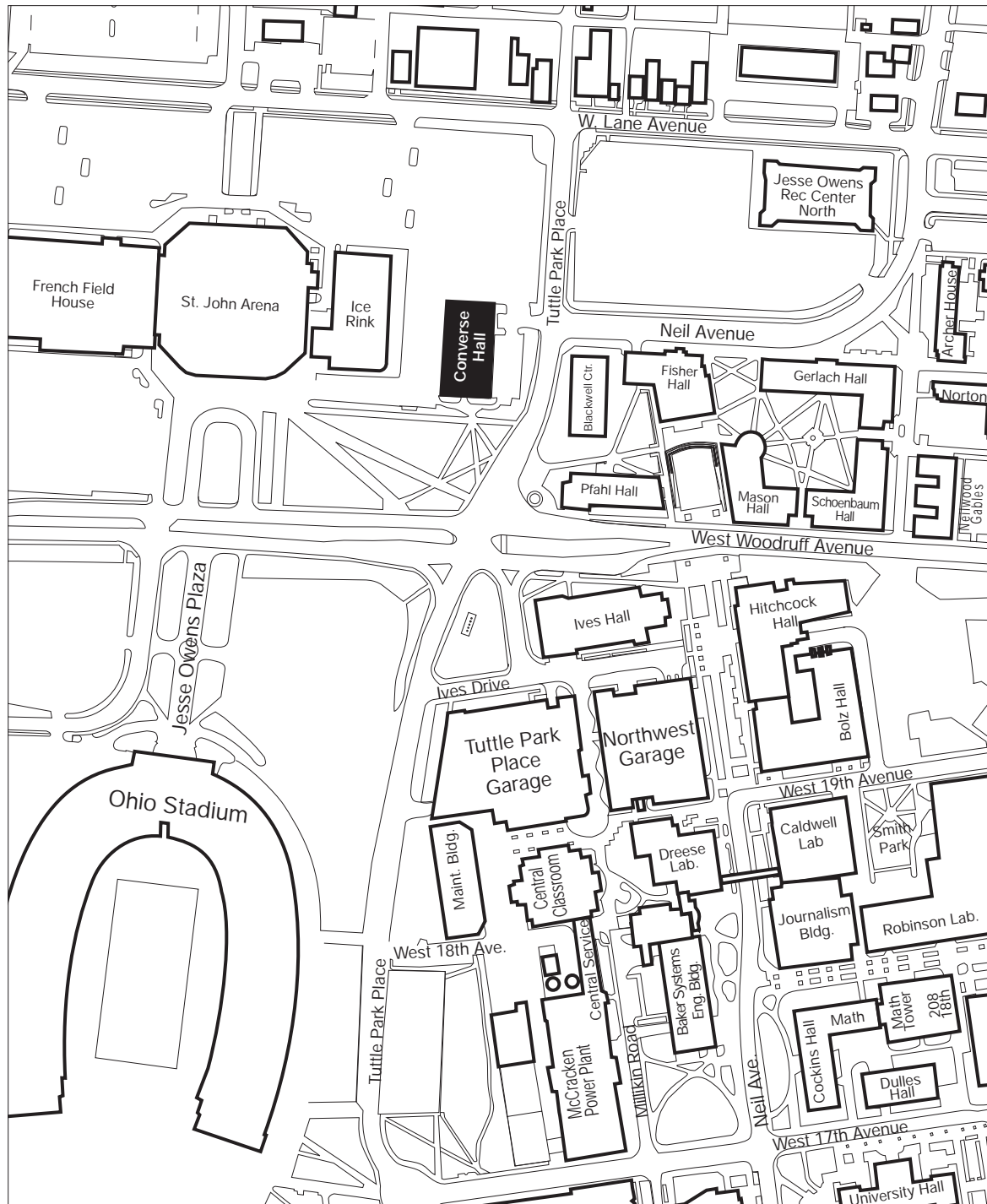
USES:

Construction	\$	148,750	\$	-		
Contingency		13,606		8,127		
Design		10,413		10,413		
General		0		162,520		
Univ. Administration		2,231		2,438		
Total	\$	175,000	\$	183,498	\$	-

COMMENTS:

Local administration approved by the Board of Regents on 8/5/96.

Converse Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0025-DERBY HALL, SAMUEL C.

AGE:

1906

GR. SQ. FT:

115201

PROJECT DESCRIPTION:

Replace approximately 16,300 square feet of copper roof, replace approximately 4,272 linear feet of copper lay-in gutter, and restore approximately 315 linear feet of crestring.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	7/31/1999		9/24/1999
Schematic Design Approval	9/15/1999	9/3/2000	8/28/2000
Design Development Approval	9/15/1999	9/3/2000	8/28/2000
Construction Document Approval	12/1/1999	12/4/2000	12/4/2000
Bid Opening	2/28/2000	5/10/2001	5/10/2001
Award of Contracts	4/1/2000	6/1/2001	7/5/2001
Construction Start	4/10/2000	6/15/2001	7/17/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	10/10/2000	1/13/2002	

FUNDING INFORMATION:

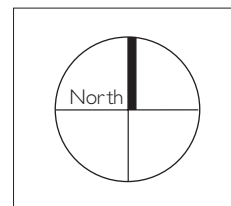
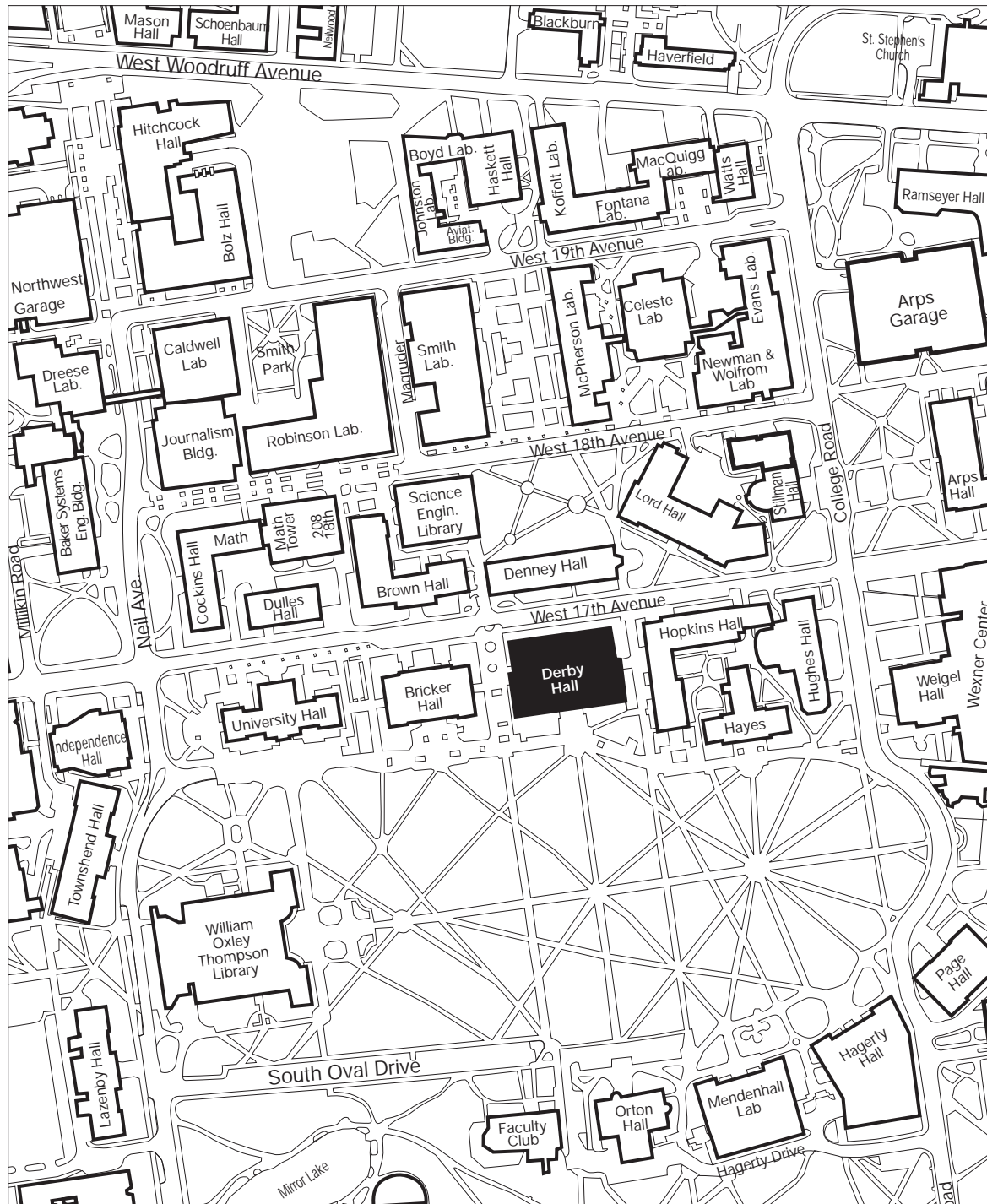
HB850 Columbus Basic Renovation	\$	500,000	\$	439,357	\$
Total	\$	500,000	\$	439,357	\$

USES:

Construction	\$	412,000	\$	0	\$
Contingency		40,620		35,820	
Design		41,200		39,964	
General		0		358,200	
Univ. Administr		6,180		5,373	
Total	\$	500,000	\$	439,357	\$

COMMENTS:

Derby Hall - Roof Replacement (Copper Roof)



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Fawcett Center-University Development Phase I**315-2000-922****PROJECT CAPTAIN:**

Scott Conlon, Facilities Planning & Development - Architecture, 292-0983

REQUESTING AGENCY:

University Development

PROJECT LOCATION:

0284-FAWCETT CENTER FOR TOMORROW, NOVICEG

AGE:

1970

GR. SQ. FT.:

177,726

PROJECT DESCRIPTION:

Renovate space in the Fawcett Center for University Development. The renovation encompasses approximately 4,500 square feet of renovated space to provide University Development with a new calling center, conference room, and staff lounge.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	8/30/2000		8/30/2000
Bidding Approved B/T	8/30/2000		8/30/2000
Arch/Engr Contract			11/29/2000
Schematic Design Approval	11/1/2000	1/1/2001	1/19/2001
Design Development Approval	11/1/2000	1/1/2001	1/19/2001
Construction Document Approval	3/1/2001		2/26/2001
Bid Opening	5/1/2001	6/20/2001	6/20/2001
Award of Contracts	5/20/2001	7/10/2001	7/30/2001
Construction Start	6/1/2001	7/15/2001	8/13/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	12/1/2001	12/1/2001	

FUNDING INFORMATION:

Development-Development	\$	702,000	\$	540,558
Total	\$	702,000	\$	540,558

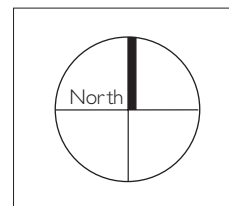
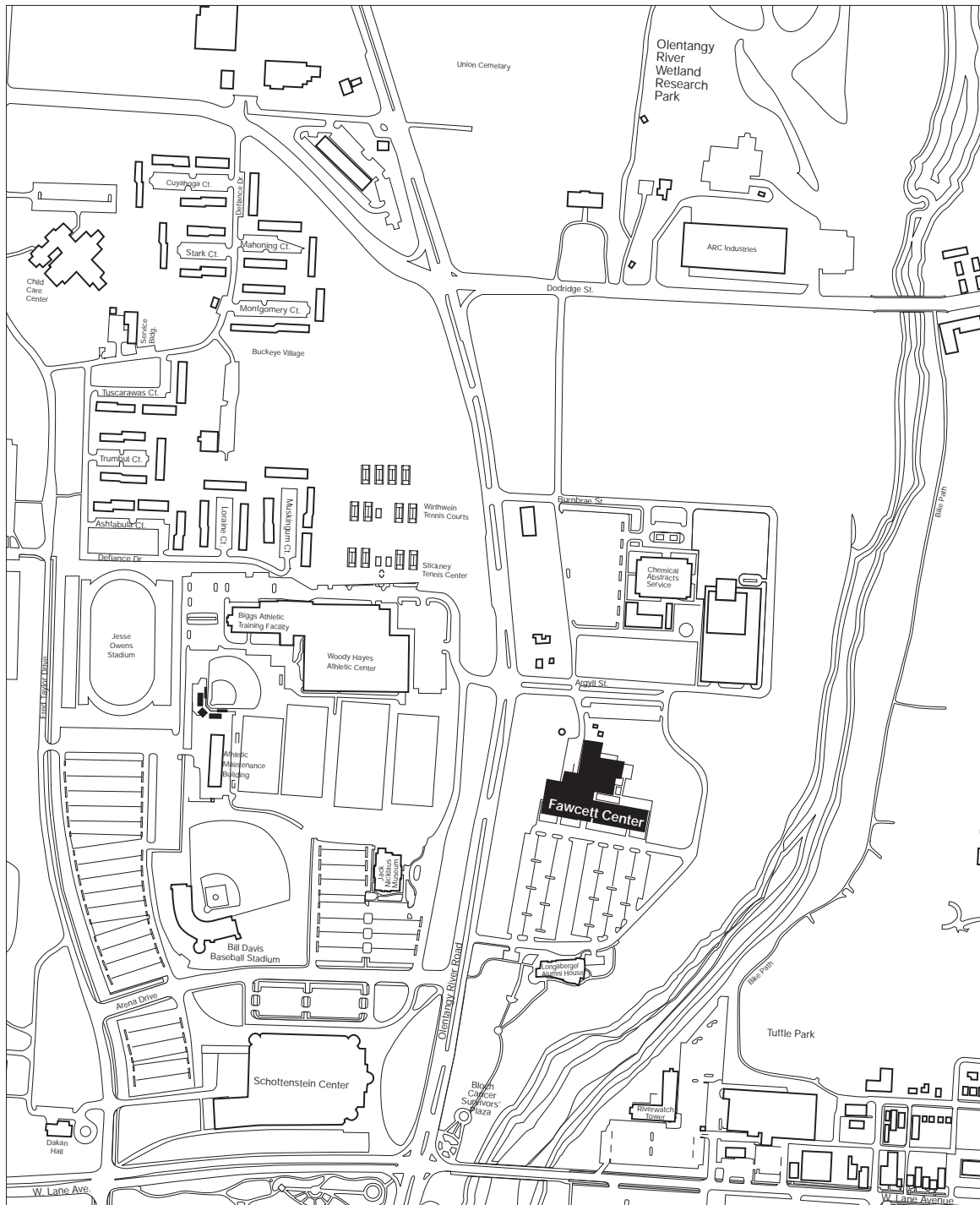
USES:

Asbestos	\$	20,205	\$	20,205
Construction		538,200		0
Contingency		52,756		37,462
Design		51,667		47,855
Electric		0		66,376
Equipment		0		75,000
General		0		135,400
HVAC/Plumbing		0		128,000
UNITS		24,640		24,640
Univ. Administration		14,532		5,620
Total	\$	702,000	\$	540,558

COMMENTS:

Formerly known as 5070-R001629.

Fawcett Center - University Development Phase I



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0042-HUGHES HALL, ROYAL D.

AGE:

1949

GR. SQ. FT:

61564

PROJECT DESCRIPTION:

Install a chairlift in the south stairs from the auditorium to the basement level; improve accessibility of restrooms 12T and 4T; modify front rows of fixed seating to provide wheelchair and companion seating location.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	8/30/2000		8/30/2000
Bidding Approved B/T	8/30/2000		8/30/2000
Arch/Engr Contract	11/1/2000	4/1/2001	3/30/2001
Schematic Design Approval	1/1/2001	5/15/2001	5/1/2001
Design Development Approval	1/1/2001	5/15/2001	5/1/2001
Construction Document Approval	3/1/2001	5/15/2001	5/1/2001
Bid Opening	5/1/2001	6/6/2001	6/6/2001
Award of Contracts	6/1/2001	7/6/2001	6/26/2001
Construction Start	6/1/2001	7/6/2001	7/2/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	9/1/2001	12/31/2001	

FUNDING INFORMATION:

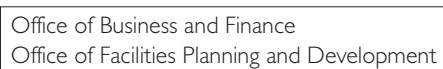
HB640 Basic Renovations	\$ 200,000	\$ 0	\$
HB748 Columbus ADA Modifications	0	14,789	
HB790 Columbus ADA Modifications	0	185,211	
Total	\$ 200,000	\$ 200,000	\$

USES:

Construction	\$ 166,000	\$ 0	\$
Contingency	14,910	11,382	
Design	16,600	18,100	
Electric	0	16,700	
General	0	123,298	
Plumbing	0	28,000	
Univ. Administr	2,490	2,520	
Total	\$ 200,000	\$ 200,000	\$

COMMENTS:

This is a detailed black and white map of the University of California, Berkeley campus. The map shows a grid of streets and numerous labeled buildings. Key streets include West Woodruff Avenue at the top, West 19th Avenue, West 18th Avenue, West 17th Avenue, and South Oval Drive at the bottom. Other streets shown are Milliken Road, Neil Ave., College Road, and Hagerty Drive. Buildings are labeled with names such as Hitchcock Hall, Bolz Hall, Caldwell Lab, Journalism Bldg., Robinson Lab., Smith Lab., Science Engin. Library, Brown Hall, Denney Hall, Derby Hall, Hopkins Hall, Hughes Hall, Hayes, Bricker Hall, University Hall, Independence Hall, Townsend Hall, Lazenby Hall, William Oxley Thompson Library, Faculty Club, Orton Hall, Mendenhall Lab, Hagerty Hall, Page Hall, Weigel Hall, Wexner Center, Arps Hall, Arps Garage, Newman & Wolfrom Lab, Evans Lab, Celeste Lab, McPherson Lab, Kofolt Lab, Haskett Hall, Boyd Lab., Johnston Lab., MacQuigg Lab, Fontana Lab., Waits Hall, Ramseier Hall, St. Stephen's Church, Haverfield, Blackburn, Schoenbaum Hall, Mason Hall, and Northwest Garage. A large circular area in the center represents the Sproull Plaza. A lake is visible at the bottom left, labeled 'Mirror Lake'.



646

Jones Tower - Fire Alarm System Replacement**315-1999-948****PROJECT CAPTAIN:**

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

REQUESTING AGENCY:

Housing, Food Services & Event Centers

PROJECT LOCATION:

0267-JONES GRADUATE TOWER

AGE:

1969

GR. SQ. FT.:

158,465

PROJECT DESCRIPTION:

Replace existing fire alarm system with new Intelligent Photoelectric Smoke Detectors and audible bases that are fully addressable with Fire-Print based technology. The fire alarm system will also be upgraded to be ADA compliant and provide an upgraded intercom system and fire fighter phones.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	7/2/1999		7/2/1999
Bidding Approved B/T	7/2/1999		7/2/1999
Arch/Engr Contract	8/4/1999	4/3/2000	4/3/2000
Schematic Design Approval	10/15/1999	4/21/2000	4/21/2000
Design Development Approval	10/15/1999	4/21/2000	4/21/2000
Construction Document Approval	12/10/1999	9/15/2000	8/30/2000
Bid Opening	2/3/2000	10/31/2000	10/31/2000
Aware of Contracts	2/10/2000	11/30/2000	12/19/2000
Construction Start	3/1/2000	1/3/2001	1/3/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	7/31/2000	12/31/2001	

FUNDING INFORMATION:

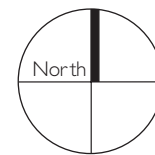
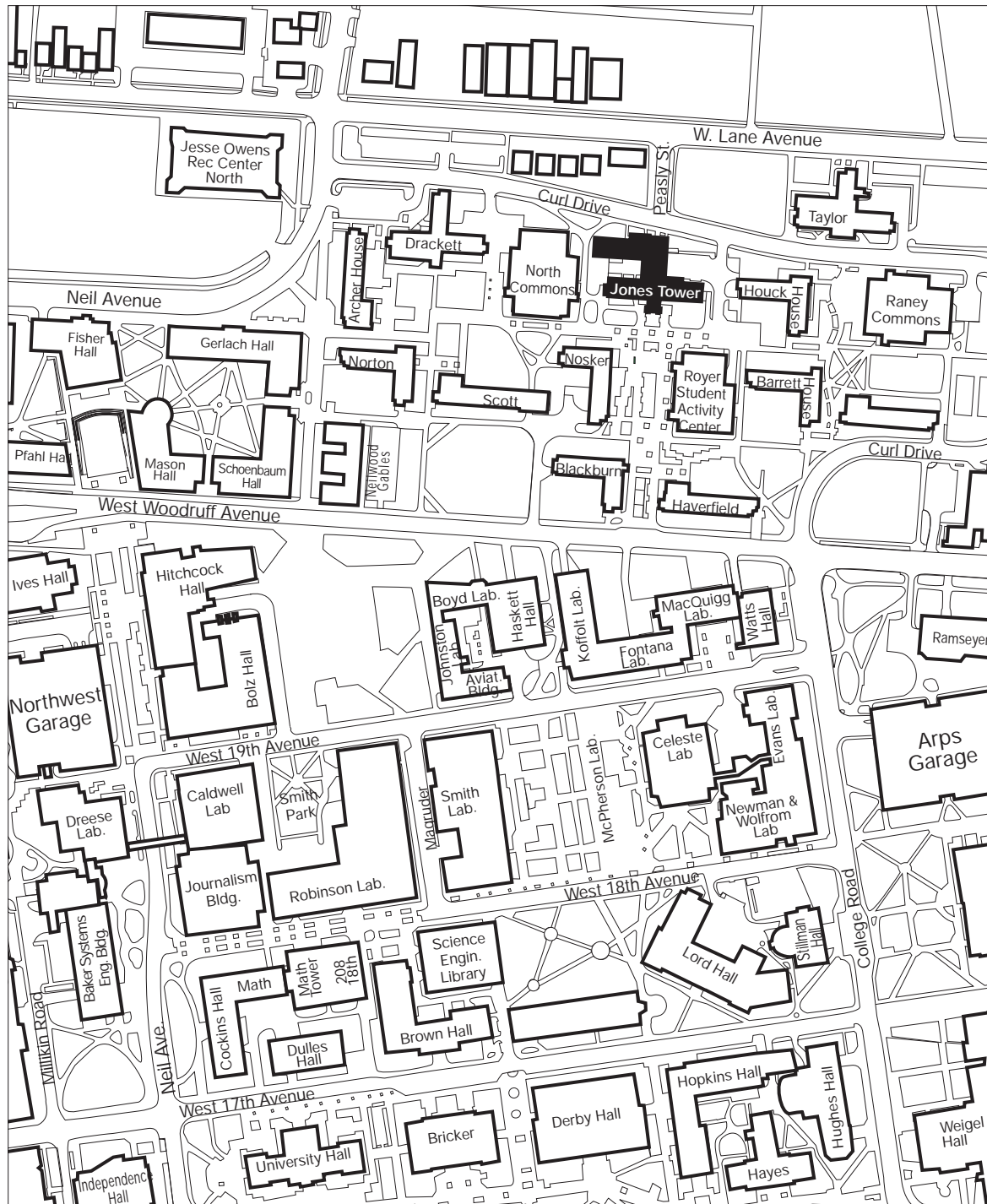
Future Univ. Bond Issues	\$	569,115	\$	453,065
Total	\$	569,115	\$	453,065

USES:

Construction	\$	465,000	\$	-
Contingency		47,500		31,890
Design		46,850		44,525
Electric		0		368,900
APO Administration		9,765		7,750
Total	\$	569,115	\$	453,065

COMMENTS:

Jones Tower - Fire Alarm System Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Kinnear Road Center Building "A" Roof Replacement**315-2000-930****PROJECT CAPTAIN:**

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0364-KINNEAR ROAD CENTER-BUILDING A

AGE:

1962

GR. SQ. FT.:

16,147

PROJECT DESCRIPTION:

The existing EPDM (ethylene propylene diene terpolymer) roof membrane on the lower north side and the existing built-up roof over the upper roof to the south would be removed. New tapered ISO (Polyisocyanurate) insulation and a modified bitumen roof membrane would be installed. Also required are the improvement of roof drain system and removal of existing sky lights.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/2/2001		2/2/2001
Bidding Approved B/T	2/2/2001		2/2/2001
Schematic Design Approval	1/1/2001	2/20/2001	2/20/2001
Design Development Approval	1/1/2001	2/20/2001	2/20/2001
Construction Document Approval	3/1/2001	5/18/2001	5/15/2001
Bid Opening	5/1/2001	7/12/2001	7/12/2001
Award of Contracts	7/1/2001	8/12/2001	9/10/2001
Construction Start	7/15/2001	8/12/2001	10/1/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	10/15/2001	11/30/2001	

FUNDING INFORMATION:

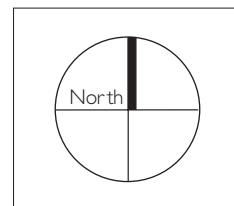
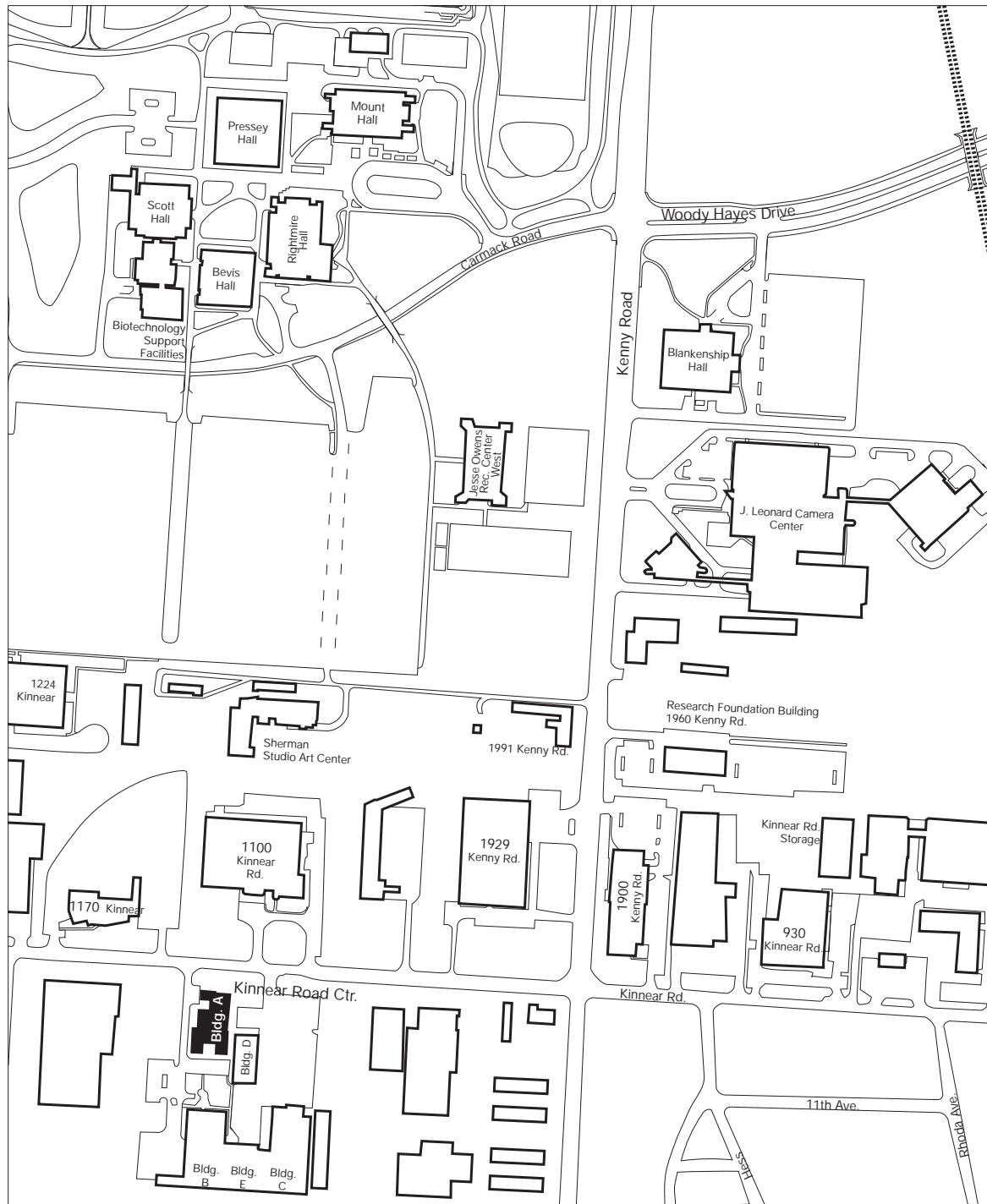
HB640 Basic Renovations	\$	200,000	\$	164,608
Total	\$	200,000	\$	164,608

USES:

Construction	\$	170,000	\$	-
Contingency		15,550		13,891
Design		11,900		9,724
General		0		138,910
Univ. Adminsitration		2,550		2,083
Total	\$	200,000	\$	164,608

COMMENTS:

Kinnear Road Center Building “A” Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

McCracken Steam Turbine Vibration Monitoring**315-2000-927****PROJECT CAPTAIN:**

Rebecca Elliott, Physical Facilities, 292-0631

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0069-MCCRACKEN POWER PLANT, WILLIAM C

AGE:

1918

GR. SQ. FT.:

109,094

PROJECT DESCRIPTION:

The vibration monitor system for the backpressure turbine generator is outmoded and no longer supported by its manufacturer. This project would install a new vibration monitoring system including improved sensors that monitor vibration along two axes. This project would also provide similar monitoring and safety shutdown for the new steam turbine-driven chillers. This is an OSHA requirement.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	10/6/2000		10/6/2000
Bidding Approved B/T	10/6/2000		10/6/2000
Arch/Engr Contract	1/1/2001	2/28/2001	4/2/2001
Schematic Design Approval	3/1/2001	3/31/2001	3/22/2001
Design Development Approval	3/1/2001	3/31/2001	3/22/2001
Construction Document Approval	5/1/2001		5/7/2001
Bid Opening	7/1/2001	7/10/2001	7/10/2001
Award of Contracts	8/1/2001	8/10/2001	11/5/2001
Construction Start	9/1/2001	12/3/2001	
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	12/1/2001	2/4/2002	

FUNDING INFORMATION:

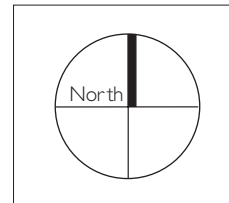
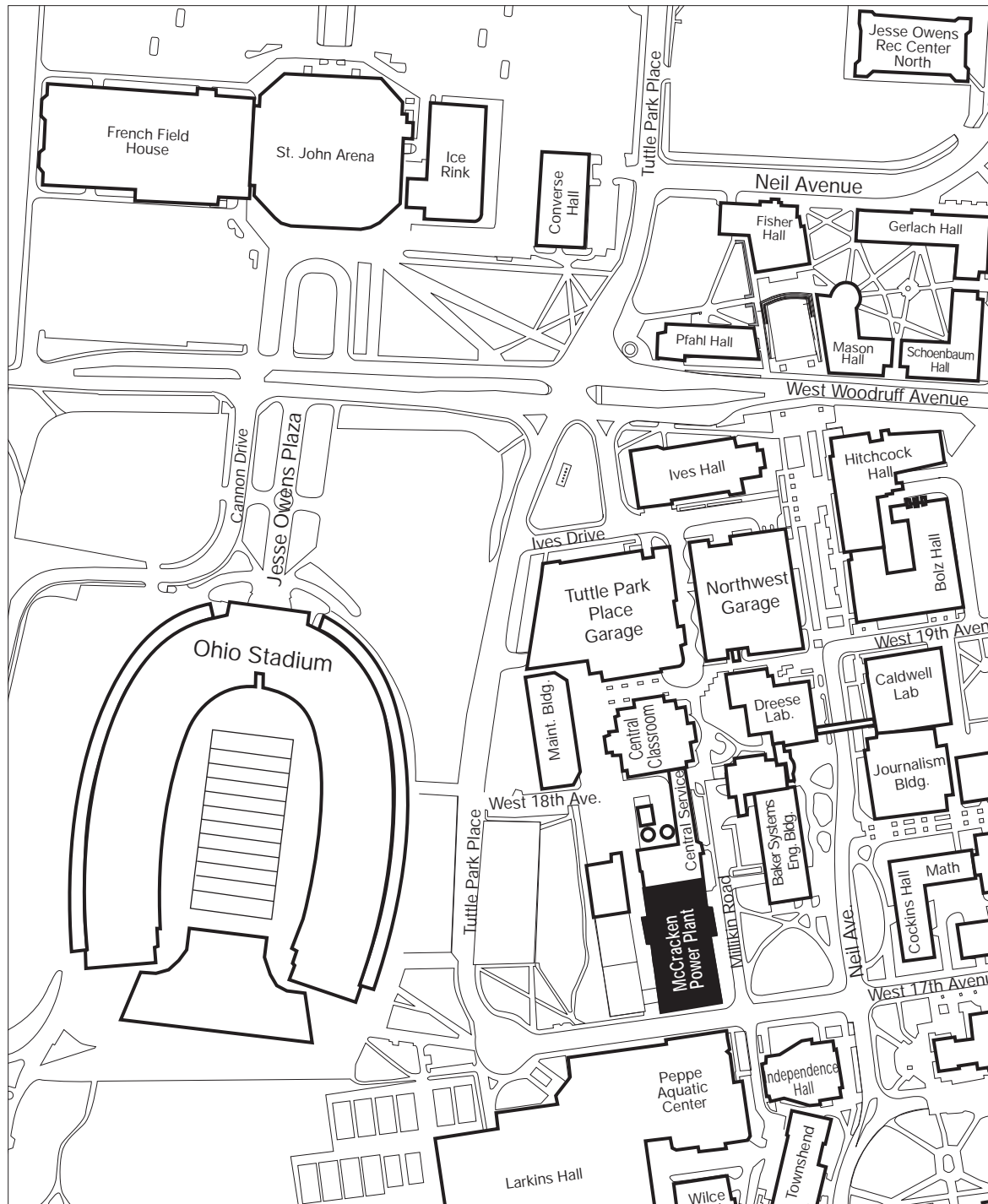
HB640 Basic Renovations	\$	300,000	\$	257,809	\$	-
Total	\$	300,000	\$	257,809	\$	-

USES:

Construction	\$	249,000	\$	-	\$	-
Contingency		22,365		20,790		
Design		24,900		26,000		
General		0		207,900		
Univ. Administration		3,735		3,119		
Total	\$	300,000	\$	257,809	\$	-

COMMENTS:

McCracken Power Plant Steam Turbine Vibration Monitoring



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Mount Hall - HVAC Modifications**315-1999-924****PROJECT CAPTAIN:**

Stephen L. Paynter, Physical Facilities, 292-4816

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0311-MOUNT HALL, JOHN T

AGE:

1974

GR. SQ. FT.:

75,793

PROJECT DESCRIPTION:

Modify pumps, piping and controls to the chilled water system that serves Mount, Bevis, and Rightmire Halls. This will improve air conditioning reliability and reduce operating and maintenance costs.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	7/31/1999		8/25/1999
Scematic Design Approval	1/15/2000		1/15/2000
Design Development Approval	3/15/2000	4/15/2000	5/1/2000
Construction Document Approval	6/1/2000	8/17/2000	8/17/2000
Bid Opening	9/30/2000	11/7/2000	11/7/2000
Award of Contracts	11/1/2000	1/15/2001	1/3/2001
Construction Start	11/10/2000	1/31/2001	1/16/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	5/10/2001	11/30/2001	

FUNDING INFORMATION:

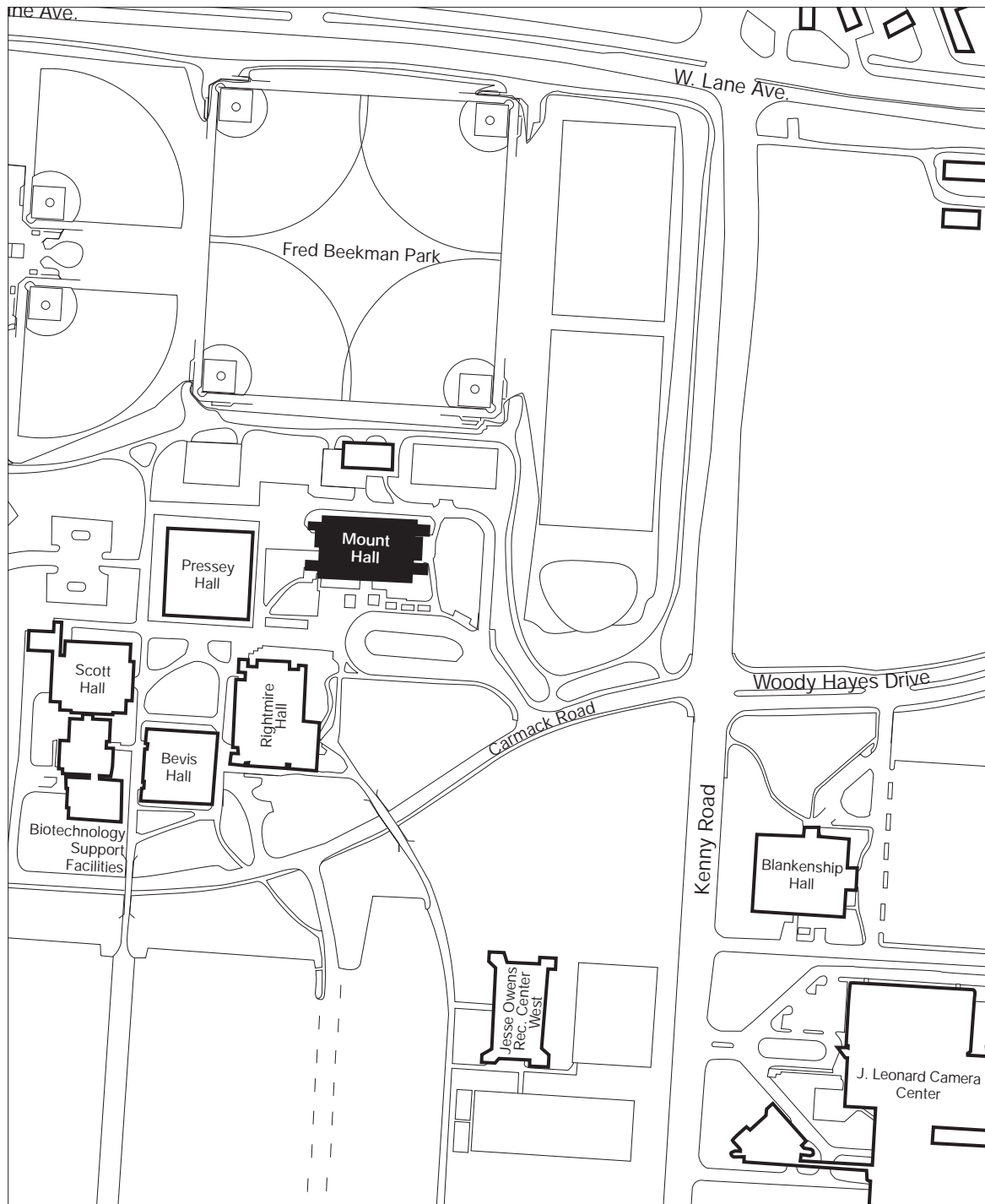
HB850 Columbus Basic Renovations	\$ 550,000	\$ 509,018	
Repair & Renovations Fiscal Yr 01-02	0	2,528	
Other Restricted-Research	0	6,650	
Total	\$ 550,000	\$ 518,196	

USES:

Asbestos	\$ -	\$ 8,900	
Construction	455,000	0	
Contingency	42,675	45,982	
Design	45,500	48,925	
Electric	0	23,682	
HVAC	0	384,452	
Univ. Administration	6,825	6,255	
Total	\$ 550,000	\$ 518,196	

COMMENTS:

Mount Hall - HVAC Modifications



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0102-OXLEY HALL

AGE:

1908

GR. SQ. FT:

30765

PROJECT DESCRIPTION:

This project will remove and replace the existing roof and underlayment, copper flashings, valleys, and tile on the original portions of the building.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/8/1996		12/6/1996
Bidding Approved B/T	12/8/1996		12/6/1996
Schematic Design Approval	2/8/1997	10/7/1999	10/7/1999
Design Development Approval	2/8/1997	10/7/1999	10/7/1999
Construction Document Approval	5/8/1997	4/15/2000	4/20/2000
Bid Opening	7/8/1997	6/12/2001	6/12/2001
Award of Contracts	9/8/1997	7/15/2001	8/14/2001
Construction Start	5/1/1998	5/13/2002	
Report of Award to B/T	10/8/1997	12/6/2001	
Completion	8/1/1998	8/21/2002	

FUNDING INFORMATION:

HB748 Columbus Basic Renovation	\$ 130,000	\$ 131,500	\$
HB790 Columbus Basic Renovation	0	200,432	
Total	\$ 130,000	\$ 331,932	\$

USES:

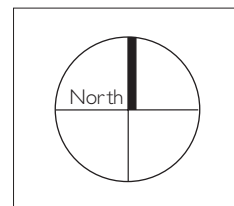
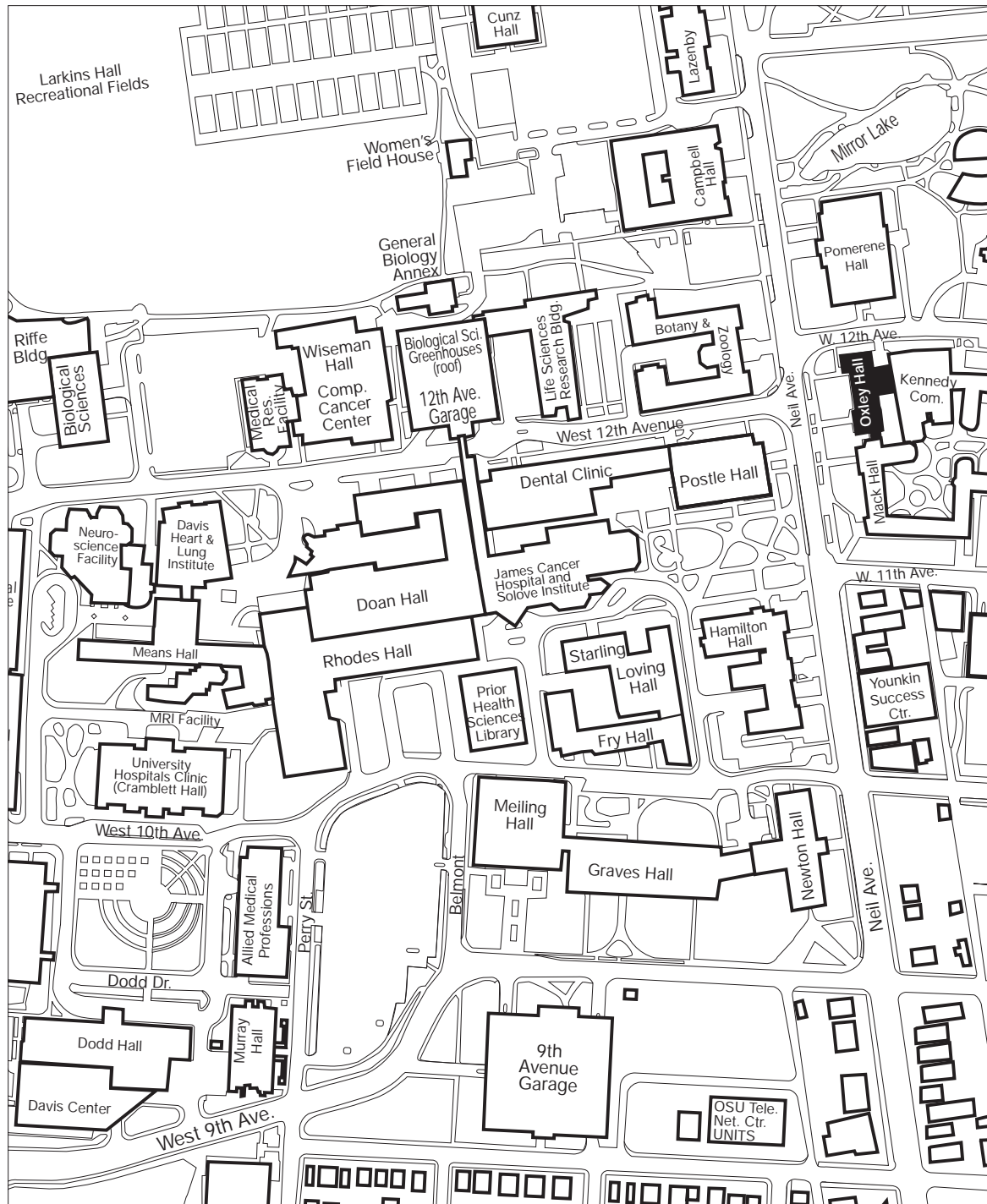
Construction	\$ 110,500	\$ 0	\$
Contingency	10,107	29,076	
Design	7,735	7,735	
General	0	290,760	
Univ. Administr	1,658	4,361	
Total	\$ 130,000	\$ 331,932	\$

COMMENTS:

Local administration approved by the Board of Regents on 8/5/96.

The original project estimate and scope of work was provided in 1996. Since that time, costs have increased and the roof and deck on Oxley have continued to deteriorate resulting in more extensive work on the roof than originally estimated.

Oxley Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Pomerene Hall Renovation**315-1999-949****PROJECT CAPTAIN:**

Steve Middleton, Facilities Planning & Development - Architecture, 688-3576

REQUESTING AGENCY:

Disability Services and PAES

PROJECT LOCATION:

0067-POMERENE HALL, FRANK E.

AGE:

1922

GR. SQ. FT.:

69,269

PROJECT DESCRIPTION:

Renovate rooms 102, 102A, and 102B in Pomerene Hall to provide more program space for the Office of Disability Services. Additionally, Rooms 103, 103A, 105T, 109A, and 109B will be renovated to provide appropriate screening for the School of Physical Activity and Educational Services if funds are available.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/5/1999		11/5/1999
Bidding Approved B/T	11/5/1999		11/5/1999
Schematic Design Approval	10/1/2000	1/18/2001	1/12/2001
Design Development Approval	1/1/2001	2/15/2001	2/15/2001
Construction Document Approval	5/1/2001	4/16/2001	5/1/2001
Bid Opening	6/1/2001		5/31/2001
Construction Start	9/1/2001	8/1/2001	8/1/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	5/1/2002	11/29/2001	

FUNDING INFORMATION:

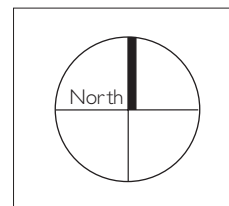
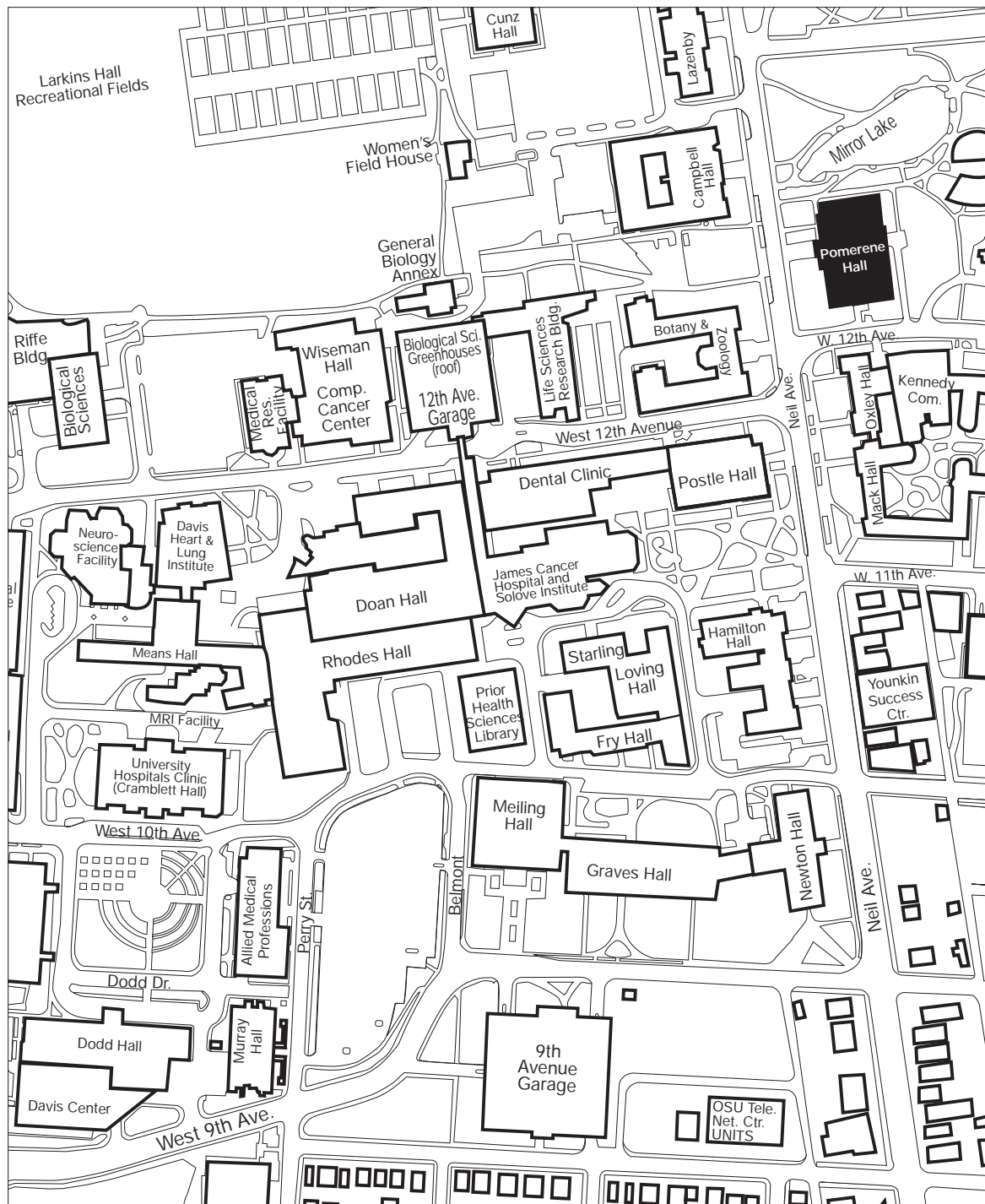
Auxiliaries-Housing/Food Serv	\$	-	\$	20,000
General Funds-Marion		-		-
HB850 Columbus Basic Renovation		547,906		547,906
Treasurer's Debt Service Pool Loan		-		-
Total	\$	547,906	\$	567,906

USES:

Construction	\$	420,500	\$	-
Contingency		41,204		43,019
Design		37,845		40,722
Electric		-		61,073
Equipment		42,050		46,538
General		-		187,007
Plumbing/HVAC		-		183,080
Univ. Administration		6,307		6,467
Total	\$	547,906	\$	567,906

COMMENTS:

Pomerene Hall Renovation



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Glenn R. Gerhart, Physical Facilities, 688-5606

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0176-STARLING LOVING HALL

AGE:

1917

GR. SQ. FT:

145327

PROJECT DESCRIPTION:

The fire protection system in this building has been cited as being out of compliance due to low water pressure. The Board of Building Appeals has granted a variance for a period of two years from March 30, 2000. By the end of that period the entire fire protection system must be brought into compliance.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	8/30/2000		8/30/2000
Bidding Approved B/T	8/30/2000		8/30/2000
Arch/Engr Contract	11/1/2000	2/15/2001	2/10/2001
Schematic Design Approval	1/1/2001	3/15/2001	3/13/2001
Design Development Approval	1/1/2001	3/15/2001	3/13/2001
Construction Document Approval	4/1/2001	5/1/2001	4/30/2001
Bid Opening	6/1/2001	7/15/2001	7/10/2001
Award of Contracts	8/1/2001	8/15/2001	9/5/2001
Construction Start	8/15/2001		9/17/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	11/15/2001	12/31/2001	

FUNDING INFORMATION:

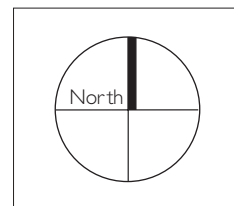
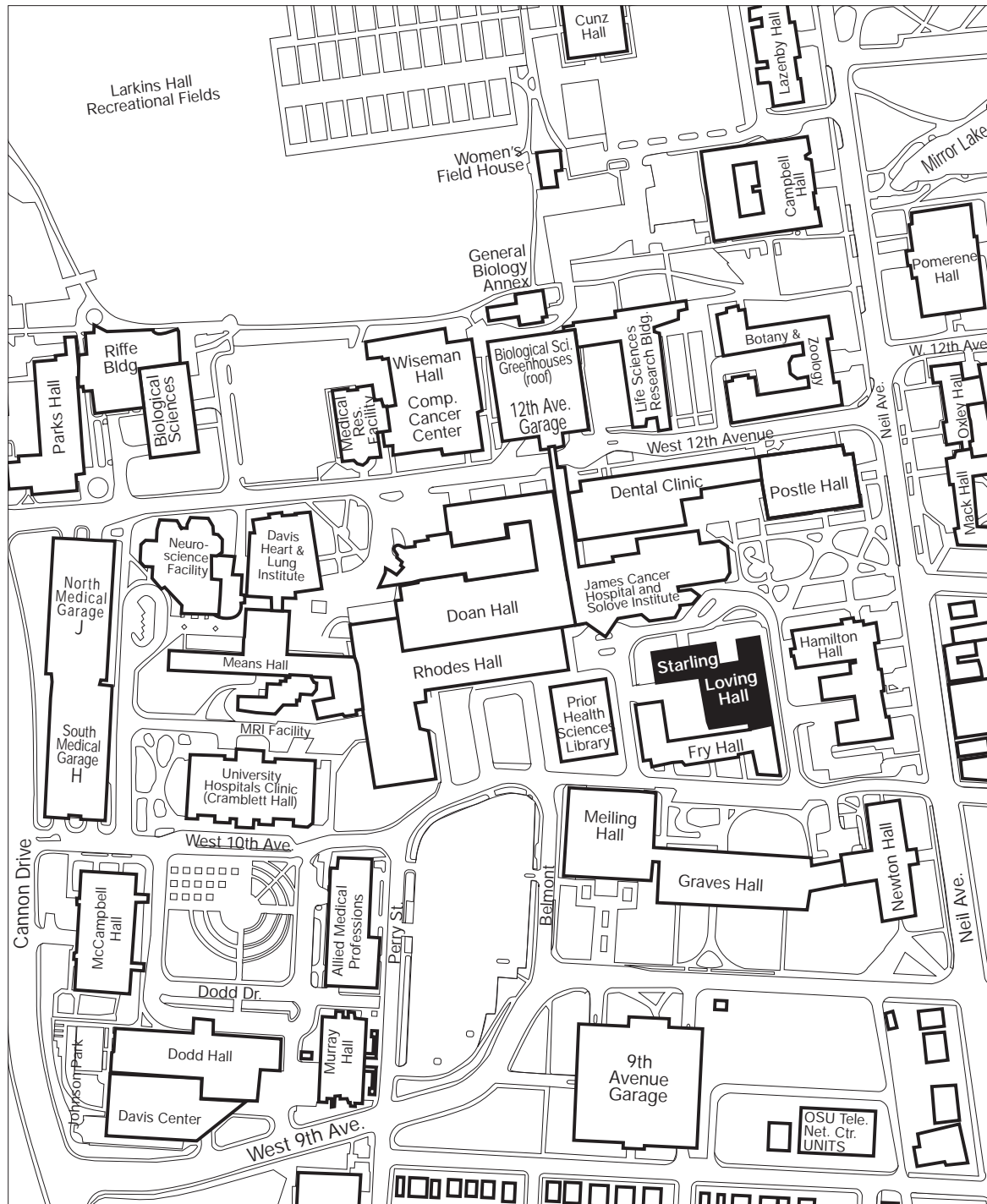
HB640 Basic Renovations	\$ 250,000	\$	\$
Total	\$ 250,000	\$	\$

USES:

Construction	\$ 207,500	\$ 0	\$
Contingency	18,640	20,975	
Design	20,750	24,380	
Electric	0	36,950	
General	0	164,671	
Univ. Administr	3,110	3,024	
Total	\$ 250,000	\$ 250,000	\$

COMMENTS:

Starling - Loving Hall Install Fire Pump



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Thompson Library Carpeting**315-1999-906****PROJECT CAPTAIN:**

Scott Conlon, Facilities Planning & Development - Architecture, 292-0983

REQUESTING AGENCY:

University Libraries

PROJECT LOCATION:

0050-LIBRARY, WM OXLEY THOMPSON MEMORIAL

AGE:

1912

GR. SQ. FT.:

304,433

PROJECT DESCRIPTION:

Replacement of the 19-20 year old carpeting in several high traffic patron areas.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	1/14/2000		2/11/2000
Schematic Design Approval	3/24/2000		4/11/2000
Design Development Approval	5/5/2000	10/3/2000	10/3/2000
Construction Document Approval	6/30/2000	10/3/2000	10/3/2000
Bid Opening	7/28/2000	11/8/2000	11/8/2000
Award of Contracts	1/3/2001		1/3/2001
Report of Award to B/T	12/11/2000	12/6/2001	
Construction Start		3/5/2001	3/5/2001
Completion	7/28/2001	5/19/2001	7/31/2001

FUNDING INFORMATION:

HB640 Line Item Appropriation	\$ -	\$ 200,000	
HB850 Columbus Basic Renovation	530,000	530,000	
General Funds-University Libraries	0	346	
Total	\$ 530,000	\$ 730,346	

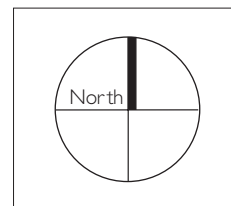
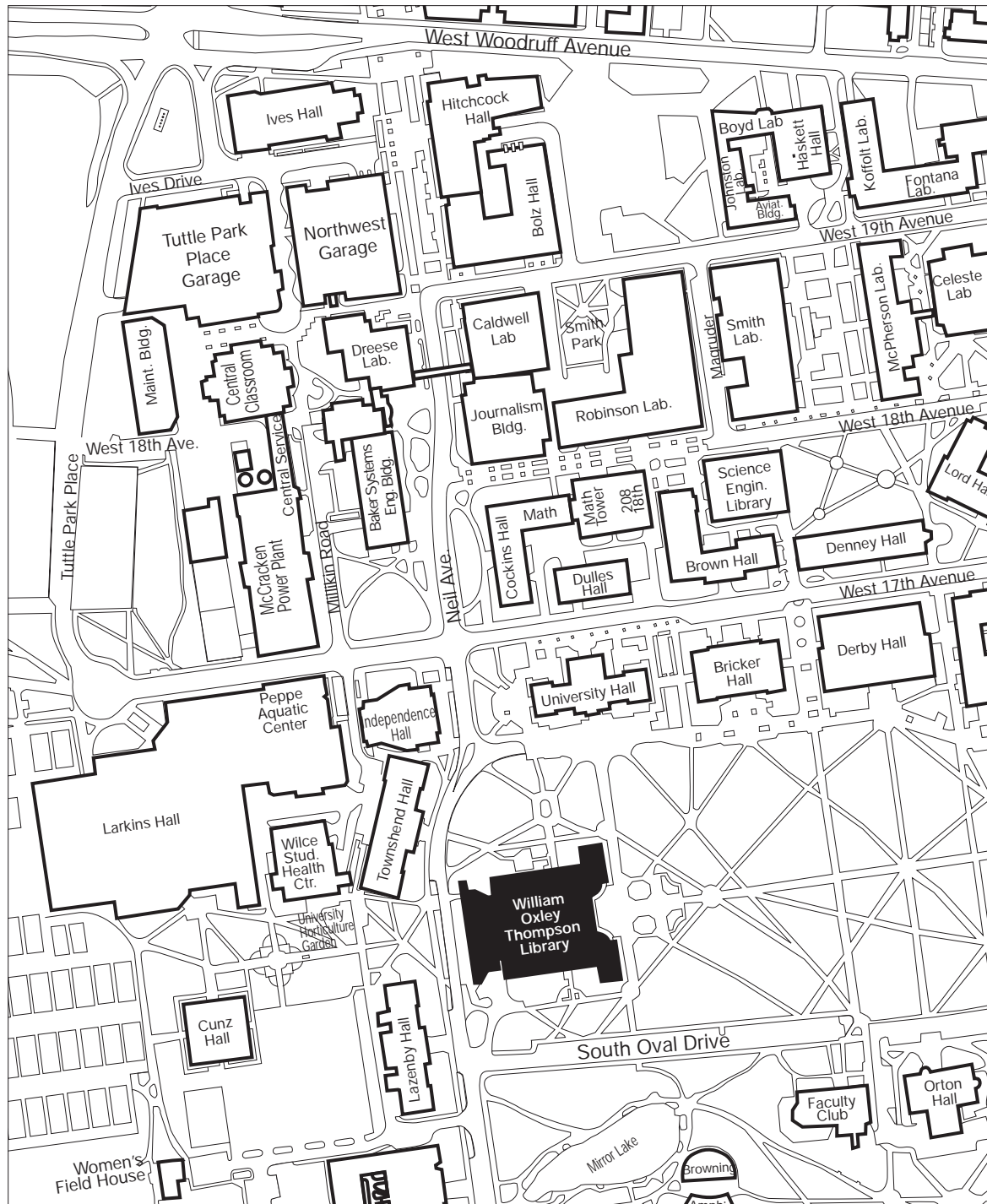
USES:

Construction	\$ 417,200	\$ -	
Contingency	52,600	53,776	
Design	53,942	76,400	
General	-	591,300	
Univ. Administration	6,258	8,870	
Total	\$ 530,000	\$ 730,346	

COMMENTS:

Budget increased to include asbestos removal and move management. \$200,000 transferred from Main Library Feasibility Study.

Thompson Library Carpeting



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0050-LIBRARY, WM OXLEY THOMPSON MEMORIAL

AGE:

1912

GR. SQ. FT:

304433

PROJECT DESCRIPTION:

Remove approximately 10,500 square feet of copper batten roof, install a new copper batten roof, remove approximately 22,000 square feet of built-up roof, install new tapered insulation and a 4-ply asphalt built-up roof, remove approximately 2,500 square feet of built-up gutter, install new copper gutter, and remove approximately 1,200 linear feet of copper counter flashing, valleys, and gravel stop and replace with copper conductor pipe.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999	12/6/1999	2/5/1999
Bidding Approved B/T	2/5/1999	12/6/1999	2/5/1999
Arch/Engr Contract	7/31/1999		10/5/1999
Schematic Design Approval	1/1/2000	4/1/2000	3/7/2000
Design Development Approval	4/1/2000		3/7/2000
Construction Document Approval	7/1/2000	6/1/2000	5/4/2000
Bid Opening	10/31/2000	3/14/2001	3/14/2001
Award of Contracts	12/1/2000	4/16/2001	5/11/2001
Construction Start	12/10/2000	6/30/2001	6/18/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	6/10/2001	12/15/2001	

FUNDING INFORMATION:

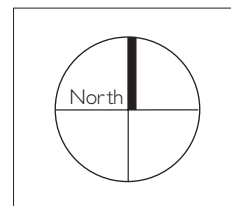
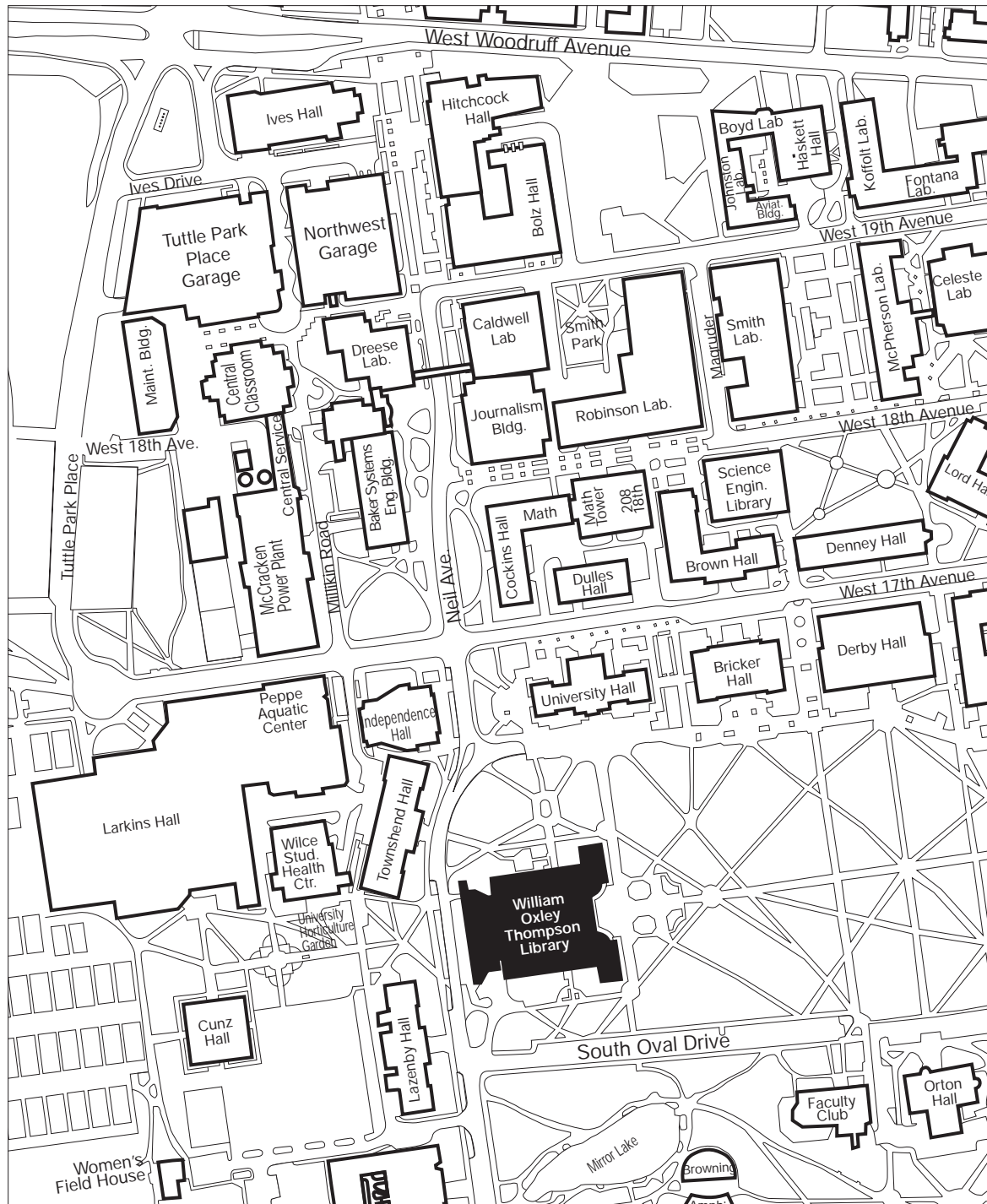
HB850 Columbus Basic Renovation	\$ 600,000	\$ 600,000	\$
Repair & Renovation Fiscal Yr 00/01	0	24,273	
Total	\$ 600,000	\$ 624,273	\$

USES:

Construction	\$ 495,000	\$ 0	\$
Contingency	48,075	26,868	
Design	49,500	51,975	
General	0	537,369	
Univ. Administr	7,425	8,061	
Total	\$ 600,000	\$ 624,273	\$

COMMENTS:

Thompson Library - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Utilities - High Voltage Electric Circuit**315-1999-926****PROJECT CAPTAIN:**

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

U0000-Unidentified Utility-Col.

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Replace the cables to increase the current carrying capacity of circuit 202/303 from the Buckeye substation to the midwest campus area.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Schematic Design Approval	3/31/1999	6/17/1999	6/14/1999
Design Development Approval	3/31/1999	6/17/1999	6/14/1999
Construction Document Approval	7/31/1999	8/31/1999	8/31/1999
Bid Opening	10/31/1999	5/15/2001	5/17/2001
Award of Contracts	12/31/1999	6/15/2001	7/13/2001
Construction Start	1/10/2000	6/15/2001	8/6/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	5/10/2000	2/2/2002	

FUNDING INFORMATION:

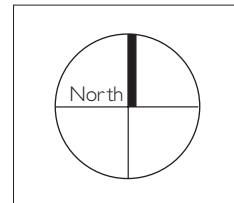
HB850 Columbus Basic Renovation	\$	400,000	\$	198,298	\$	-
Total	\$	400,000	\$	198,298	\$	-

USES:

Construction	\$	340,000	\$	-	\$	-
Contingency		31,100		15,650		
Design		23,800		23,800		
Electric		0		156,500		
Univ. Administration		5,100		2,348		
Total	\$	400,000	\$	198,298	\$	-

COMMENTS:

Utilities - High Voltage Electric Circuit



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0299-VETERINARY HOSPITAL

AGE:

1973

GR. SQ. FT:

226956

PROJECT DESCRIPTION:

The first phase of two phases to replace the entire roof (all levels) of the building. This phase will remove the upper levels over the mechanical spaces, auditorium, entrance canopies, and separate roof on the southwest portion of the building.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	8/30/1999	12/15/1999	11/29/1999
Schematic Design Approval	1/15/2000	4/1/2000	3/10/2000
Design Development Approval	4/1/2000		3/10/2000
Construction Document Approval	7/1/2000	9/15/2000	9/12/2000
Bid Opening	10/31/2000	1/16/2001	1/23/2001
Award of Contracts	12/1/2000	2/28/2001	4/14/2001
Construction Start	12/10/2000	4/24/2001	5/21/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	6/10/2001	11/30/2001	

FUNDING INFORMATION:

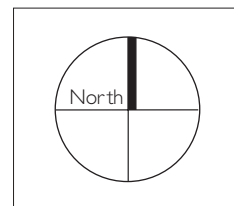
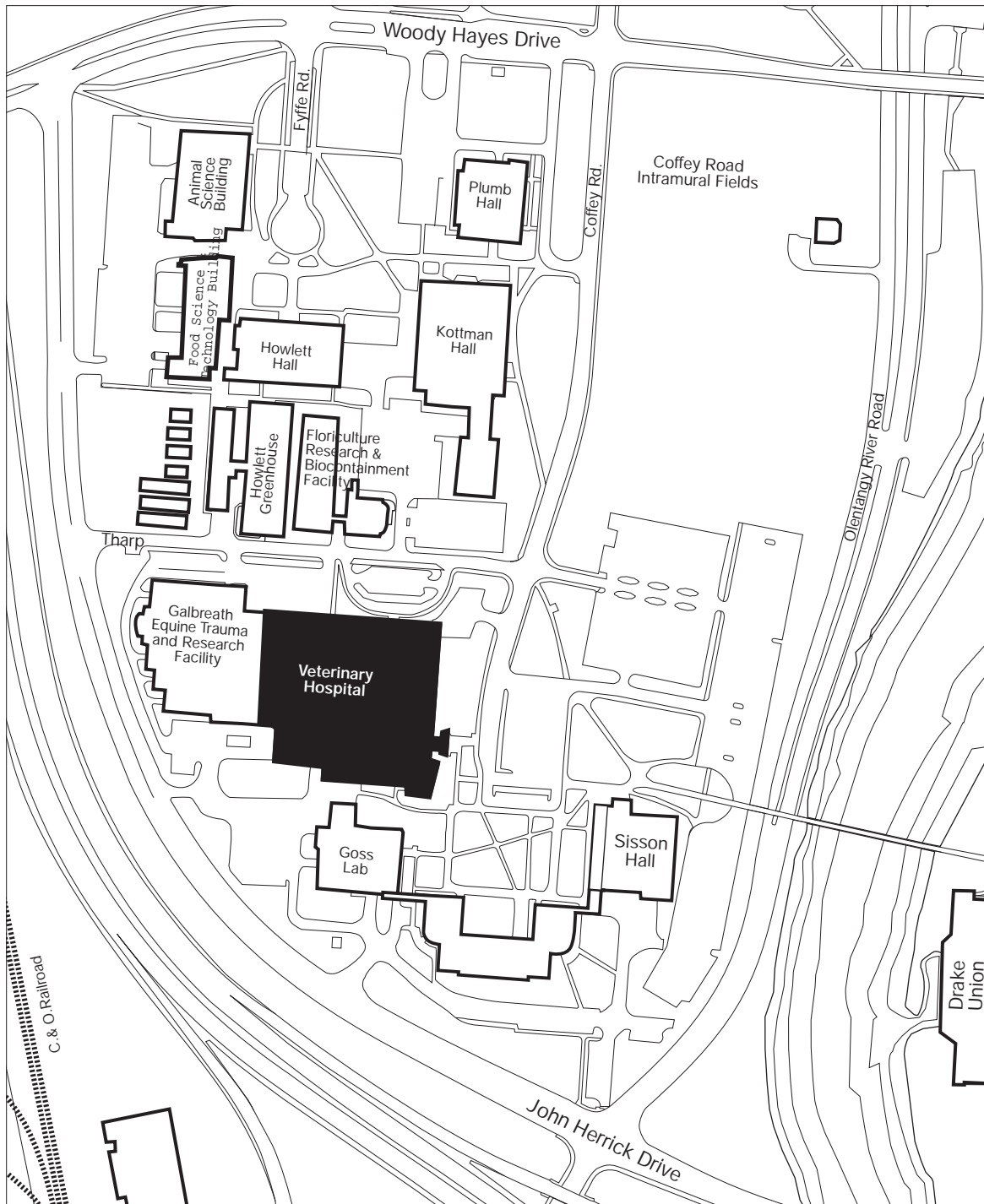
HB850 Columbus Basic Renovation	\$ 500,000	\$	\$
Total	\$ 500,000	\$	\$

USES:

Construction	\$ 412,000	\$ 0	\$
Contingency	40,620	39,635	
Design	41,200	39,140	
General	0	415,000	
Univ. Administr	6,180	6,225	
Total	\$ 500,000	\$ 500,000	\$

COMMENTS:

Veterinary Hospital - Roof Replacement Phase I



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Waterman Laboratory Headquarters**315-2000-920****PROJECT CAPTAIN:**

John C. Frazier, Facilities Planning & Development - Architecture, 292-0958

REQUESTING AGENCY:

Food, Agriculture, and Environmental Sciences

PROJECT LOCATION:

V0010-Site - See Comments

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Provide a new building to serve as headquarters for the College of Food, Agricultural and Environmental Sciences' Waterman Laboratory. Include two offices, break room, 40-person meeting room, sample drying room, sample preparation room, machinery shop and repair area, and restrooms.

MILESTONES:

	Projected	Revised	Actual
Bidding Approved B/T	6/2/2000	11/3/2000	11/3/2000
Design Development Approval	4/28/2000	5/2/2000	5/5/2000
Construction Document Approval	7/5/2000	11/24/2000	12/6/2000
Bid Opening	8/21/2000	4/12/2001	4/12/2001
Award of Contracts	9/4/2000	5/14/2001	5/21/2001
Report of Award to B/T	9/4/2000	6/11/2001	7/2/2001
Construction Start	12/1/2000	12/6/2001	
Completion	4/1/2001	11/29/2001	

FUNDING INFORMATION:

Other Restricted Hort & Crop Science	\$	-	\$	8,000
OARDC	\$	622,000	\$	580,588
OSUE		-		17,137
General Funds-Food, Agr, & Env Sci		0		34,275
Total	\$	622,000	\$	640,000

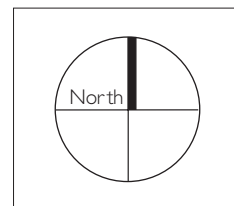
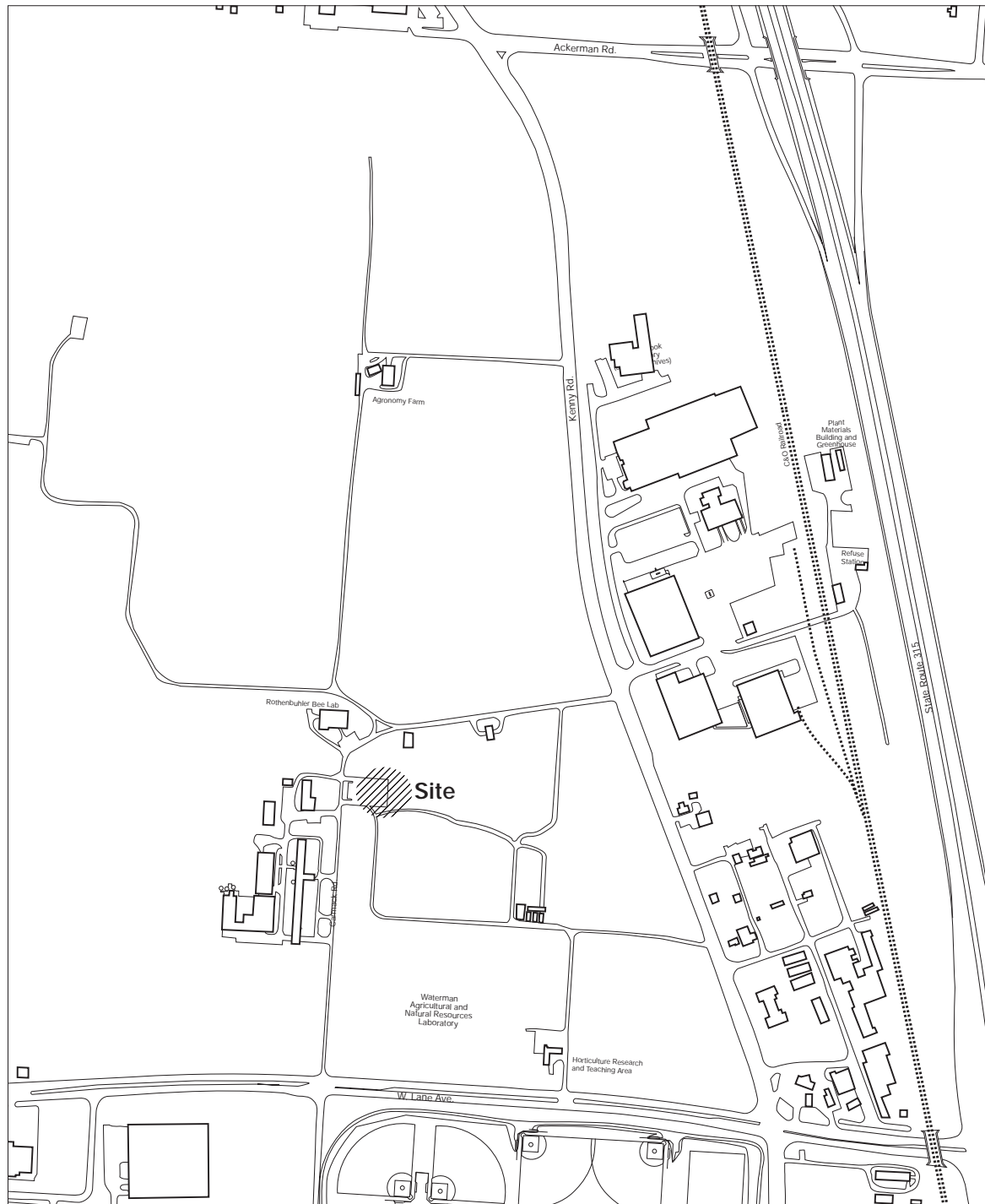
USES:

Construction	\$	580,000	\$	-
Contingency		34,000		37,959
Electric		0		99,844
General		0		353,800
HVAC		0		58,600
Plumbing		0		80,900
Univ. Administration		8,000		8,897
Total	\$	622,000	\$	640,000

COMMENTS:

Site: Waterman Laboratory complex.

Waterman Laboratory Headquarters



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

(APPENDIX XX)
Fiscal Affairs Committee
The Ohio State University Board of Trustees
December 6, 2001

TOPIC:

First Quarter Budget Report

CONTEXT:

This is the first in a series of quarterly reports for FY 2002

HIGHLIGHTS:

- State Subsidy for the Columbus Campus was increased by \$1.9 million to reflect higher than estimated enrollments and reduced by \$19.4 million as a result of the 6% executive budget cut.
- Regional Campus Subsidy and other state line items were also reduced by 6% or \$8.9 million
- Summer and Fall Quarter enrollments were higher than projected (Attachment A)
 - ✓ Total enrollments up slightly (0.9%)
 - ✓ Financial impact is an increase in subsidy and tuition revenues for FY 2002 of \$4.4 million or about ½% of the General Funds Budget
 - ✓ Hold \$2 million of the projected increase in reserve until a later date and continue to monitor
- Ohio State University Health System finances improve (Attachment B)
 - ✓ Year-to-date minus \$223,000 compared to:
 - Minus \$1 million last year
 - Plus \$2 million budget target
 - ✓ Significant progress has been made, but we are not yet where we want to be
- Athletics and Schottenstein Center continue to be on target financially and will continue to be monitored

RECOMMENDATIONS:

- Revise the Current funds budget as of the first quarter to reflect state budget cut and revenue adjustments
- Use \$1.9 million in additional subsidy, \$5 million of the Rainy Day Fund, \$8.5 million in college and support unit one-time cash reallocations, and \$4 million in central capital and operating cash balance reallocations to offset the state budget cut.
- Address the state budget cut with permanent budget reallocations in FY 03.
- Method and timing to replenish the Rainy Day Fund still to be determined.

REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval of the first quarter budget resolution.

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES FY 2002 FIRST QUARTER BUDGET REPORT (In Thousands)

Resources

Government Support
Student Fees
Auxillaries
Hospitals
Other

Total Resources

Expenditures

Instructional & General
Auxillaries
Hospitals
Other

Total Expenditures

Net

One Time Adjustments

College/Office Reallocation
Use of Rainy Day Fund
Other Central Funds
Net After Adjustments

Original Budget			1st. Quarter Budget Revision			Difference		
General Funds	Other Funds	Total	General Funds	Other Funds	Total	General Funds	Other Funds	Total
404,109	329,698	733,807	383,566	323,771	707,337	(20,543)	(5,927)	(26,470) (1)
387,827	8,935	396,762	390,327	8,935	399,262	2,500	0	2,500 (1)
	170,079	170,079		170,079	170,079	0	0	0
	725,040	725,040		725,040	725,040	0	0	0
35,547	254,388	289,935	35,547	254,388	289,935	0	0	0
827,483	1,488,140	2,315,623	809,440	1,482,213	2,291,653	(18,043)	(5,927)	(23,970)
736,680	167,727	904,407	733,683	161,800	895,483	(2,997)	(5,927)	(8,924) (1)
1,439	175,904	177,343	1,439	175,904	177,343	0	0	0
	732,869	732,869		732,869	732,869	0	0	0
88,796	404,109	492,905	88,796	404,109	492,905	0	0	0
826,915	1,480,609	2,307,524	823,918	1,474,682	2,298,600	(2,997)	(5,927)	(8,924)
568	7,531	8,099	(14,478)	7,531	(6,947)	(15,046)	0	(15,046)
								(2)
						8,517		8,517
						5,000		5,000
						4,029		4,029
568	7,531	8,099	(14,478)	7,531	(6,947)	2,500	0	2,500 (5)

- (1) General Funds: An increase of \$1.9M Columbus Campus Subsidy for enrollment changes,
A reduction of \$19.4M (6%) Columbus Campus Subsidy Executive Budget Cut,
A reduction of \$1.2M (6%) Regional Campus Subsidy Executive Budget Cut,
A reduction of \$1.8M (6%) line item appropriations.
- Other Funds: A reduction of \$5.9M (6%) in other restricted State line item appropriations.
- (2) General Funds: An increase of \$2.5M in Columbus Campus Fee Income due primarily to an increase in undergraduate enrollments.
- (3) General Funds: A reduction of \$1.2M in Regional Campus expenditures due to a reduction in Instructional Subsidy,
A reduction of \$1.8M line item appropriations expenditures due to the state budget cut.
- Other Funds: A reduction of \$5.9M to reflect the reduction in other restricted State line item appropriations.
- (4) One-Time Sources: The budget shortfall will be made up from one-time sources. The \$4.0M plus the \$1.9M additional Subsidy makes up the \$6.0M central allocation.
- (5) Net After Adjustments: The \$2.5M increase in the Net after adjustment is due to the fee increases and will be held central pending possible additional state budget cuts.

Headcount Enrollments
Summer and Autumn 2001

Category	Projected ¹	Actual ²	Difference	%
Undergraduate	46,744	47,319	+575	+1.2%
Graduate	16,569	16,469	-100	-0.6%
Professional	3,868	4,014	+146	+3.8%
Total	67,181	67,802	+621	+0.9%

NOTE: Includes total of Summer and Fall Quarters

SOURCE: Resource Planning and Institutional Analysis

¹ Projected in May for FY 2002 budget
² Reflects 14th day enrollment counts

THE OHIO STATE UNIVERSITY HEALTH SYSTEM
FINANCIAL SUMMARY

YTD ENDING OCTOBER 31, 2001
(IN THOUSANDS)

	Actual 2002	Budget 2002	Actual 2001
Net Patient Revenue	217,136	210,177	187,452
Other Income	14,627	14,510	13,376
Total Income	231,763	224,687	200,828
Expenses	231,986	222,696	201,811
Revenue over Expenses	(223)	1,991	(983)

Prepared by the Ohio State University Health System
November 20, 2001